WEAK APPROXIMATION OVER FUNCTION FIELDS

by

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ABSTRACT. — We prove that rationally connected varieties over the function field of a complex curve satisfy weak approximation for places of good reduction.

Contents

1. Introduction

Let F be a number field and X an algebraic variety over F. Does there exist an F-rational point on X? If so, are they ubiquitous on X? For many classes of varieties, such problems are analyzed using local-to-global principles. The variety X is said to satisfy the *Hasse principle* if existence of a rational point over each completion of F implies the existence of an F-rational point. The variety X is said to satisfy the *principle of weak approximation* if for every finite set of places of F and points of X over these places, there exists an F-rational point of X approximating these points arbitrarily closely.

Now let k be an algebraically closed field of characteristic zero, F the function field of a curve over k, and B a smooth projective model for this curve. The following result by Graber, Harris and Starr is the impetus for our paper:

THEOREM 1 ([9],Theorem 1.2). — *Every geometrically rationally connected variety X over F has an F-rational point.*

An algebraic variety is rationally connected if any two points can be joined by a rational curve (see Section 3.1 for more details). Rational and unirational varieties are rationally connected. We refer the reader to [10] for related results in positive characteristic (see also Remark 29).

From an arithmetic viewpoint, proving such a theorem entails surmounting two obstacles: First there must be no obstructions to the existence of a local point, i.e., $X(F_\nu) \neq \emptyset$ for all completions F_ν of F. If X is proper with a model $\pi : \mathcal{X} \to B$ proper and flat over B, this corresponds to producing formal sections of π at each point $b \in B$. Second, the Hasse principle must hold for X over F, i.e., $X(F) \neq \emptyset$. Note that over a number field, the Hasse principle may fail even for cubic surfaces. When X is proper with model as above, an F-rational point of X corresponds to a section of π.

Theorem 1 naturally leads one to pose the following

CONJECTURE 2. — A smooth geometrically rationally connected variety X over F satisfies weak approximation.

This has a nice geometric interpretation when X admits a proper model $\pi : \mathcal{X} \to B$: There exist sections with prescribed jets over a finite number of points of B (see Section 2 for more discussion). Our main result is weak approximation at places of good reduction, i.e., away from singular fibers:

THEOREM 3. — *A smooth proper geometrically rationally connected variety X over F satisfies weak approximation for places of good reduction.*

Kollár, Miyaoka, and Mori established the zeroth-order case, assuming the existence of at least one section:

THEOREM 4 ([11] IV.6.10, [12] 2.13). — *Let X be a smooth projective geometrically rationally connected variety over F and $\pi : \mathcal{X} \to B$ a projective model. Given a finite collection of points $\{b_i\}_{i \in I}$ such that each fiber $\mathcal{X}_{b_i}$ is smooth and points $x_i \in \mathcal{X}_{b_i}$, there exists a section $s : B \to \mathcal{X}$ such that $s(b_i) = x_i$, for each $i \in I$.*

It is natural to try to relax the hypothesis that the fibers $\mathcal{X}_{b_i}$ be smooth. Suppose that the total space $\mathcal{X}$ of our model is regular. Then for each section s, $s(b) \in \mathcal{X}_b$ is necessarily a smooth point; otherwise, the intersection multiplicity of $s(B)$ with $\mathcal{X}_b$ would be > 1. In light of this, an optimistic generalization of Theorem 4 would be:

CONJECTURE 5. — Let X be a smooth proper geometrically rationally connected variety over F and $\pi : \mathcal{X} \to B$ a regular proper model. Given a finite collection of points $\{b_i\}_{i \in I} \subset B$ and smooth points $r_i \in \mathcal{X}_{b_i}$, there exists a section $s : B \to \mathcal{X}$ such that $s(b_i) = r_i$ for each $i \in I$.

This is obviously a consequence of Conjecture 2, and is in fact equivalent to it (see Remark 13).

For varieties over the function field F of a curve, weak approximation is satisfied in the following cases [7]:

- varieties stably rational over F;
- connected linear algebraic groups over F and homogeneous spaces for these groups;
- homogeneous space fibrations over varieties that satisfy weak approximation, e.g., conic bundles over varieties rational over F;
- Del Pezzo surfaces of degree at least four.

Weak approximation is not known for general cubic surfaces. Madore proved weak approximation for cubic surfaces away from places of bad reduction [13].

2. Basic properties of weak approximation

2.1. Definition. — Let F be a number field or a function field of a smooth projective curve B over an algebraically closed ground field k. For each place ν of F, let F_ν denote the ν-adic completion of F. Let X be an algebraic variety of dimension d over F; in this paper, all varieties are assumed to be geometrically integral. Let $X(F)$ denote the set of F-rational points of X. One says that rational points on X *satisfy weak approximation* if, for any finite set of places $\{\nu_i\}_{i \in I}$ of F and ν_i-adic open subsets $U_i \subset X(F_{\nu_i})$, there is a rational point $x \in X(F)$ such that its image in each $X(F_{\nu_i})$ is contained in U_i. In particular, for any collection of $x_i \in X(F_{\nu_i}), i \in I$, there exists an $x \in X(F)$ arbitrarily close to each x_i.

It is well known that weak approximation is a birational property: If X_1 and X_2 are smooth varieties birational over F then X_1 satisfies weak approximation if and only if X_2 satisfies weak approximation.

Over a field of characteristic zero, weak approximation for smooth varieties reduces to the case of weak approximation for smooth projective varieties: Chow's lemma and resolution of singularities imply the existence of a smooth projective variety birational to any variety.

For the rest of this paper we restrict our attention to the function field case. Places ν of F correspond to points b on B. Let X be a smooth proper variety over F.

We discuss geometric formulations of weak approximation when X admits a proper model

$$\pi : \mathcal{X} \to B,$$

i.e., a flat proper morphism with generic fiber X. If X is projective then the properness of the Hilbert scheme gives such a model over B; if X is proper then such model exists over a nonempty open subset $B_0 \subset B$. For each $b \in B$, let

$$\mathcal{X}_b = \mathcal{X} \times_B \mathrm{Spec}(\mathcal{O}_{B,b}/\mathfrak{m}_{B,b})$$

denote the fiber over b. Sections of π yield F-valued points of X and conversely, each F-valued point of X extends to a section of π. Let $\widehat{B}_b$

denote the completion of B at b and $(\widehat{\mathcal{O}}_{B,b}, \widehat{\mathfrak{m}}_{B,b})$ the associated complete local ring, which has fraction field F_ν. Restricting to this formal neighborhood of b gives

$$\begin{array}{ccc} \mathcal{X} \times_B \widehat{B}_b & \longrightarrow & \mathcal{X} \\ \hat{\pi}_b \downarrow & & \pi \downarrow \\ \widehat{B}_b & \longrightarrow & B \end{array}$$

Sections of $\hat{\pi}_b$ restrict to F_ν-valued points of X and conversely, each F_ν-valued point of X extends to a section of $\hat{\pi}_b$. Basic ν-adic open subsets of $X(F_\nu)$ consist of those sections of $\hat{\pi}_b$ which agree with a given section over $\operatorname{Spec}(\widehat{\mathcal{O}}_{B,b}/\widehat{\mathfrak{m}}_{B,b}^{N+1}) \subset \widehat{B}_b$, for some $N \in \mathbb{N}$. Weak approximation means that for any finite set of points $\{b_i\}_{i \in I}$ in B, sections $\hat{s}_i$ of $\hat{\pi}_{b_i}$, and $N \in \mathbb{N}$, there exists a section s of π agreeing with $\hat{s}_i$ modulo $\widehat{\mathfrak{m}}_{B,b_i}^{N+1}$ for each i.

2.2. Fibers of good reduction. — A place ν is *of good reduction* for X if there exists a scheme

$$\widehat{\mathcal{X}}_b \to \widehat{B}_b,$$

proper and smooth over $\widehat{B}_b$, with generic fiber isomorphic to X over F_ν. Let S denote the finite set of places of bad reduction.

DEFINITION 6. — A proper variety X satisfies *weak approximation for places of good reduction* if, for any finite set of places of good reduction $\{\nu_i\}_{i \in I}$ and ν_i-adic open subsets $U_i \subset X(F_{\nu_i})$, there is a rational point $x \in X(F)$ such that its image in each $X(F_{\nu_i})$ is contained in U_i.

PROPOSITION 7. — *Suppose X is a smooth projective variety over F. There exists an algebraic space*

$$\pi : \mathcal{X} \to B,$$

proper and flat over B, smooth over the places of good reduction, and with generic fiber X. Such a space is called a good model *of X over B.*

REMARK 8. — If X is assumed to be proper rather than projective, our argument gives a proper algebraic space defined over the open subset $B_0 \subset B$ over which X admits a proper model, and smooth over $B \setminus S$.

Proof. — Choose a projective model $\pi' : \mathcal{X}' \to B$ for X over B. If π' is smooth over $B \setminus S$ there is nothing to prove. Otherwise, let $\{b_j\} \subset B \setminus S$ denote the points over which $\mathcal{X}'_{b_j}$ is singular; let $\widehat{\mathcal{X}}'_{b_j}$ denote the completion of $\mathcal{X}'$ along the central fiber $\mathcal{X}'_{b_j}$. By assumption, there exists a proper smooth scheme

$$\widehat{\mathcal{X}}_{b_j} \to \widehat{B}_{b_j},$$

which is isomorphic to our original model over the generic point. Resolving the indeterminacy of the rational map

$$\widehat{\mathcal{X}}_{b_j} \dashrightarrow \widehat{\mathcal{X}}'_{b_j},$$

we find that these are related by a sequence of modifications in the central fiber. This gives a sequence of formal modifications to $\mathcal{X}'$ along the singular fibers, in the sense of Artin [**3**]. Theorems 3.1 and 3.2 of [**3**] give a unique proper algebraic space $\pi : \mathcal{X} \to B$ realizing these formal modifications to $\mathcal{X}'$. □

EXAMPLE 9. — There are simple examples justifying the introduction of algebraic spaces. Let $\pi : \mathcal{X}' \to B$ be a flat projective morphism such that each fiber is a cubic surface with rational double points and the generic fiber X is smooth. Suppose that near each point $b \in B$ the local monodromy representation

$$\mathrm{Gal}(\bar{F}_\nu / F_\nu) \to \mathrm{Aut}(\mathrm{Pic}(\bar{X}))$$

is trivial. By a theorem of Brieskorn [**4**] [**5**], there exists a simultaneous resolution

$$\mathcal{X} \quad \xrightarrow{\varrho} \quad \mathcal{X}'$$
$$\searrow \qquad \swarrow \qquad ,$$
$$B$$

where $\mathcal{X}$ is a smooth proper algebraic space over B and $\varrho_b : \mathcal{X}_b \to \mathcal{X}'_b$ is the minimal resolution of $\mathcal{X}'_b$ for each $b \in B$. However, $\mathcal{X}$ is constructed by making modifications of $\mathcal{X}'$ in formal neighborhoods of the singular fibers, and hence is not necessarily a scheme. Note that blowing up the singularities of $\mathcal{X}'$ will usually introduce exceptional divisors in the fibers.

2.3. Jets and iterated blowups. —

DEFINITION 10. — Let $\pi : \mathcal{X} \to B$ be a proper model. An *admissible N-jet* of π at b is a section of

$$\mathcal{X} \times_B \operatorname{Spec}(\mathcal{O}_{B,b}/\mathfrak{m}_{B,b}^{N+1}) \to \operatorname{Spec}(\mathcal{O}_{B,b}/\mathfrak{m}_{B,b}^{N+1})$$

whose image is a smooth point of $\mathcal{X}_b$.

Hensel's lemma guarantees that every admissible N-jet is the restriction of a section of $\hat{\pi}_b$. When π is a good model and b is of good reduction then every N-jet at b is admissible. Thus weak approximation at places of good reduction is equivalent to showing there exist sections with prescribed jets over a finite set of places in $B \setminus S$.

REMARK 11. — Here is a tempting (but false) argument for Theorem 3. Let $\mathcal{Y} \to B$ be the space of all N-jets for $\mathcal{X}$; over the places of good reduction, $\mathcal{Y}$ is an affine-space bundle over $\mathcal{X}$. Let $\psi : \bar{\mathcal{Y}} \to B$ be some compactification of $\mathcal{Y}$ with smooth fibers at places of good reduction. An N-jet at b_i corresponds to a point $j_i \in \bar{\mathcal{Y}}_{b_i}$. Theorem 4 gives a section t of ψ through the j_i, which projects back down to a section s of π.

The problem is that t is not integrable, i.e., it need not coincide with the natural lift of s. Thus s may not have the desired jet data.

Let $\pi : \mathcal{X} \to B$ be proper model of X, $\{b_i\}$ a finite collection of distinct points of B, and $J = \{j_i\}_{i \in I}$ admissible N-jets at these points. The *iterated blowup* associated with J

$$\beta(J) : \mathcal{X}(J) \to \mathcal{X}$$

is obtained by performing the following sequence of blowups: For each $i \in I$ choose a section $\hat{s}_i$ of $\hat{\pi}_{b_i}$ with jet j_i. Now blow up $\mathcal{X}$ successively N times, where at each stage the center is the point at which the proper transform of $\hat{s}_i$ meets the fiber over b_i. Observe that a blowup of $\mathcal{X}$ centered in the fibers of π is uniquely determined by the corresponding blowup of the completions along those fibers. Note that at each stage we blow up a smooth point of the fiber of the corresponding model and that the result does not depend on the order of the b_i or on the choice of $\hat{s}_i$.

The fiber $\mathcal{X}(J)_{b_i}$ decomposes into irreducible components

$$\mathcal{X}(J)_{b_i} = E_{i,0} \cup \ldots \cup E_{i,N}$$

where

- $E_{i,0}$ is the proper transform of $\mathcal{X}_{b_i}$, isomorphic to the blowup of $\mathcal{X}_{b_i}$ at $r_{i,0} := \hat{s}_i(b_i)$;
- $E_{i,n}$, $n = 1, \ldots, N-1$, is the blowup of $\mathbb{P}^d$ at $r_{i,n}$, the point where the proper transform of $\hat{s}_i$ meets the fiber over b_i of the nth blowup;
- $E_{i,N} \simeq \mathbb{P}^d$.

The intersection $E_{i,n} \cap E_{i,n+1}$ is the exceptional divisor $\mathbb{P}^{d-1} \subset E_{i,n}$ and a proper transform of a hyperplane in $E_{i,n+1}$, for $n = 0, \ldots, N-1$. Let $r_i \in E_{i,N} \setminus E_{i,N-1}$ denote the intersection of $\hat{s}_i$ with $E_{i,N}$.
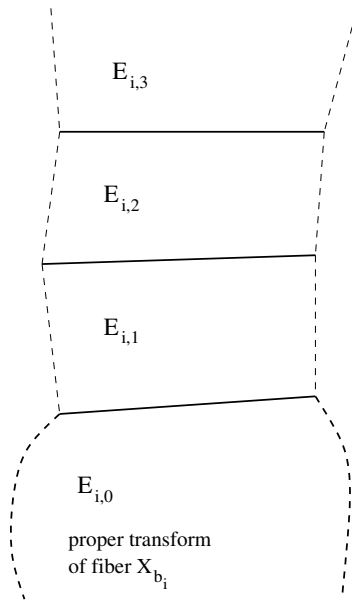

FIGURE 1. One fiber of the iterated blowup

For each section $s' : \mathcal{X}(J) \to B$ the composition $\beta(J) \circ s'$ is a section of $\pi : \mathcal{X} \to B$. Conversely, given a section s of $\pi : \mathcal{X} \to B$, its proper transform s' is the unique section of $\mathcal{X}(J) \to B$ lifting s. Sections s' of $\mathcal{X}(J) \to B$ with $s'(b_i) = r_i$ yield sections of $\mathcal{X} \to B$ with N-jet j_i at b_i. We therefore have the following criterion for weak approximation in fibers of good reduction:

PROPOSITION 12. — *Let $\pi : \mathcal{X} \to B$ be a good model of X. Consider all data consisting of collections of points of good reduction $(b_i)_{i \in I}$ and N-jets $J = (j_i)$ over these points, and points on the corresponding iterated blowup $\mathcal{X}(J)$, $(r_i \in E_{i,N} \setminus E_{i,N-1})_{i \in I}$. If for every datum there exists a section s' of $\mathcal{X}(J) \to B$ with $s'(b_i) = r_i$ for each $i \in I$, then X satisfies weak approximation at places of good reductions.*

REMARK 13. — Observe that Conjecture 2 follows from Conjecture 5, in characteristic zero. Weak approximation is a birational property of smooth varieties, so it suffices to prove Conjecture 2 for all projective smooth varieties. Fix a proper model $\pi : \mathcal{X} \to B$ with $\mathcal{X}$ regular. The argument for Proposition 12 reduces weak approximation for π to proving the existence of sections passing through suitable points on iterated blow-ups of $\mathcal{X}$. Conjecture 5 asserts the existence of such sections.

3. Rationally connected varieties

Here the ground field k is algebraically closed of characteristic zero.

3.1. Terminology and fundamental results. — Rational connectedness was introduced in the classification of Fano varieties [6] [12]. However, rationally connected varieties are now of independent interest:

DEFINITION 14 ([11] IV.3.2). — A variety Y is *rationally chain connected* (resp. *rationally connected*) if there is a family of proper and connected curves $g : U \to Z$ whose geometric fibers have only rational components (resp. are irreducible rational curves) and a cycle morphism $g : U \to Y$ such that

$$u^{(2)} : U \times_Z U \to Y \times Y.$$

is dominant.

Our definition of 'rationally chain connected' makes sense for reducible schemes Y.

EXAMPLE 15. — The class of rationally connected varieties includes unirational varieties and smooth Fano varieties, [11] IV.3.2.6, V.2.13. In particular, smooth hypersurfaces of degree $\leq m$ in $\mathbb{P}^m$ are rationally connected.

DEFINITION 16. — Let Y be a smooth algebraic space of dimension d and $f : \mathbb{P}^1 \to Y$ a nonconstant morphism, so we have an isomorphism

$$f^* T_Y \simeq \mathcal{O}_{\mathbb{P}^1}(a_1) \oplus \ldots \oplus \mathcal{O}_{\mathbb{P}^1}(a_d)$$

for suitable integers $a_1, \ldots, a_d$. Then f is *free* (resp. *very free*) if each $a_i \geq 0$ (resp. $a_i \geq 1$).

We recall some key properties:

(1) Let Y be a proper rationally chain connected variety. Then any two closed points are contained in a connected curve with rational irreducible components, [11] IV.3.5.1.
(2) If the ground field k is uncountable then Y is rationally connected (resp. rationally chain connected) if any two very general closed points y_1 and y_2 are contained in an irreducible rational curve (resp. connected curve with rational irreducible components), [11] IV.3.6.
(3) Let Y be a smooth proper rationally connected variety, $y_1, \ldots, y_m$ points in Y, and $v_i \in T_{y_i} Y$ nonzero tangent vectors. Then there exists a very free morphism $f : \mathbb{P}^1 \to Y$, an immersion (in the sense of differential geometry) if $\dim(Y) = 2$ and an embedding if $\dim(Y) \geq 3$, so that the image contains $y_1, \ldots, y_m$ and is embedded at these points with the prescribed tangent vectors [11] IV.3.9 [8] 2.2.
(4) A smooth variety Y is rationally connected if it is rationally chain connected, [11] IV.3.10.3.
(5) Let $\pi : \mathcal{Y} \to B$ be a proper equidimensional morphism over an irreducible base. If the generic fiber of π is rationally chain connected then every fiber is rationally *chain* connected, [11] IV.3.5.2.
(6) If $\pi : \mathcal{Y} \to B$ is a smooth proper morphism then the locus

$$\{b \in B : \mathcal{Y}_b \text{ is rationally connected }\}$$

is open, [11] IV.3.11.

Properties (5) and (6) also hold for good models (which are only assumed to be algebraic spaces over B).

EXAMPLE 17. — Property (5) does not guarantee that every fiber is rationally connected: Consider the family of cubic surfaces

$$\mathcal{X} := \{(w, x, y, z; t) : x^3 + y^3 + z^3 = tw^3\} \to \mathbb{A}^1_t.$$

The generic fiber is rationally connected but the fiber $\mathcal{X}_0$ is a cone over an elliptic curve, which is not rationally connected.

3.2. Sketch of Theorem 3. — We outline the main issues in the proof of Theorem 3; details are given in Section 5. By Proposition 12, we are reduced to proving the existence of a section passing through specific smooth points r_i of *singular* fibers of the iterated blow-up. Theorem 4 does not immediately imply this, but it does guarantee a section σ passing through some point x_i of each of these fibers. Property (5) of rationally connected varieties from Section 3.1 guarantees the existence of some chain T_i of rational curves in the corresponding fiber joining x_i and r_i. The difficulty is to choose these so that $C := \sigma(B) \cup_{i \in I} T_i$ deforms to a section containing r_i, for each $i \in I$. In particular, it is necessary that C intersect the fibral exceptional divisor $E_{i,N}$ containing r_i in one point and not intersect the other components of the corresponding fiber; this constrains the homology class of T_i. Furthermore, we must describe each T_i explicitly so the deformation space of C can be analyzed.

4. Deformation theory

In this section, a *curve* is a connected reduced finite-type scheme of dimension one.

4.1. Combs. — Recall the *dual graph* associated with a nodal curve C: Its vertices are indexed by the irreducible components of C and its edges are indexed by the intersections of these components.

DEFINITION 18. — A projective nodal curve C is *tree-like* if
  − each irreducible component of C is smooth;
  − the dual graph of C is a tree.

We shall require a slight generalization of the standard notion of a comb (cf. [11]):

DEFINITION 19. — A *comb with m reducible teeth* is a projective nodal curve C which is the union of $m + 1$ subcurves $D, T_1, \ldots, T_m$ such that
  − D is smooth and irreducible;
  − $T_\ell \cap T_{\ell'} = \emptyset$, for all $\ell \neq \ell'$;

    – each T_ℓ meets D transversally in a single point; and
    – each T_ℓ is a chain of $\mathbb{P}^1$'s.

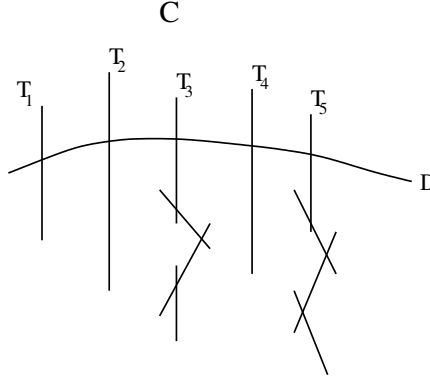Here D is called the *handle* and the T_ℓ the *teeth*.

FIGURE 2. A comb with five reducible teeth

4.2. Vector bundle lemmas. — Let C be a smooth curve. Here a 'vector bundle' $\mathcal{V}$ on C designates a locally free sheaf and a 'subbundle' $\mathcal{U} \subset \mathcal{V}$ a subsheaf which is locally a direct summand; this is the case whenever $\mathcal{V}/\mathcal{U}$ is torsion-free.

Given a collection of distinct points $\mathfrak{q} = \{q_1, \ldots, q_m\} \subset C$ and one-dimensional subspaces of the fibers $\xi_{q_\ell} \subset \mathcal{V}_{q_\ell}, \ell = 1, \ldots, m$, there exists a rank-one subbundle $\mathcal{L} \subset \mathcal{V}$ with fiber at q_ℓ equal to $\xi_{q_\ell}, \ell = 1, \ldots, m$. The extension

$$0 \longrightarrow \mathcal{L} \longrightarrow \mathcal{L} \otimes \mathcal{O}_C(\mathfrak{q}) \longrightarrow \mathcal{L} \otimes (\mathcal{O}_C(\mathfrak{q}))_{\mathfrak{q}} \longrightarrow 0$$

induces

$$0 \longrightarrow \mathcal{V} \longrightarrow \mathcal{V}' \longrightarrow Q \longrightarrow 0,$$

where $Q := (\mathcal{L} \otimes \mathcal{O}_C(\mathfrak{q}))_{\mathfrak{q}}$ is supported on $\mathfrak{q}$ and has length one at each q_ℓ. This extension depends on the q_ℓ and ξ_{q_ℓ} but not on $\mathcal{L}$. The saturation of $\mathcal{L}$ in $\mathcal{V}'$ is isomorphic to $\mathcal{L} \otimes \mathcal{O}_C(\mathfrak{q})$.

LEMMA 20. — *Retain the notation introduced above. A subbundle $\mathcal{U} \subset \mathcal{V}$ is also a subbundle of $\mathcal{V}'$ if $\xi_{q_\ell} \cap \mathcal{U}_{q_\ell} = 0$ for each ℓ.*

Proof. — We have exact sequences

$$0 \to \mathrm{Hom}(\mathcal{L} \otimes \mathcal{O}_C(\mathfrak{q}), \mathcal{V}) \to \mathrm{Hom}(\mathcal{L}, \mathcal{V}) \to \mathrm{Ext}^1(Q, \mathcal{V})$$
$$0 \to \mathcal{H}om(\mathcal{L} \otimes \mathcal{O}_C(\mathfrak{q}), \mathcal{V}) \to \mathcal{H}om(\mathcal{L}, \mathcal{V}) \to \mathcal{E}xt^1(Q, \mathcal{V}) \to 0$$

and the extension class $\eta_{\mathcal{V}'} \in \mathrm{Ext}^1(Q, \mathcal{V})$ is the image of the inclusion $\mathcal{L} \hookrightarrow \mathcal{V}$ under the connecting homomorphism. Since $\mathcal{L}$ is saturated in $\mathcal{V}$ at q_ℓ, $\eta_{V'}$ localizes to a nonzero element of $\mathcal{E}xt^1(Q, \mathcal{V})_{q_\ell}$ for each ℓ.

Since $\mathcal{U} \subset \mathcal{V}$ is a subbundle, $\mathcal{V}/\mathcal{U}$ has no torsion and thus is locally free. The class $\eta_{\mathcal{V}'}$ naturally induces an extension

$$0 \longrightarrow \mathcal{V}/\mathcal{U} \longrightarrow \mathcal{V}'/\mathcal{U} \longrightarrow Q \to 0,$$

classified by $\eta_{\mathcal{V}'/\mathcal{U}} \in \mathrm{Ext}^1(Q, \mathcal{V}/\mathcal{U})$, the image of the composition

$$\mathcal{L} \hookrightarrow \mathcal{V} \twoheadrightarrow \mathcal{V}/\mathcal{U}$$

under the connecting homomorphism. Our hypothesis guarantees that $\mathcal{L}$ is a subbundle of $\mathcal{V}/\mathcal{U}$ near q_ℓ, hence $\eta_{\mathcal{V}'/\mathcal{U}}$ localizes to a nonzero element of $\mathcal{E}xt^1(Q, \mathcal{V}/\mathcal{U})_{q_\ell}$ for each ℓ. It follows that $\mathcal{V}'/\mathcal{U}$ is torsion-free, so $\mathcal{U}$ is a subbundle. $\qquad\square$

LEMMA 21 ([**9**], Lemma 2.5). — *Retain the notation introduced above. Fix an integer N and a vector bundle $\mathcal{V}$. Then there exist points $q_1, \ldots, q_m$ and one-dimensional subspaces $\xi_{q_\ell} \subset \mathcal{V}_{q_\ell}$, $\ell = 1, \ldots, m$, such that*

$$H^1(\mathcal{V}' \otimes \mathcal{O}_C(-w_1 - \ldots - w_N)) = 0$$

for any points $w_1, \ldots, w_N \in C$.

Our next lemma is well known (cf. [**14**], Section 2) but we provide a proof for the convenience of the reader:

LEMMA 22. — *Let C be a tree-like curve and $\mathcal{V}$ a vector bundle on C. If for each irreducible component C_ℓ of C the restriction $\mathcal{V} \otimes \mathcal{O}_{C_\ell}$ is globally generated then $\mathcal{V}$ is globally generated. Furthermore,*

$$H^1(C, \mathcal{V}) \to \oplus_\ell H^1(\mathcal{V} \otimes \mathcal{O}_{C_\ell})$$

is an isomorphism.

Proof. — We do induction on the number of irreducible components; the case of one component is trivial. Otherwise, express C as a union $D \cup D^c$,

where D is irreducible with connected complement in C and $D^c = \overline{C \setminus D}$ is tree-like. Let q be the node of C joining D and D^c,

$$g : C' := D \amalg D^c \to C$$

the partial normalization of C at q, and r, r^c the points of C' with $g(r) = g(r^c) = q$. The descent data for $\mathcal{V}$ consist of the pullback $g^*\mathcal{V}$ and an isomorphism

$$\phi : (g^*\mathcal{V})_r \to (g^*\mathcal{V})_{r^c}$$

induced by identifications of fibers

$$(g^*\mathcal{V})_r \simeq \mathcal{V}_q \simeq (g^*\mathcal{V})_{r^c}.$$

Recall the exact sequences relating the cohomology of $\mathcal{V}$ and $g^*\mathcal{V}$:

$$0 \to H^0(C, \mathcal{V}) \to H^0(C', g^*\mathcal{V}) \to (g^*\mathcal{V})_r \oplus (g^*\mathcal{V})_{r^c} \overset{(-\phi, \mathrm{Id})}{\to} (g^*\mathcal{V})_{r^c} \to 0$$

$$H^0(C', g^*\mathcal{V}) \to (g^*\mathcal{V})_r \oplus (g^*\mathcal{V})_{r^c} \to H^1(C, \mathcal{V}) \to H^1(C', g^*\mathcal{V}) \longrightarrow 0.$$

By the inductive hypothesis, $g^*\mathcal{V}$ is globally generated on C'. Since r and r^c are on different connected components of C', the second exact sequence guarantees that $H^1(C, \mathcal{V}) \to H^1(C', g^*\mathcal{V})$ is injective; the cohomology statement follows. Since $g^*\mathcal{V}$ is globally generated, for each section over D there exists a section over D^c compatible under the isomorphism ϕ, and vice versa. These compatible pairs of sections descend to elements of $H^0(C, \mathcal{V})$. Thus given $p \in D$ and $v \in \mathcal{V}_p$, a section $t \in H^0(D, \mathcal{V} \otimes \mathcal{O}_D)$ with $t(p) = v$ extends to a section over C. $\qquad\square$

4.3. Analysis of normal bundles. — We describe the normal bundle of a nodal curve immersed in a smooth algebraic space. Our main references are Section 2 of [9] and Section 6 of [1]. See [2] and [11] I.5 for foundational results on Hilbert 'schemes' of algebraic spaces, [11] II.1 for applications to morphisms of curves into spaces, and [10] for an extension of Theorem 1 to positive characteristic using this machinery.

If C is a nodal curve embedded into a smooth space Y then $\mathcal{N}_{C/Y}$ is defined as the dual to the kernel of the restriction homomorphism of Kähler differentials $\Omega_Y^1 \otimes \mathcal{O}_C \twoheadrightarrow \Omega_C^1$; a local computation shows this is locally free. First order deformations of $C \subset Y$ are given by $H^0(C, \mathcal{N}_{C/Y})$; obstructions are given by $H^1(C, \mathcal{N}_{C/Y})$.

Let D be a union of irreducible components of C and $\mathfrak{q} = \{q_1, \ldots, q_m\}$ the locus where $D^c := \overline{C \setminus D}$ meets D. At a node of C, the tangent

cone is a union of two one-dimensional subspaces, the tangents to the transverse branches. The tangent to D^c at q_ℓ yields a one-dimensional subspace

$$\xi_{q_\ell} \subset (\mathcal{N}_{D/Y})_{q_\ell}.$$

As in Section 4.2, these induce a natural extension $\mathcal{N}'_{D/Y}$ of $\mathcal{N}_{D/Y}$, which coincides with the restriction to D of the normal bundle to C in Y

$$0 \longrightarrow \mathcal{N}_{D/Y} \longrightarrow \mathcal{N}_{C/Y} \otimes \mathcal{O}_D \longrightarrow Q \longrightarrow 0.$$

Here Q is a torsion sheaf supported on $\mathfrak{q}$, with length one at each point $q_\ell, \ell = 1, \ldots, m$. Sections of $\mathcal{N}_{C/Y} \otimes \mathcal{O}_D$ can be interpreted as sections of $\mathcal{N}_{D/Y}$ with poles at the q_ℓ in the directions $T_{q_\ell} D^c$.

We shall need a slight generalization: We continue to assume that C is a nodal curve and Y is nonsingular. Let $f : C \to Y$ denote an immersion whose image is a nodal curve. The restriction homomorphism $f^*\Omega^1_Y \to \Omega^1_C$ is surjective and the dual to its kernel is still locally free. This is denoted $\mathcal{N}_f$ and coincides with $\mathcal{N}_{C/Y}$ when f is an embedding. First order deformations of $f : C \to Y$ are given by $H^0(C, \mathcal{N}_f)$; obstructions are given by $H^1(C, \mathcal{N}_f)$. This set-up differs from the standard deformation theory of morphisms in that we ignore reparametrizations of C. When D is a union of irreducible components of C as above, then the analogous extension takes the form (cf. Lemma 2.6 of [9]):

$$0 \longrightarrow \mathcal{N}_{f|_D} \longrightarrow \mathcal{N}_f \otimes \mathcal{O}_D \longrightarrow Q \longrightarrow 0.$$

This analysis gives the following infinitesimal smoothing criterion: (cf. Lemma 2.6 of [9]):

LEMMA 23. — *Retain the above notation. A first order deformation $t \in H^0(C, \mathcal{N}_f)$ smooths the node q_ℓ to first order if the restriction $t|_D \in \mathcal{N}_f \otimes \mathcal{O}_D$ is nonzero in Q_{q_ℓ}. In particular, each deformation of f over the spectrum of a DVR with tangent vector t smooths q_ℓ.*

PROPOSITION 24. — *Let Y be a smooth algebraic space, $E \subset Y$ a smooth subspace of codimension one, C a nodal curve, $D \subset C$ a union of irreducible components of C, $D^c = \overline{D \setminus C}$, and $\mathfrak{q} = \{q_\ell\} = D \cap D^c$. Let $f : C \to Y$ be an immersion with image a nodal curve so that $f(D) \subset E$, $f(D \setminus C) \subset Y \setminus E$, and $f(D^c)$ is transverse to E at each point of $f(\mathfrak{q})$. If $g : D \to E$ is the resulting immersion of D into E then $\mathcal{N}_g$ is saturated in $\mathcal{N}_f \otimes \mathcal{O}_D$ and we have the following diagram:*

$$
\begin{array}{ccccccccc}
 & & 0 & & 0 & & & & \\
 & & \downarrow & & \downarrow & & & & \\
0 \longrightarrow & \mathcal{N}_g & \longrightarrow & \mathcal{N}_{f|_D} & \longrightarrow & g^*\mathcal{N}_{E/Y} & \longrightarrow & 0 & \\
 & \| & & \downarrow & & \downarrow & & & \\
0 \longrightarrow & \mathcal{N}_g & \longrightarrow & \mathcal{N}_f \otimes \mathcal{O}_D & \longrightarrow & g^*\mathcal{N}_{E/Y} \otimes \mathcal{O}_D(\mathfrak{q}) & \longrightarrow & 0 & \\
 & & & \downarrow & & \downarrow & & & \\
0 \longrightarrow & Q & \longrightarrow & g^*\mathcal{N}_{E/Y} \otimes (\mathcal{O}_D(\mathfrak{q}))_{\mathfrak{q}} & \longrightarrow & 0 & & & \\
 & & \downarrow & & \downarrow & & & & \\
 & & 0 & & 0 & & & &
\end{array}
$$

Proof. — For each ℓ the composition

$$
T_{q_\ell} D^c \to T_{q_\ell} Y \to T_{q_\ell} Y / T_{q_\ell} D
$$

determines a one-dimensional subspace $\xi_\ell \subset (\mathcal{N}_{f|_D})_{q_\ell}$. The transversality hypothesis implies $(\mathcal{N}_g)_{q_\ell} \cap \xi_{q_\ell} = 0$ in $(\mathcal{N}_{f|_D})_{q_\ell}$. Using Lemma 20, we conclude that $\mathcal{N}_{f|_D}$ is a subbundle in $\mathcal{N}_f \otimes \mathcal{O}_D$, so the quotient

$$
R = (\mathcal{N}_f \otimes \mathcal{O}_D) / \mathcal{N}_{f|_D}
$$

is locally free. This sheaf arises as an extension

(4.1) $$ 0 \longrightarrow g^*\mathcal{N}_{E/Y} \longrightarrow R \longrightarrow Q \longrightarrow 0, $$

where Q is supported on $\mathfrak{q}$ with length one at each q_ℓ. We may therefore identify extension (4.1) with the tensor product of $g^*\mathcal{N}_{E/Y}$ with

$$
0 \longrightarrow \mathcal{O}_D \longrightarrow \mathcal{O}_D(\mathfrak{q}) \longrightarrow (\mathcal{O}_D(\mathfrak{q}))_{\mathfrak{q}} \longrightarrow 0.
$$

$\square$

PROPOSITION 25. — *Let C be a tree-like curve, Y a smooth algebraic space, and $f : C \to Y$ an immersion with nodal image. Suppose that for each irreducible component C_ℓ of C, $H^1(C_\ell, \mathcal{N}_f \otimes \mathcal{O}_{C_\ell}) = 0$ and $\mathcal{N}_f \otimes \mathcal{O}_{C_\ell}$ is globally generated. Then $f : C \to Y$ deforms to an immersion of a smooth curve into Y.*

Suppose furthermore that $\mathfrak{p} = \{p_1, \ldots, p_w\} \subset C$ is a collection of smooth points such that for each component C_ℓ $H^1(\mathcal{N}_f \otimes \mathcal{O}_{C_\ell}(-\mathfrak{p})) = 0$

and the sheaf $\mathcal{N}_f \otimes \mathcal{O}_{C_\ell}(-\mathfrak{p})$ is globally generated. Then $f : C \to Y$ deforms to an immersion of a smooth curve into Y containing $f(\mathfrak{p})$.

Proof. — Our argument is similar to the constructions of Section 2 of [9] and Lemma 65 of [1]. Lemma 22 implies that $H^1(C, \mathcal{N}_f) = 0$ and $\mathcal{N}_f$ is globally generated. Hence the space of maps is unobstructed and every first-order deformation of f lifts to a deformation over the spectrum of a DVR. Global generation implies the existence of $t \in H^0(C, \mathcal{N}_f)$ so that, for each component C_ℓ, the image of t in

$$H^0(C_\ell, Q(C_\ell)), \quad Q(C_\ell) := (\mathcal{N}_f \otimes \mathcal{O}_{C_\ell}) / \mathcal{N}_{f|_{C_\ell}}$$

is nonzero at each point of the support of $Q(C_\ell)$. The first-order deformation t smooths each node of C by Lemma 23.

For the second part, consider those maps with image containing $f(\mathfrak{p})$. Our cohomology assumption guarantees that this space is unobstructed; in addition, $\mathcal{N}_f \otimes \mathcal{O}_C(-\mathfrak{p})$ is globally generated. Hence there exists a $u \in H^0(\mathcal{N}_f \otimes \mathcal{O}_C(-\mathfrak{p}))$ so that, for every component C_ℓ, the image of u in $Q(C_\ell)$ is nonzero at each point of its support. Note that

$$(\mathcal{N}_f \otimes \mathcal{O}_{C_\ell}(-\mathfrak{p}))/(\mathcal{N}_{f|_{C_\ell}} \otimes \mathcal{O}_{C_\ell}(-\mathfrak{p})) = (\mathcal{N}_f \otimes \mathcal{O}_{C_\ell})/\mathcal{N}_{f|_{C_\ell}},$$

since the quotient is a torsion sheaf with support disjoint from $\mathfrak{p}$. Hence the first-order deformation u smooths each node of C and contains $f(\mathfrak{p})$. □

5. Proof of the main theorem

The theorem is well known when $d = \dim(X) = 1$. The only smooth proper rationally connected curve is $\mathbb{P}^1$, which satisfies weak approximation. We may therefore assume $d \geq 2$.

Recall the set-up of Proposition 12: It suffices to show that for each integer N, finite set $\{b_i\}_{i \in I} \subset B \setminus S$, and collection of N-jet data J supported in the fibers over $\{b_i\}_{i \in I}$, there exists a section in the iterated blowup $\mathcal{X}(J)$ passing through prescribed points $r_i \in E_{i,N} \setminus E_{i,N-1}$.

We proceed by induction on N; the base case $N = 0$ is essentially Theorem 4. However, our assumptions are slightly weaker: We are not assuming $\mathcal{X}$ is a scheme.

The total space of $\mathcal{X}$ is smooth along the fibers $\mathcal{X}_{b_i}, i \in I$, so we may resolve the singularities of $\mathcal{X}$ without altering these fibers. Theorem 1 gives a section σ of π. Let $q_i = \sigma(b_i)$ for each $i \in I$; let $I' \subset I$ (resp. $I'' \subset I$) denote those indices with $q_i \neq r_i$ (resp. $q_i = r_i$).

LEMMA 26. — *There exists a comb C with handle $\sigma(B)$ and smooth teeth $T_1, \ldots, T_m$ and an immersion $f : C \to \mathcal{X}$ with nodal image so that:*
 - *the T_ℓ are free rational curves in distinct smooth fibers of π;*
 - *for each $i \in I'$, there is a tooth T_i containing r_i as a smooth point;*
 - *let $\mathfrak{r}$ denote the sum of the points of C mapping to the $r_i, i \in I$; then the restriction of $\mathcal{N}_f \otimes \mathcal{O}_C(-\mathfrak{r})$ to each irreducible component of C is globally generated and has no higher cohomology.*

We emphasize that f can be taken to be an embedding if $d > 2$. Proposition 25 implies $f : C \to \mathcal{X}$ admits a deformation $\tilde{f} : \tilde{C} \to \mathcal{X}$, where $\tilde{C}$ is smooth and $\tilde{f}(\tilde{C})$ contains each of the r_i. Since all the teeth $T_1, \ldots, T_m$ are contained in fibers of $\mathcal{X} \to B$, C intersects the generic fiber in one point. Thus the deformed curve $\tilde{C}$ also meets the generic fiber in one point and hence is a section of $\mathcal{X} \to B$.

Proof. — Here are the details of the construction of the comb of Lemma 26. For each ℓ
$$f_\ell : T_\ell \to \mathcal{X}_{b_\ell}$$
is a free rational curve with nodal image, so that $\sigma(b_\ell) \in f_\ell(T_\ell)$ as a smooth point. For $\ell = 1, \ldots, |I'|$ we choose these so that r_i is contained in the image as a smooth point. For $\ell = |I'| + 1, \ldots, m$, we choose these in generic fibers of good reduction with generic tangent directions $\xi_\ell \subset T_{\sigma(b_\ell)}\mathcal{X}_{b_\ell}$ satisfying the hypotheses of Lemma 21, so that the extension
$$0 \longrightarrow \mathcal{N}_{\sigma(B)} \longrightarrow \mathcal{N}_f \otimes \mathcal{O}_{\sigma(B)} \longrightarrow Q(\sigma(B)) \longrightarrow 0$$
is globally-generated and has no higher cohomology, even after twisting by $\mathcal{O}_{\sigma(B)}(-\sum_{i \in I''} r_i)$. $\square$

We next address the inductive step. Let J' denote order-$(N-1)$ truncation of J, i.e., j_i' is the restriction of j_i to $\mathrm{Spec}(\mathcal{O}_{B,b_i}/\mathfrak{m}_{B,b_i}^N)$ for each i. The inductive hypothesis applied to J' guarantees the existence of a section $s' : B \to \mathcal{X}(J')$ passing through arbitrary points
$$r_i' \in (E_{i,N-1}' \setminus E_{i,N-2}') \subset \mathcal{X}(J').$$

Specifically, we choose s' so that it has jet data J' over the points $\{b_i\}_{i \in I}$. Let $\sigma : B \to \mathcal{X}(J)$ denote the proper transform of s' in $\mathcal{X}(J)$. By construction, σ meets $\mathcal{X}(J)_{b_i}$ in a point $q_i \in E_{i,N} \setminus E_{i,N-1}$ for each i. Our goal is to find a section $s : B \to \mathcal{X}(J)$ such that for each $i \in I$ and $r_i \in E_{i,N} \setminus E_{i,N-1}$, $s(b_i) = r_i$. Again, $I' \subset I$ (resp. $I'' \subset I$) denotes those indices with $q_i \neq r_i$ (resp. $q_i = r_i$).

LEMMA 27. — *There exists a comb C with handle $\sigma(B)$ and reducible teeth $T_1, \ldots, T_m$ and an immersion $f : C \to \mathcal{X}(J)$ with nodal image so that:*

- *for each $i \in I'$, there is a tooth T_i mapped to $\mathcal{X}(J)_{b_i}$ and containing r_i;*
- *C is smoothly embedded at r_i for each $i \in I'$, so there is a unique component $T_{i,N} \subset C$ containing r_i;*
- *the remaining teeth $T_{|I'|+1}, \ldots, T_m$ are free rational curves contained in generic fibers of $\mathcal{X}(J) \to B$ of good reduction;*
- *the restriction of $\mathcal{N}_f \otimes \mathcal{O}_C(-\mathfrak{r})$ to each irreducible component is globally generated and has no higher cohomology.*

Again, f can be taken to be an embedding if $d > 2$. Proposition 25 implies that $f : C \to \mathcal{X}(J)$ admits a deformation $\tilde{f} : \tilde{C} \to \mathcal{X}(J)$, where $\tilde{C}$ is smooth and $\tilde{f}(\tilde{C})$ contains each of the r_i. The image $\tilde{f}(\tilde{C})$ is the desired section of $\mathcal{X}(J) \to B$. Hence Theorem 3 is reduced to proving this lemma.

Proof. — We start the proof of Lemma 27 by describing the teeth T_i with $i \in I'$. Recall from Section 2.3 that $E_{i,N} \simeq \mathbb{P}^d$ and $E_{i,N} \cap E_{i,N-1}$ is a hyperplane section in this $\mathbb{P}^d$. Let $T_{i,N}$ denote the unique line joining r_i to $q_i = \sigma(b_i)$; let $q_{i,N-1}$ denote the intersection of this line with $E_{i,N-1}$. We have

$$E_{i,N-1} \simeq \mathrm{Bl}_{r_{i,N-1}} \mathbb{P}^d$$

with exceptional divisor $E_{i,N-1} \cap E_{i,N} \simeq \mathbb{P}^{d-1}$; there is a unique line in $\mathbb{P}^d$ containing $r_{i,N-1}$ whose proper transform $T_{i,N-1} \subset E_{i,N-1}$ meets $q_{i,N-1}$. Let $q_{i,N-2}$ denote the intersection of this line with $E_{i,N-2}$. Continuing in this way, we obtain a sequence of embedded smooth rational curves

$$T_{i,n} \subset E_{i,n} \simeq \mathrm{Bl}_{r_{i,n}} \mathbb{P}^d, \quad n > 0,$$

each the proper transform of a line meeting $r_{i,n}$. Let $q_{i,0}$ denote the intersection of $T_{i,1}$ with $E_{i,0}$, which is a point in the exceptional divisor of

$$E_{i,0} = \mathrm{Bl}_{r_{i,0}} \to \mathcal{X}_{b_i}.$$

Let $g_{i,0} : T_{i,0} \to E_{i,0}$ be a free rational curve, immersed so that the image is a nodal curve, with $q_{i,0} \in g_{i,0}(T_{i,0})$ as a smooth point. Property (3) of rationally connected varieties gives such a curve; $g_{i,0}$ can be taken to be an embedding when $d > 2$. Let $f_{i,0}$ denote the composition of $g_{i,0}$ with the inclusion $E_{i,0} \subset \mathcal{X}(J)$, and

$$f_i : T_i = T_{i,0} \cup \ldots \cup T_{i,N} \longrightarrow \mathcal{X}(J)$$

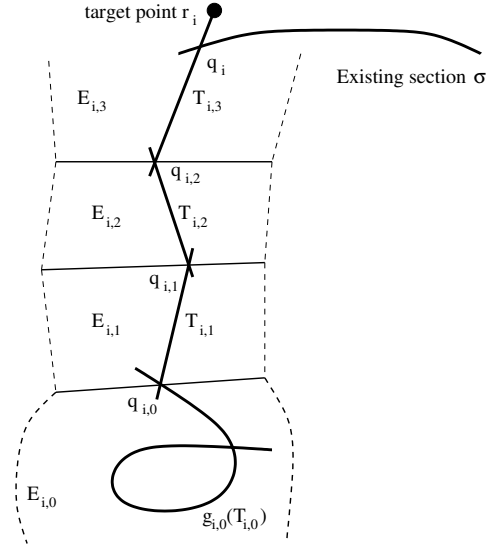the resulting map of the tooth into $\mathcal{X}(J)$.

FIGURE 3. Attaching reducible teeth and moving the section

SUBLEMMA 28. — *The condition on normal bundles stipulated in Lemma 27 is satisfied along the reducible teeth $T_i, i \in I'$. Precisely, we have*

$$\mathcal{N}_f \otimes \mathcal{O}_{T_{i,n}} = \begin{cases} \mathcal{O}_{\mathbb{P}^1}(+1)^d & \text{for } n = N \\ \mathcal{O}_{\mathbb{P}^1}^d & \text{for } n = 1, \ldots, N-1 \\ \oplus_{w=1}^{d-1} \mathcal{O}_{\mathbb{P}^1}(a_w) \oplus \mathcal{O}_{\mathbb{P}^1}, \quad a_w \geq 0 & \text{for } n = 0 \end{cases} .$$

Proof. — First, observe that

$$\mathcal{N}_{T_{i,n}/E_{i,n}} \simeq \begin{cases} \mathcal{O}_{\mathbb{P}^1}(+1)^{d-1} & \text{for } n = N \\ \mathcal{O}_{\mathbb{P}^1}^{d-1} & \text{for } n = 1, \ldots, N-1 \end{cases}$$

$$\mathcal{N}_{g_{i,0}} \simeq \oplus_{w=1}^{d-1} \mathcal{O}_{\mathbb{P}^1}(a_w), \quad a_w \geq 0;$$

the a_w are nonnegative because $\mathcal{N}_{g_{i,0}}$ is a quotient of $g_{i,0}^* T_{E_{i,0}}$, which is nonnegative. Fibers of $\mathcal{X}(J) \to B$ restrict to the zero divisor on each $T_{i,n}$ and $\sum_{n=0}^N E_{i,n}$ is equivalent to the class of a fiber, hence

$$E_{i,n}|T_{i,n} = (-\sum_{n' \neq n} E_{i,n'})|T_{i,n}.$$

It follows that

$$\mathcal{N}_{E_{i,n}/\mathcal{X}(J)} \otimes \mathcal{O}_{T_{i,n}} = \mathcal{O}_{\mathcal{X}(J)}(E_{i,n}) \otimes \mathcal{O}_{T_{i,n}} \simeq \begin{cases} \mathcal{O}_{\mathbb{P}^1}(-1) & \text{for } n = N \\ \mathcal{O}_{\mathbb{P}^1}(-2) & \text{for } n = 1, \ldots, N-1 \\ \mathcal{O}_{\mathbb{P}^1}(-1) & \text{for } n = 0. \end{cases}$$

For $n > 0$ we have the exact sequence

$$0 \longrightarrow \mathcal{N}_{T_{i,n}/E_{i,n}} \longrightarrow \mathcal{N}_{T_{i,n}/\mathcal{X}(J)} \longrightarrow \mathcal{N}_{E_{i,n}/\mathcal{X}(J)} \otimes \mathcal{O}_{T_{i,n}} \longrightarrow 0,$$

which splits in our situation. Therefore, we find

$$\mathcal{N}_{T_{i,n}/\mathcal{X}(J)} \simeq \begin{cases} \mathcal{O}_{\mathbb{P}^1}(+1)^{d-1} \oplus \mathcal{O}_{\mathbb{P}^1}(-1) & \text{for } n = N \\ \mathcal{O}_{\mathbb{P}^1}^{d-1} \oplus \mathcal{O}_{\mathbb{P}^1}(-2) & \text{for } n = 1, \ldots, N-1 \end{cases}.$$

For $n = 0$ we have

$$0 \longrightarrow \mathcal{N}_{g_{i,0}} \longrightarrow \mathcal{N}_{f_{i,0}} \longrightarrow g_{i,0}^* \mathcal{N}_{E_{i,0}/\mathcal{X}(J)} \longrightarrow 0,$$

which implies

$$\mathcal{N}_{f_{i,0}} \simeq \oplus_{w=1}^{d-1} \mathcal{O}_{\mathbb{P}^1}(a_w) \oplus \mathcal{O}_{\mathbb{P}^1}(-1).$$

On first examination, the negative summands would make it hard to satisfy the requirements of Lemma 27. However, the nodes in the reducible teeth give enough positivity to overcome the negative factors. We use Proposition 24 to analyze the relationship between the normal bundles to the $T_{i,n}$ and the restriction to the normal bundle of the comb to these components.

When $n > 0$, we have an exact sequence

$$0 \longrightarrow \mathcal{N}_{T_{i,n}/\mathcal{X}(J)} \longrightarrow \mathcal{N}_f \otimes \mathcal{O}_{T_{i,n}} \longrightarrow Q(T_{i,n}) \longrightarrow 0,$$

where $Q(T_{i,n})$ is a torsion sheaf, supported at the nodes of C on $T_{i,n}$. However, the positive summands of $\mathcal{N}_{T_{i,n}/\mathcal{X}(J)}$ are saturated in $\mathcal{N}_f$; only the negative summand fails to be saturated. When $n = 1, \ldots, N-1$, the negative summand is isomorphic to $\mathcal{O}_{\mathbb{P}^1}(-2)$ and $Q(T_{i,n})$ has length two, so the saturation is $\mathcal{O}_{\mathbb{P}^1}$. When $n = N$, the negative summand is isomorphic to $\mathcal{O}_{\mathbb{P}^1}(-1)$ and $Q(T_{i,N})$ has length two and support $\{r_i, q_{i,N-1}\}$, so the saturation is $\mathcal{O}_{\mathbb{P}^1}$. When $n = 0$ we have

$$0 \longrightarrow \mathcal{N}_{f_{i,0}} \longrightarrow \mathcal{N}_{f_i} \otimes \mathcal{O}_{T_{i,0}} \longrightarrow Q(T_{i,0}) \longrightarrow 0,$$

with $Q(T_{i,0})$ of length one and supported at $q_{i,0}$. The negative summand of $\mathcal{N}_{f_{i,0}}$ is isomorphic to $\mathcal{O}_{\mathbb{P}^1}(-1)$, so the extension above induces

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^1}(-1) \rightarrow \mathcal{O}_{\mathbb{P}^1} \rightarrow Q(T_{i,0}) \rightarrow 0,$$

i.e., the saturation of the negative factor is $\mathcal{O}_{\mathbb{P}^1}$.   □

For $\ell = |I'| + 1, \ldots, m$ we take

$$f_\ell : T_\ell \rightarrow \mathcal{X}_{b_\ell}$$

to be free rational curves, immersed in generic fibers of good reduction so that the images are nodal, with $\sigma(b_\ell) \in f_\ell(T_\ell)$ as a smooth point. We choose these with generic tangent directions $\xi_\ell \subset T_{\sigma(b_\ell)}\mathcal{X}_{b_\ell}$ so that Lemma 21 guarantees $\mathcal{N}_f \otimes \mathcal{O}_{\sigma(B)}(-\sum_{i \in I''} r_i)$ is globally-generated and has no higher cohomology.   □

REMARK 29 (Some remarks on positive characteristic)

Much of our argument applies without change even when the ground field k has positive characteristic. Here are the places where we used characteristic zero:

1. The reduction from the proper case to the projective case invoked resolution of singularities; we required projectivity to construct our model $\pi : \mathcal{X} \rightarrow B$. Alternately, we could work on a proper model $\mathcal{X} \rightarrow B_0$ defined over a suitable open subset of B. However, we would then have to rework our deformation theory, doing everything relative to B_0.

2. At the beginning of our argument, we require an initial section of π. The main Theorem of [10] guarantees such a section, provided

the geometric fiber is smooth proper and *separably* rationally con-
nected. (Note that [10] assumes that the fibration is defined over a
projective curve; presumably, the projectivity can be relaxed.)

3. To apply our deformation theory, we require that that the initial
   section be in the smooth locus of $\mathcal{X}$; we resolved singularities of $\mathcal{X}$
   along the section to achieve this. Alternately, we could restrict to
   the open subset $B \setminus S \subset B_0 \subset B$ of places of good reduction. Again,
   this would entail reworking the deformation theory in a relative
   context.

4. Property (3) of Section 3.1 does not hold for arbitrary rationally
   connected varieties in positive characteristic; however, it is valid for
   separably rationally connected varieties.

Recall that a smooth variety X is *separably rationally connected* if it
admits a very free rational curve [1], item 35.

We say that a place of F is of *very good* reduction for X if X admits
a local model with smooth, separably rationally connected reduction at
that place. By standard deformation theory, the existence of a place of
very good reduction guarantees that X is geometrically separably ratio-
nally connected over F.

This discussion suggests the following characteristic-free generaliza-
tion of Theorem 3: A smooth proper geometrically separably rationally
connected variety satisfies weak approximation at places of very good
reduction.

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