

# UNIFORM BOUNDS FOR OBSTRUCTIONS TO THE INTEGRAL TATE CONJECTURE

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ABSTRACT. Assuming natural variational realization conjectures, we give uniform bounds for the obstruction to the integral Tate conjecture in 1-dimensional families of algebraic varieties over an infinite finitely generated field.

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## 1. INTRODUCTION

For an abelian group  $A$ , write  $A_{\text{tors}} \subset A$  for its torsion subgroup and  $A \twoheadrightarrow A^{\text{free}} := A/A_{\text{tors}}$  for its maximal torsion-free quotient. For an algebraic group  $G$ , let  $G^\circ \subset G$  denote its neutral component and  $G \twoheadrightarrow \pi_0(G) := G/G^\circ$  its group of connected components.

A variety over a field  $k$  is a separated scheme of finite type over  $k$ .

In this paper  $k$  will denote an infinite field of characteristic  $p \geq 0$ , finitely generated over its prime subfield. We fix a separable closure  $k \hookrightarrow \bar{k}$  and write  $\pi_1(k) = \text{Gal}(\bar{k}|k)$  for the absolute Galois group.

**1.1. Tate conjectures.** Let  $X$  be a smooth projective variety over  $k$ . For every integer  $i \geq 0$ , let  $\text{CH}^i(X)$  denote the group of algebraic cycles of codimension  $i$  on  $X$  modulo rational equivalence, and for every ring  $R$ , set  $\text{CH}^i(X)_R := \text{CH}^i(X) \otimes_{\mathbb{Z}} R$ . For a prime  $\ell \neq p$ , set

$$V_{\mathbb{Z}_\ell} := H^{2i}(X_{\bar{k}}, \mathbb{Z}_\ell(i)).$$

Let  $G_\ell \subset \text{GL}(V_{\mathbb{Q}_\ell})$  denote the Zariski-closure of the image of  $\pi_1(k)$  acting on  $V_{\mathbb{Q}_\ell} := V_{\mathbb{Z}_\ell} \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$  and let

$$\tilde{V}_{\mathbb{Q}_\ell} := (V_{\mathbb{Q}_\ell})^{G_\ell} \subset V_{\mathbb{Q}_\ell}$$

denote the  $\mathbb{Q}_\ell$ -vector space of Tate classes. The cycle class map  $c_\ell : \text{CH}^i(X_{\bar{k}}) \rightarrow V_{\mathbb{Z}_\ell}$  for  $\mathbb{Z}_\ell$ -étale cohomology fits into the following canonical Cartesian diagram

$$(1) \quad \begin{array}{ccccccc} & & & \xrightarrow{c_\ell} & & & \\ & & & \searrow & & & \\ \text{CH}^i(X_{\bar{k}}) & \longrightarrow & \text{CH}^i(X_{\bar{k}})_{\mathbb{Z}_\ell} & \longrightarrow & V_{\mathbb{Z}_\ell}^a & \hookrightarrow & \tilde{V}_{\mathbb{Z}_\ell} & \hookrightarrow & V_{\mathbb{Z}_\ell} \\ & \downarrow & \downarrow & & \downarrow & & \downarrow & \square & \downarrow \\ & & & & V_{\mathbb{Z}_\ell}^{\text{free}, a} & \hookrightarrow & \tilde{V}_{\mathbb{Z}_\ell}^{\text{free}} & \hookrightarrow & V_{\mathbb{Z}_\ell}^{\text{free}} \\ & & & & \downarrow & & \downarrow & \square & \downarrow \\ \text{CH}^i(X_{\bar{k}})_{\mathbb{Q}} & \longrightarrow & \text{CH}^i(X_{\bar{k}})_{\mathbb{Q}_\ell} & \longrightarrow & V_{\mathbb{Q}_\ell}^a & \hookrightarrow & \tilde{V}_{\mathbb{Q}_\ell} & \hookrightarrow & V_{\mathbb{Q}_\ell}, \end{array}$$

where  $V_{\mathbb{Z}_\ell}^a$  (resp.  $V_{\mathbb{Q}_\ell}^a$ ) is the image of the cycle class map  $c_\ell \otimes \mathbb{Z}_\ell : \text{CH}^i(X_{\bar{k}})_{\mathbb{Z}_\ell} \rightarrow V_{\mathbb{Z}_\ell}$  (resp.  $c_\ell \otimes \mathbb{Q}_\ell$ ) and where  $\tilde{V}_{\mathbb{Z}_\ell}$  and  $\tilde{V}_{\mathbb{Z}_\ell}^{\text{free}}$  are defined by the rightmost Cartesian squares of the diagram.

The (classical) rational  $\mathbb{Q}_\ell$ -Tate conjecture for codimension  $i$  cycles on  $X$  [Ta65]

$$\text{Tate}_{\mathbb{Q}_\ell}(X, i) \quad V_{\mathbb{Q}_\ell}^a = \tilde{V}_{\mathbb{Q}_\ell}$$

admits the following integral variants:

$$\begin{aligned} \text{Tate}_{\mathbb{Z}_\ell}^{\text{free}}(X, i) \quad V_{\mathbb{Z}_\ell}^{\text{free}, a} &= \tilde{V}_{\mathbb{Z}_\ell}^{\text{free}} \quad (\text{Integral Tate conjecture modulo torsion}); \\ \text{Tate}_{\mathbb{Z}_\ell}(X, i) \quad V_{\mathbb{Z}_\ell}^a &= \tilde{V}_{\mathbb{Z}_\ell} \quad (\text{Integral Tate conjecture}). \end{aligned}$$

While, tautologically,

$$\text{Tate}_{\mathbb{Z}_\ell}(X, i) \Rightarrow \text{Tate}_{\mathbb{Z}_\ell}^{\text{free}}(X, i) \Rightarrow \text{Tate}_{\mathbb{Q}_\ell}(X, i),$$

it is known that, in general, the converse implications fail (see e.g. [CTS10, AtH62] for an example of the failure of  $\text{Tate}_{\mathbb{Z}_\ell}(X, i)$  and [CTS10, Ko90, To13] for examples of the failure of  $\text{Tate}_{\mathbb{Z}_\ell}^{\text{free}}(X, i)$ ).

The aim of this note is to analyze the obstructions to  $\text{Tate}_{\mathbb{Z}_\ell}(X, i)$ ,  $\text{Tate}_{\mathbb{Z}_\ell}^{\text{free}}(X, i)$  when  $X$  varies in family. Our arguments provide a new application of the structure theorem of the degeneration locus of  $\ell$ -adic local systems of [CT13] (see Fact A), in the spirit of [CC20, C23].

Before considering the variational setting, we make some elementary remarks. By definition, the obstructions to  $\text{Tate}_{\mathbb{Q}_\ell}(X, i)$ ,  $\text{Tate}_{\mathbb{Z}_\ell}^{\text{free}}(X, i)$ ,  $\text{Tate}_{\mathbb{Z}_\ell}(X, i)$  are, respectively:

$$\tilde{C}_{\mathbb{Q}_\ell} := \tilde{V}_{\mathbb{Q}_\ell} / V_{\mathbb{Q}_\ell}^a, \quad \tilde{C}_{\mathbb{Z}_\ell}^{\text{free}} := \tilde{V}_{\mathbb{Z}_\ell}^{\text{free}} / V_{\mathbb{Z}_\ell}^{\text{free}, a}, \quad \tilde{C}_{\mathbb{Z}_\ell} := \tilde{V}_{\mathbb{Z}_\ell} / V_{\mathbb{Z}_\ell}^a.$$

1.1.1.  $\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}}$  versus  $\tilde{C}_{\mathbb{Z}_\ell}$ . The short exact sequence

$$(2) \quad 0 \rightarrow (V_{\mathbb{Z}_\ell})_{\text{tors}} / (V_{\mathbb{Z}_\ell}^a)_{\text{tors}} \rightarrow \tilde{C}_{\mathbb{Z}_\ell} \rightarrow \tilde{C}_{\mathbb{Z}_\ell}^{\text{free}} \rightarrow 0$$

realizes  $\tilde{C}_{\mathbb{Z}_\ell}$  an extension of  $\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}}$  by a finite group which is a quotient of  $(V_{\mathbb{Z}_\ell})_{\text{tors}}$ . As  $(V_{\mathbb{Z}_\ell})_{\text{tors}}$  is constant in family, the problems of bounding uniformly  $\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}}$  and  $\tilde{C}_{\mathbb{Z}_\ell}$  are essentially equivalent.

1.1.2.  $\tilde{C}_{\mathbb{Q}_\ell}$  versus  $\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}}$ . From  $\tilde{C}_{\mathbb{Q}_\ell} = \tilde{C}_{\mathbb{Z}_\ell}^{\text{free}} \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$  and the short exact sequence (2), one has the following equivalences

$$\text{Tate}_{\mathbb{Q}_\ell}(X, i) \Leftrightarrow (\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}})_{\text{tors}} = \tilde{C}_{\mathbb{Z}_\ell}^{\text{free}} \Leftrightarrow (\tilde{C}_{\mathbb{Z}_\ell})_{\text{tors}} = \tilde{C}_{\mathbb{Z}_\ell}$$

and, in case they hold, (2) reads

$$(3) \quad 0 \rightarrow (V_{\mathbb{Z}_\ell})_{\text{tors}} / (V_{\mathbb{Z}_\ell}^a)_{\text{tors}} \rightarrow (\tilde{C}_{\mathbb{Z}_\ell})_{\text{tors}} \rightarrow (\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}})_{\text{tors}} \rightarrow 0.$$

So that, assuming  $\text{Tate}_{\mathbb{Q}_\ell}(X, i)$ , the obstructions we are interested in are  $(\tilde{C}_{\mathbb{Z}_\ell})_{\text{tors}}$ ,  $(\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}})_{\text{tors}}$ . The obstruction  $(\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}})_{\text{tors}}$  can be described without involving the  $\mathbb{Z}_\ell$ -module  $\tilde{V}_{\mathbb{Z}_\ell}^{\text{free}}$  of Tate classes. Indeed, writing

$$C_{\mathbb{Z}_\ell}^{\text{free}} := V_{\mathbb{Z}_\ell}^{\text{free}} / V_{\mathbb{Z}_\ell}^{\text{free}, a},$$

it follows from the short exact sequence

$$0 \rightarrow C_{\mathbb{Z}_\ell}^{\text{free}} \rightarrow \tilde{C}_{\mathbb{Z}_\ell}^{\text{free}} \rightarrow V_{\mathbb{Z}_\ell}^{\text{free}} / \tilde{V}_{\mathbb{Z}_\ell}^{\text{free}} \rightarrow 0$$

and the fact that  $V_{\mathbb{Z}_\ell}^{\text{free}} / \tilde{V}_{\mathbb{Z}_\ell}^{\text{free}}$  is torsion-free that

$$(C_{\mathbb{Z}_\ell}^{\text{free}})_{\text{tors}} = (\tilde{C}_{\mathbb{Z}_\ell}^{\text{free}})_{\text{tors}}.$$

1.2. Let now  $S$  be a smooth, geometrically connected variety over  $k$ , with generic point  $\eta$ , and  $f : X \rightarrow S$  a smooth projective morphism. For  $s \in S$ , denote by a subscript  $(-)_s$  the various modules attached to  $X_s$  introduced above (e.g.  $V_{\mathbb{Z}_\ell, s} := \text{H}^{2i}(X_s, \mathbb{Z}_\ell(i))$ ,  $V_{\mathbb{Z}_\ell, s}^a := \text{im}[\text{CH}^i(X_s)_{\mathbb{Z}_\ell} \rightarrow V_{\mathbb{Z}_\ell, s}]$  etc.). One would like to investigate how

$$\widetilde{\text{Ob}}_{\mathbb{Z}_\ell, s} := |(\tilde{C}_{\mathbb{Z}_\ell, s})_{\text{tors}}|$$

vary with  $s \in |S|$ . In particular, the vanishing of the obstruction group  $(\tilde{C}_{\mathbb{Z}_\ell, s})_{\text{tors}}$  reads as  $\widetilde{\text{Ob}}_{\mathbb{Z}_\ell, s} = 1$ .

1.2.1. Assume first  $p = 0$ . The following statement is predicted by the main conjecture of [C23]. For every integer  $d \geq 1$ , let  $|S|^{\leq d} \subset |S|$  denote the set of all closed points  $s \in |S|$  with residue degree  $[k(s) : k] \leq d$ .

**Conjecture 1.** *For every integer  $d \geq 1$ , one has*

$$\widetilde{\text{Ob}}_{\mathbb{Z}_\ell}^{\leq d} := \sup\{\widetilde{\text{Ob}}_{\mathbb{Z}_\ell, s} \mid s \in |S|^{\leq d}\} < +\infty$$

and  $\widetilde{\text{Ob}}_{\mathbb{Z}_\ell}^{\leq d} = 1$ ,  $\ell \gg 0$ .

Our first main result is that Conjecture 1 holds when  $S$  is a curve *modulo* some reasonable variational realization conjecture, which we discuss now.

- **Singular cohomology:** Fix an embedding  $\infty : k \hookrightarrow \mathbb{C}$ , let  $(-)_\infty$  denote the base-change functor along  $\text{Spec}(\mathbb{C}) \xrightarrow{\infty} \text{Spec}(k)$  and  $(-)^{\text{an}}$  the analytification functor from varieties over  $\mathbb{C}$  to complex analytic spaces. For every  $s_\infty \in S_\infty(\mathbb{C})$  the cycle class maps for singular cohomology

$$c : \text{CH}^i(X_\infty)_\mathbb{Q} \rightarrow \text{H}^{2i}(X_\infty^{\text{an}}, \mathbb{Q}(i)), \quad c_{s_\infty} : \text{CH}^i(X_{s_\infty})_\mathbb{Q} \rightarrow \text{H}^{2i}(X_{s_\infty}^{\text{an}}, \mathbb{Q}(i))$$

fit into a canonical commutative diagram

$$\begin{array}{ccc} \text{CH}^i(X_\infty)_\mathbb{Q} & \xrightarrow{|_{X_\infty, s}} & \text{CH}^i(X_{s_\infty})_\mathbb{Q} \\ \downarrow c & & \downarrow c_{s_\infty} \\ \text{H}^{2i}(X_\infty^{\text{an}}, \mathbb{Q}(i)) & \xrightarrow{\epsilon} \text{H}^0(S_\infty^{\text{an}}, R^{2i}f_{\infty*}^{\text{an}}\mathbb{Q}(i)) \hookrightarrow & \text{H}^{2i}(X_{s_\infty}^{\text{an}}, \mathbb{Q}(i)), \end{array}$$

where  $\epsilon : \text{H}^{2d}(X_\infty^{\text{an}}, \mathbb{Q}(i)) \rightarrow E_\infty^{0,i} \hookrightarrow E_2^{0,i} = \text{H}^0(S_\infty^{\text{an}}, R^{2i}f_{\infty*}^{\text{an}}\mathbb{Q}(i))$  is the edge morphism from the Leray spectral sequence for  $f_\infty^{\text{an}} : X_\infty^{\text{an}} \rightarrow S_\infty^{\text{an}}$ .

$\text{VSing}^0(f_\infty, i)$  For every  $s_\infty \in S_\infty(\mathbb{C})$  and  $\alpha_{s_\infty} \in \text{H}^0(S_\infty^{\text{an}}, R^{2i}f_{\infty*}^{\text{an}}\mathbb{Q}(i)) \subset \text{H}^{2i}(X_{s_\infty}^{\text{an}}, \mathbb{Q}(i))$  the following properties are equivalent:

- 1)  $\alpha_{s_\infty} \in \text{im}[c_{s_\infty, \mathbb{Q}} : \text{CH}^i(X_{s_\infty})_\mathbb{Q} \rightarrow \text{H}^{2i}(X_{s_\infty}^{\text{an}}, \mathbb{Q}(i))]$ ;
- 2) there exists  $\tilde{\alpha} \in \text{CH}^i(X_\infty)_\mathbb{Q}$  such that  $c_{s_\infty}(\tilde{\alpha}|_{X_{s_\infty}}) = \alpha_{s_\infty}$ .

Though it does not involve Hodge classes, the statement  $\text{VSing}^0(f_\infty, i)$  is often referred to as the variational Hodge conjecture for codimension  $i$  cycles because, by the fixed part theorem, it follows from the Hodge conjecture for any smooth compactification of  $X_\infty$  - see *e.g.* [CS13, §3.1] for details and an equivalent formulation using de Rham cohomology. *A priori* the statement  $\text{VSing}^0(f_\infty, i)$  is not preserved by base-change along finite covers of smooth varieties while the obstructions  $\widetilde{\text{Ob}}_{\mathbb{Z}_\ell, s}$ ,  $s \in S$  are. So we will rather consider the following "stabilized" variant  $\text{VSing}(f_\infty, i)$ . For finite covers  $S''_\infty \rightarrow S'_\infty \rightarrow S_\infty$  of smooth varieties, consider the notation in the base-change diagram:

$$\begin{array}{ccccc} X''_\infty & \longrightarrow & X'_\infty & \longrightarrow & X_\infty \\ f''_\infty \downarrow & & \square \downarrow f'_\infty & & \square \downarrow f_\infty \\ S''_\infty & \longrightarrow & S'_\infty & \longrightarrow & S_\infty. \end{array}$$

$\text{VSing}(f_\infty, i)$  There exists a finite cover  $S'_\infty \rightarrow S_\infty$  of smooth varieties over  $\mathbb{C}$  such that for every finite cover  $S''_\infty \rightarrow S'_\infty$  of smooth varieties over  $\mathbb{C}$ ,  $\text{VSing}^0(f''_\infty, i)$  holds.

- **Étale  $\mathbb{Q}_\ell$ -cohomology:** The following is the  $\mathbb{Q}_\ell$ -étale counterpart of  $\text{VSing}^0(f_\infty, i)$ :

$\text{Vet}_{\mathbb{Q}_\ell}^0(f, i)$  For every  $s \in |S|$  and  $\alpha_s \in \text{H}^0(S_{\bar{k}}, R^{2i}f_*\mathbb{Q}_\ell(i)) \subset \text{H}^{2i}(X_{\bar{s}}, \mathbb{Q}_\ell(i))$  the following properties are equivalent:

- 1)  $\alpha_s \in \text{im}[c_{X_{\bar{s}}, \ell} : \text{CH}^i(X_{\bar{s}})_\mathbb{Q} \rightarrow \text{H}^{2i}(X_{\bar{s}}, \mathbb{Q}_\ell(i))]$ ;
- 2) there exists  $\tilde{\alpha} \in \text{CH}^i(X_{\bar{k}})_\mathbb{Q}$  such that  $c_{X_{\bar{s}}, \ell}(\tilde{\alpha}|_{X_{\bar{s}}}) = \alpha_s$ .

One could also consider the seemingly weaker variant  $\text{WVet}_{\mathbb{Q}_\ell}^0(f, i)$  where  $\text{CH}^i(X_{\bar{s}})_\mathbb{Q}$ ,  $\text{CH}^i(X_{\bar{k}})_\mathbb{Q}$  are replaced with  $\text{CH}^i(X_{\bar{s}})_{\mathbb{Q}_\ell}$ ,  $\text{CH}^i(X_{\bar{k}})_{\mathbb{Q}_\ell}$ , and the stabilized variants  $\text{WVet}_{\mathbb{Q}_\ell}(f, i)$ ,  $\text{Vet}_{\mathbb{Q}_\ell}(f, i)$ . Note that the statements  $\text{WVet}_{\mathbb{Q}_\ell}^0(f, i)$ ,  $\text{Vet}_{\mathbb{Q}_\ell}^0(f, i)$  also make sense when  $p > 0$ .

**Proposition 2.** *If  $p = 0$ , one has*

$$\mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i) \Leftrightarrow \mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i) \Leftrightarrow \mathrm{VSing}^0(f_\infty, i).$$

*In general, one always has  $\mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i) \Rightarrow \mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i)$  and  $\mathrm{Tate}_{\mathbb{Q}_\ell}(X_\eta, i) \Rightarrow \mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i)$ .*

We will give a proof of this proposition in section 3.1.3.

In particular, when  $p = 0$ ,  $\mathrm{VSing}^0(f_\infty, i)$  is independent of the embedding  $\infty : k \hookrightarrow \mathbb{C}$  and  $\mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i)$ ,  $\mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i)$  are independent of the prime  $\ell$ .

We can now state our first main result.

**Theorem A.** *Assume  $S$  is a curve and  $\mathrm{VSing}(f_\infty, i)$  holds for one (equivalently every) embedding  $\infty : k \hookrightarrow \mathbb{C}$ . Then, for every integer  $d \geq 1$ , one has  $\widetilde{\mathrm{Ob}}_{\mathbb{Z}_\ell}^{\leq d} < +\infty$  and  $\widetilde{\mathrm{Ob}}_{\mathbb{Z}_\ell}^{\leq d} = 1$  for  $\ell \gg 0$  (depending on  $d$ ).*

1.2.2. Assume now  $p > 0$ . One has a variant of Theorem A for  $d = 1$  but it is slightly more technical. To state it, one has to make a mild assumption on the  $\mathbb{Q}_\ell$ -local system  $\mathcal{V}_{\mathbb{Q}_\ell} := R^{2i}f_*\mathbb{Q}_\ell(i)$ , namely that it is GLU - see Subsection 2.2.1.2 for the definition. One also needs a substitute for  $\mathrm{VSing}(f_\infty, i)$ . According to Proposition 2, a first substitute is  $\mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i)$ . Another natural substitute is the variational realization conjecture in crystalline cohomology  $\mathrm{VCrys}(f, i)$ . This is more subtle. Indeed, as crystalline cohomology is only well-behaved over a perfect residue field, one has first to spread out all the involved data over a finite base field. Another difficulty is that the proof of Theorem A heavily relies on Artin's comparison isomorphism between étale and singular cohomology. But there is no such a direct functorial comparison isomorphism between crystalline and étale cohomology; to remedy this, one has to invoke a weak form -  $\mathrm{CrysEt}_{\mathbb{Q}_\ell}(f, i)$  of the motivic conjecture predicting that homological and numerical equivalence should coincide (combined with a theorem of Ambrosi - see Fact 12).

We now state  $\mathrm{VCrys}(f, i)$  and  $\mathrm{CrysEt}_{\mathbb{Q}_\ell}(f, i)$ . Let  $F$  denote the algebraic closure of  $\mathbb{F}_p$  in  $k$  and let  $\mathcal{X}$  be a smooth, separated, geometrically connected scheme over  $F$  with generic point  $\eta_{\mathcal{X}} : \mathrm{Spec}(k) \rightarrow \mathcal{X}$ , let  $\mathcal{S} \rightarrow \mathcal{X}$  be a smooth, separated and geometrically connected morphism and  $f : \mathcal{X} \rightarrow \mathcal{S}$  a smooth proper morphism fitting in the following Cartesian diagram

$$\begin{array}{ccccc} \mathcal{X} & \xrightarrow{f} & \mathcal{S} & \longrightarrow & \mathcal{X} \\ \uparrow & \square & \uparrow & \square & \uparrow \eta_{\mathcal{X}} \\ X & \xrightarrow{f} & S & \longrightarrow & k \end{array}$$

Let  $K$  denote the fraction field of the ring  $W$  of Witt vectors of  $F$ . For a  $F$ -scheme  $\mathcal{Z}$ , write  $\mathrm{H}_{\mathrm{crys}}^i(\mathcal{Z}) := \mathrm{H}_{\mathrm{crys}}^i(\mathcal{Z}/W)_K$  for the crystalline cohomology with  $K$ -coefficients and

$$c_{\mathrm{crys}} : \mathrm{CH}^i(\mathcal{Z})_{\mathbb{Q}} \rightarrow \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{Z})$$

for the cycle class map. For every  $t \in |\mathcal{S}|$  the cycle class maps

$$c_{\mathrm{crys}} : \mathrm{CH}^i(\mathcal{X}) \rightarrow \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}), \quad c_{\mathrm{crys}, t} : \mathrm{CH}^i(\mathcal{X}_t) \rightarrow \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}_t)$$

fit into a canonical commutative diagram

$$\begin{array}{ccc} \mathrm{CH}^i(\mathcal{X})_{\mathbb{Q}} & \xrightarrow{\quad |_{\mathcal{X}_t} \quad} & \mathrm{CH}^i(\mathcal{X}_t)_{\mathbb{Q}} \\ c_{\mathrm{crys}} \downarrow & & \downarrow c_{\mathrm{crys}, t} \\ \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}) & \xrightarrow{\quad \epsilon \quad} \mathrm{H}^0(\mathcal{S}, R^{2i}f_{\mathrm{crys},*}\mathcal{O}_{\mathcal{X}/W})_K \hookrightarrow & \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}_t), \end{array}$$

where  $\epsilon : \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}) \rightarrow E_{\infty}^{0,i} \hookrightarrow \mathrm{H}^0(\mathcal{S}, R^{2i}f_{\mathrm{crys},*}\mathcal{O}_{\mathcal{X}/W})_K$  is, again, the edge morphism from the Leray spectral sequence for  $f : \mathcal{X} \rightarrow \mathcal{S}$  in crystalline cohomology - see [M23, §1] and the references therein for details. The following is the crystalline analogue of  $\mathrm{VSing}^0(f_\infty, i)$ ,  $\mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i)$  [M23, Conj. 0.1].

$\mathrm{VCrys}^0(f, i)$  For every  $t \in |\mathcal{S}|$  and  $\alpha_t \in \mathrm{H}^0(\mathcal{S}, R^{2i}f_{\mathrm{crys},*}\mathcal{O}_{\mathcal{X}/W})_{\mathbb{Q}} \subset \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}_t)$  the following properties are equivalent:

- 1)  $\alpha_t \in \mathrm{im}[c_{\mathrm{crys}, t} : \mathrm{CH}^i(\mathcal{X}_t)_{\mathbb{Q}} \rightarrow \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}_t)]$ ;

2) there exists  $\tilde{\alpha} \in \mathrm{CH}^i(\mathcal{X})_{\mathbb{Q}}$  such that  $c_{\mathrm{crys},t}(\tilde{\alpha}|_{\mathcal{X}_t}) = \alpha_t$ .

As before, let  $\mathrm{VCrys}(f, i)$  denote its stabilized variant.

Also, consider the following statement

$\mathrm{CrysEt}_{\mathbb{Q}_\ell}(f, i)$  For every  $t \in |\mathcal{S}|$ , the kernel of the cycle class maps

$$c_{\mathrm{crys},t} : \mathrm{CH}^i(\mathcal{X}_t)_{\mathbb{Q}} \rightarrow \mathrm{H}_{\mathrm{crys}}^{2i}(\mathcal{X}_t), \quad c_{\ell,t} : \mathrm{CH}^i(\mathcal{X}_t)_{\mathbb{Q}} \rightarrow \mathrm{H}^{2i}(\mathcal{X}_t, \mathbb{Q}_\ell)$$

coincide,

which follows from the standard conjecture predicting that homological and numerical equivalences should coincide, which, in turn, is a consequence of the conjecture predicting that the category of effective motives should be abelian semisimple [J92].

We can now state the analogue of Theorem A when  $p > 0$ .

**Theorem B.** *Assume  $S$  is a curve,  $\mathcal{V}_{\mathbb{Q}_\ell}$  is GLU and either (i)  $\mathrm{WVet}_{\mathbb{Q}_\ell}(f, i)$  or (ii)  $\mathrm{VCrys}(f, i) + \mathrm{CrysEt}_{\mathbb{Q}_\ell}(f, i)$  holds. Then, one has  $\widetilde{\mathrm{Ob}}_{\mathbb{Z}_\ell}^{\leq 1} < +\infty$ .*

**Remark 3.** We do not know if, under the assumptions of Theorem B,  $\widetilde{\mathrm{Ob}}_{\mathbb{Z}_\ell}^{\leq 1} = 0$ ,  $\ell \gg 0$ .

1.2.3. *Unramified cohomology.* When  $i = 2$ ,  $(\tilde{C}_{\mathbb{Z}_\ell, s})_{\mathrm{tors}}$  can be described in terms of degree 3 unramified cohomology. More precisely, set  $C_{\mathbb{Z}_\ell, s} := V_{\mathbb{Z}_\ell} / V_{\mathbb{Z}_\ell, s}^a$ . From the short exact sequence

$$0 \rightarrow \tilde{C}_{\mathbb{Z}_\ell, s} \rightarrow C_{\mathbb{Z}_\ell, s} \rightarrow V_{\mathbb{Z}_\ell, s} / \tilde{V}_{\mathbb{Z}_\ell, s} \rightarrow 0$$

and the fact that  $V_{\mathbb{Z}_\ell, s} / \tilde{V}_{\mathbb{Z}_\ell, s}$  is torsion-free, one has  $(\tilde{C}_{\mathbb{Z}_\ell, s})_{\mathrm{tors}} = (C_{\mathbb{Z}_\ell, s})_{\mathrm{tors}}$ . If  $i = 2$ , [CTK13, Thm. 2.2] states that  $(C_{\mathbb{Z}_\ell, s})_{\mathrm{tors}}$  is isomorphic to

$$\mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))_{\mathrm{ndiv}} \stackrel{\mathrm{def}}{=} \mathrm{coker}[\mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))_{\mathrm{div}} \rightarrow \mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))].$$

Here for an abelian group  $A$ , we let  $A_{\mathrm{div}} \subset A$  denote its maximal divisible subgroup.

Hence Theorem A and Theorem B for  $i = 2$  imply:

**Corollary 4.** *Assume  $S$  is a curve.*

(1) *Assume  $p = 0$  and  $\mathrm{VSing}(f_\infty, i)$  for some embedding  $\infty : k \hookrightarrow \mathbb{C}$  holds. Then, for every integer  $d \geq 1$ ,*

$$\sup\{|\mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))_{\mathrm{ndiv}}| \mid s \in |S|^{\leq d}\} < +\infty,$$

*and  $\mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))_{\mathrm{ndiv}} = 0$ ,  $s \in |S|^{\leq d}$  for  $\ell \gg 0$  (depending on  $d$ ).*

(2) *Assume  $p > 0$ ,  $\mathcal{V}_{\mathbb{Q}_\ell}$  is GLU and either (i)  $\mathrm{WVet}_{\mathbb{Q}_\ell}(f, i)$  or (ii)  $\mathrm{VCrys}(f, i) + \mathrm{CrysEt}_{\mathbb{Q}_\ell}(f, i)$  holds. Then,*

$$\sup\{|\mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))_{\mathrm{ndiv}}| \mid s \in S(k)\} < +\infty,$$

*and  $\mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))_{\mathrm{ndiv}} = 0$ ,  $s \in S(k)$  for  $\ell \gg 0$ .*

For integers  $a \geq 0$ ,  $b, c$  and  $A_\ell = \mathbb{Z}_\ell, \mathbb{Q}_\ell, \mathbb{Q}_\ell / \mathbb{Z}_\ell$  etc., Schreieder introduces refined unramified cohomology groups  $\mathrm{H}_{c, \mathrm{nr}}^a(X_{\bar{s}}, A_\ell(b))$  [S23, §1.2] which, when  $c = 0$ , coincide with the usual unramified cohomology groups. By [S23, Thm. 1.8], for every integer  $i \geq 0$  one has:

$$(\tilde{C}_{\mathbb{Z}_\ell, s})_{\mathrm{tors}} \simeq \mathrm{H}_{i-2, \mathrm{nr}}^{2i-1}(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i))_{\mathrm{ndiv}} \stackrel{\mathrm{def}}{=} \mathrm{coker}[\mathrm{H}_{i-2, \mathrm{nr}}^{2i-1}(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i))_{\mathrm{div}} \rightarrow \mathrm{H}_{i-2, \mathrm{nr}}^{2i-1}(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i))].$$

So, Corollary 4 holds more generally with  $\mathrm{H}_{\mathrm{nr}}^3(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2))_{\mathrm{ndiv}}$  replaced by  $\mathrm{H}_{i-2, \mathrm{nr}}^{2i-1}(X_{\bar{s}}, \mathbb{Q}_\ell / \mathbb{Z}_\ell(i))_{\mathrm{ndiv}}$ .

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In Section 2.1 we review basic properties of cycle class maps for étale  $\mathbb{Z}_\ell$ -cohomology in families, introduce the notion of  $\mathcal{V}_{\mathbb{Q}_\ell}$ -generic points and describe the general strategy for the proof of Theorem A and Theorem B. In Section 3, we inject comparison with singular cohomology - Subsection 3.1, to prove Proposition 2 and

conclude the proofs of Theorem A, and with crystalline cohomology - Subsection 3.2, to conclude the proof of Theorem B. In Subsection 3.1.5, we also explain how to derive from Theorem A its variant in the setting of the integral Hodge conjecture.

## 2. ÉTALE CYCLE CLASS MAPS IN FAMILIES AND GLOBAL STRATEGY

**2.1. Étale  $\mathbb{Z}_\ell$ -local systems.** Let  $S$  be a smooth, geometrically connected variety over  $k$ . For every  $s \in S$ , fix a geometric point  $\bar{s}$  over it and an étale path  $\alpha_{\bar{s}} : (-)_{\bar{s}} \xrightarrow{\sim} (-)_{\bar{\eta}}$ . In particular, for every  $\mathbb{Z}_\ell$ -local system  $\mathcal{V}_{\mathbb{Z}_\ell}$  on  $S$ , one identifies  $\mathcal{V}_{\mathbb{Z}_\ell, \bar{s}} \xrightarrow{\sim} \mathcal{V}_{\mathbb{Z}_\ell, \bar{\eta}}$  equivariantly with respect to the isomorphism of étale fundamental groups  $\pi_1(S, \bar{s}) \xrightarrow{\sim} \pi_1(S, \bar{\eta})$ ,  $\gamma \mapsto \alpha_{\bar{s}} \gamma \alpha_{\bar{s}}^{-1}$ . As a result, we will in general omit fiber functors from our notation and simply write

$$V_{\mathbb{Z}_\ell} := \mathcal{V}_{\mathbb{Z}_\ell, \bar{s}} \xrightarrow{\sim} \mathcal{V}_{\mathbb{Z}_\ell, \bar{\eta}}, \quad V_{\mathbb{Q}_\ell} := V_{\mathbb{Z}_\ell} \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell.$$

Let  $f : X \rightarrow S$  be a smooth projective morphism.

**2.1.1. Notational conventions.** Consider the  $\mathbb{Z}_\ell$ -étale local system  $\mathcal{V}_{\mathbb{Z}_\ell} := R^{2i} f_* \mathbb{Z}_\ell(i)$  on  $S$ . Let  $G_\ell \subset \mathrm{GL}(V_{\mathbb{Q}_\ell})$  denote the Zariski-closure of the image of  $\pi_1(S)$  acting on  $V_{\mathbb{Q}_\ell}$ ; let also  $\overline{G}_\ell \subset G_\ell$  and, for every  $s \in S$ ,  $G_{\ell, s} \subset G_\ell$  denote the Zariski closure of the images of  $\pi_1(S_{\bar{k}})$  and  $\pi_1(s)$  acting on  $V_{\mathbb{Q}_\ell}$  by restriction along the functorial morphisms  $\pi_1(S_{\bar{k}}) \rightarrow \pi_1(S)$  and  $\pi_1(s) \rightarrow \pi_1(S)$  respectively (in particular  $G_{\ell, \eta} = G_\ell$ ). As  $S$  is geometrically connected over  $k$ , the functorial sequence

$$1 \rightarrow \pi_1(S_{\bar{k}}) \rightarrow \pi_1(S) \rightarrow \pi_1(k) \rightarrow 1$$

is exact, hence  $\overline{G}_\ell \subset G_\ell$  is a normal subgroup, and for every closed point  $s \in |S|$ , one has  $G_\ell^\circ = \overline{G}_\ell^\circ G_{\ell, s}^\circ$ .

**2.1.2. Specialization and extension of algebraically closed fields.** We recall the following two properties of the cycle class map for étale  $\mathbb{Z}_\ell$ -cohomology.

**2.1.2.1. Compatibility with specialization of algebraic cycles.** For every  $s \in S$ , one has a commutative diagram

$$\begin{array}{ccc} \mathrm{CH}^i(X_{\bar{k}}) & \xrightarrow{|X_{\bar{\eta}}|} & \mathrm{CH}^i(X_{\bar{\eta}}) \\ |X_{\bar{s}}| \downarrow & \swarrow \mathrm{sp}_{\eta, s} & \downarrow c_{\ell, \eta} \\ \mathrm{CH}^i(X_{\bar{s}}) & \xrightarrow{c_{\ell, s}} & V_{\mathbb{Z}_\ell} \end{array}$$

(see [F98, § 20.3, Ex. 20.3.1 and 20.3.5]).

**2.1.2.2. "Invariance" under extension of algebraically closed field.** Let  $\Omega \hookrightarrow \Omega'$  be an extension of algebraically closed fields of characteristic  $\neq \ell$  and let  $Y$  be a smooth proper variety over  $\Omega$ . Consider the canonical commutative square

$$\begin{array}{ccc} \mathrm{CH}^i(Y) & \xrightarrow{c_\ell} & \mathrm{H}^{2i}(Y, \mathbb{Z}_\ell(i)) \\ |Y_{\Omega'}| \downarrow & & \downarrow \simeq \\ \mathrm{CH}^i(Y_{\Omega'}) & \xrightarrow{c_\ell} & \mathrm{H}^{2i}(Y_{\Omega'}, \mathbb{Z}_\ell(i)). \end{array}$$

Then<sup>1</sup>,

$$\mathrm{im}[c_\ell \circ -|_{Y_{\Omega'}}] : \mathrm{CH}^i(Y) \rightarrow \mathrm{H}^{2i}(Y_{\Omega'}, \mathbb{Z}_\ell(i)) = \mathrm{im}[c_\ell : \mathrm{CH}^i(Y_{\Omega'}) \rightarrow \mathrm{H}^{2i}(Y_{\Omega'}, \mathbb{Z}_\ell(i))].$$

In particular,  $V_{\mathbb{Z}_\ell, s}^a, V_{\mathbb{Z}_\ell, s}^{\mathrm{free}, a}$  etc. are independent of the geometric point  $\bar{s}$  over  $s$ .

<sup>1</sup>In fact, a cycle  $\xi \in \mathrm{CH}^i(Y_{\Omega'})$  is defined over a finitely generated algebraically closed field  $\Omega'' \subset \Omega'$ . One could then find a smooth and proper model of  $Y$  over a small affine scheme  $U$  over  $\Omega$  with generic point  $\Omega''$  and use the specialization at a  $\Omega$ -point of  $U$ , as in 2.1.2.1.

2.1.3. *The lattice  $\Lambda_{\mathbb{Z}_\ell}$ .* For every  $s \in S$ , define

$$\Lambda_{\mathbb{Z}_\ell, s} := \text{im}[\text{CH}^i(X_{\bar{k}})_{\mathbb{Z}_\ell} \rightarrow \text{CH}^i(X_{\bar{s}})_{\mathbb{Z}_\ell} \xrightarrow{c_{\ell, s}} V_{\mathbb{Z}_\ell}^{\text{free}}] \subset V_{\mathbb{Z}_\ell}^{\text{free}}.$$

By construction and 2.1.2, one has

$$\Lambda_{\mathbb{Z}_\ell, s} \subset V_{\mathbb{Z}_\ell, \eta}^{\text{free}, a} \subset V_{\mathbb{Z}_\ell, s}^{\text{free}, a} \subset V_{\mathbb{Z}_\ell}^{\text{free}}.$$

**Lemma 5.** *The lattice  $\Lambda_{\mathbb{Z}_\ell} := \Lambda_{\mathbb{Z}_\ell, s} \subset V_{\mathbb{Z}_\ell}^{\text{free}}$  is independent of  $s$  (modulo the identifications  $V_{\mathbb{Z}_\ell} = \mathcal{V}_{\mathbb{Z}_\ell, \bar{s}} \simeq \mathcal{V}_{\mathbb{Z}_\ell, \bar{\eta}}$ ).*

*Proof.* This follows from the fact that the restriction morphism  $\text{H}^{2i}(X_{\bar{k}}, \mathbb{Z}_\ell(i)) \rightarrow \text{H}^{2i}(X_{\bar{s}}, \mathbb{Z}_\ell(i)) = V_{\mathbb{Z}_\ell}$  factors through the edge morphism  $\epsilon : \text{H}^{2i}(X_{\bar{k}}, \mathbb{Z}_\ell(i)) \rightarrow E_\infty^{0, i} \hookrightarrow E_2^{0, i} = \text{H}^0(S_\infty, R^{2i}f_*\mathbb{Z}_\ell(i))$  of the Leray spectral sequence for  $f : X \rightarrow S$  as

$$\begin{array}{ccc} \text{CH}^i(X_{\bar{k}})_{\mathbb{Z}_\ell} & \xrightarrow{|X_{\bar{s}}} & \text{CH}^i(X_{\bar{s}})_{\mathbb{Z}_\ell} \\ \downarrow c_\ell & & \downarrow c_{\ell, s} \\ \text{H}^{2i}(X_{\bar{k}}, \mathbb{Z}_\ell(i)) & \xrightarrow{\epsilon} \text{H}^0(S_{\bar{k}}, R^{2i}f_*\mathbb{Z}_\ell(i)) \xrightarrow{(-)_{\bar{s}}} & V_{\mathbb{Z}_\ell}^{\text{free}} \end{array}$$

and the fact the embedding

$$V_{\mathbb{Z}_\ell}^{\text{free}} \cap (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell} = \text{im}[\text{H}^0(S_{\bar{k}}, R^{2i}f_*\mathbb{Z}_\ell(i)) \xrightarrow{(-)_{\bar{s}}} V_{\mathbb{Z}_\ell}^{\text{free}}] \subset V_{\mathbb{Z}_\ell}^{\text{free}}$$

is independent of  $s$  (modulo the identifications  $V_{\mathbb{Z}_\ell} = \mathcal{V}_{\mathbb{Z}_\ell, \bar{s}} \simeq \mathcal{V}_{\mathbb{Z}_\ell, \bar{\eta}}$ ).  $\square$

**Remark 6.** Assume<sup>2</sup> there exists a smooth compactification  $X \hookrightarrow X^{\text{cpt}}$ . Then the surjectivity of the restriction morphism  $\text{CH}^i(X_{\bar{k}}^{\text{cpt}}) \rightarrow \text{CH}^i(X_{\bar{k}})$  and the functoriality of cycle class maps shows that  $\Lambda_{\mathbb{Z}_\ell}$  can also be described as

$$\Lambda_{\mathbb{Z}_\ell} = \text{im}[\text{CH}^i(X_{\bar{k}}^{\text{cpt}})_{\mathbb{Z}_\ell} \xrightarrow{c_\ell} \text{H}^{2i}(X_{\bar{k}}^{\text{cpt}}, \mathbb{Z}_\ell(i)) \rightarrow \text{H}^{2i}(X_{\bar{s}}^{\text{cpt}}, \mathbb{Z}_\ell(i)) \rightarrow V_{\mathbb{Z}_\ell}^{\text{free}}].$$

In particular, if  $\bar{k} \hookrightarrow \Omega$  is an extension of algebraically closed fields and  $s_\Omega$  a geometric point on  $S_\Omega$  over  $\bar{s}$ , then 2.1.2.2 shows that

$$\Lambda_{\mathbb{Z}_\ell} = \text{im}[\text{CH}^i(X_\Omega)_{\mathbb{Z}_\ell} \rightarrow \text{CH}^i(X_{s_\Omega})_{\mathbb{Z}_\ell} \xrightarrow{c_{\ell, s_\Omega}} V_{\mathbb{Z}_\ell}^{\text{free}}].$$

**2.2. Strategy for the proof of Theorem A and Theorem B.** We retain the notation and conventions of Subsection 1.2 and Subsection 2.1.1. For every  $s \in S$ , set

$$\text{Ob}_{\mathbb{Z}_\ell, s}^{\text{free}} := |(C_{\mathbb{Z}_\ell, s}^{\text{free}})_{\text{tors}}|.$$

As

$$\widetilde{\text{Ob}}_{\mathbb{Z}_\ell, s} \leq |(V_{\mathbb{Z}_\ell})_{\text{tors}}| \text{Ob}_{\mathbb{Z}_\ell, s}^{\text{free}}$$

and as  $(V_{\mathbb{Z}_\ell})_{\text{tors}}$  is independent of  $s \in S$  and, if<sup>3</sup>  $p = 0$ ,  $(V_{\mathbb{Z}_\ell})_{\text{tors}} = 0$ ,  $\ell \gg 0$ , it is enough to prove Theorem A, Theorem B for  $\text{Ob}_{\mathbb{Z}_\ell, s}^{\text{free}}$  instead of  $\widetilde{\text{Ob}}_{\mathbb{Z}_\ell, s}$ .

**2.2.1.  $\mathcal{V}_{\mathbb{Q}_\ell}$ -generic points.** The proofs of Theorem A and Theorem B are parallel and follow from the combination of two independent statements involving  $\mathcal{V}_{\mathbb{Q}_\ell}$ -generic points. Let  $\mathcal{V}_{\mathbb{Z}_\ell}$  be a  $\mathbb{Z}_\ell$ -local system on  $S$ .

**2.2.1.1.  $\mathcal{V}_{\mathbb{Q}_\ell}$ -generic points.** Define the sets of closed  $\mathcal{V}_{\mathbb{Q}_\ell}$ -generic points to be the subset  $|S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}} \subset |S|$  of all  $s \in |S|$  satisfying the following equivalent conditions

$$G_{\ell, s}^\circ = G_\ell^\circ \Leftrightarrow G_{\ell, s}^\circ \supset G_\ell^\circ \Leftrightarrow G_{\ell, s}^\circ \supset \bar{G}_\ell^\circ,$$

and let  $|S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{ngen}} := |S| \setminus |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}} \subset |S|$  be the subset of closed non- $\mathcal{V}_{\mathbb{Q}_\ell}$ -generic points. Note that  $|S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$  is contained in the set of all  $s \in |S|$  such that  $V_{\mathbb{Q}_\ell, s}^a \subset (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell^\circ}$ .

<sup>2</sup>If  $p = 0$ , this is always the case - see [Na62], [Na63], [Hi64].

<sup>3</sup>This follows from Artin's comparison - see Subsection 3.1.2 and the fact that singular cohomology groups are finitely generated. This is also true if  $p > 0$  [G83] but we will not resort to this fact.

2.2.1.2. *Sparcity.* Under mild assumptions one expects non- $\mathcal{V}_{\mathbb{Q}_\ell}$ -generic points to be sparse - see [C23] for details. When  $S$  is a curve, one has the following unconditional results. Let  $\overline{\Pi}_\ell$  denote the image of  $\pi_1(S_{\bar{k}})$  acting on  $V_{\mathbb{Q}_\ell}$  and, if  $p > 0$ , let  $\overline{\Pi}_\ell^+ (\supset \overline{\Pi}_\ell)$  denote the image of  $\pi_1(S_{k\overline{\mathbb{F}}_p})$  acting on  $V_{\mathbb{Q}_\ell}$ ; these are  $\ell$ -adic Lie groups. One says that  $\mathcal{V}_{\mathbb{Q}_\ell}$  is:

- GLP (geometrically Lie perfect) if  $\text{Lie}(\overline{\Pi}_\ell)$  is a perfect Lie algebra *viz* one has  $[\text{Lie}(\overline{\Pi}_\ell), \text{Lie}(\overline{\Pi}_\ell)] = 0$ ;
- and, if  $p > 0$ , GLU (geometrically Lie unrelated) if  $\text{Lie}(\overline{\Pi}_\ell)$  and  $\text{Lie}(\overline{\Pi}_\ell^+)$  have no non-trivial common quotient.

**Fact A.** ([CT13, Thm. 1]). *Assume  $p = 0$ ,  $S$  is a curve and  $\mathcal{V}_{\mathbb{Q}_\ell}$  is GLP. Then for every integer  $d \geq 1$ , the set  $|S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{ngen}} \cap |S|^{\leq d}$  is finite.*

**Fact B.** ([T24]; see also the discussion in [A23, 1.7.1]). *Assume  $p > 0$ ,  $S$  is a curve and  $\mathcal{V}_{\mathbb{Q}_\ell}$  is GLU. Then the set  $|S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{ngen}} \cap S(k)$  is finite.*

The  $\mathbb{Z}_\ell$ -local system  $\mathcal{V}_\ell = R^{2i}f_*\mathbb{Q}_\ell(i)$  is GLP [D71], [D80]. If  $p > 0$ , it is not necessarily GLU but still, it is *e.g.* if  $\overline{\Pi}_\ell$  is open in the derived subgroup of the image of  $\pi_1(S_{\bar{k}})$  acting on  $V_{\mathbb{Q}_\ell}$  - see [A23, Rem. 1.7.1.4] for details.

2.2.2. *The main Lemmas.* Fact A immediately reduce the proof of Theorem A to the proof of:

**Lemma A.** *Set  $\mathcal{V}_{\mathbb{Z}_\ell} := R^{2i}f_*\mathbb{Z}_\ell(i)$ . Assume  $p = 0$  and  $\text{VSing}(f_\infty, i)$  holds for some (equivalently every) embedding  $\infty : k \hookrightarrow \mathbb{C}$ . Then,*

$$\text{Ob}_{\mathbb{Z}_\ell}^{\text{free, gen}} := \sup\{\text{Ob}_{\mathbb{Z}_\ell, s}^{\text{free}} \mid s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}\} < +\infty,$$

and  $\text{Ob}_{\mathbb{Z}_\ell}^{\text{free, gen}} = 1$  for  $\ell \gg 0$ .

The proof of Lemma A will be carried out in Section 3.1.4.

Similarly, Fact B immediately reduces the proof of Theorem B to the proof of:

**Lemma B.** *Set  $\mathcal{V}_{\mathbb{Z}_\ell} := R^{2i}f_*\mathbb{Z}_\ell(i)$ . Assume  $p > 0$  and either (i)  $\text{WVEt}_{\mathbb{Q}_\ell}(f, i)$  or (ii)  $\text{VCrys}(f, i) + \text{CrysEt}_{\mathbb{Q}_\ell}(f, i)$  holds. Then,  $\text{Ob}_{\mathbb{Z}_\ell}^{\text{free, gen}} < +\infty$ .*

The proof of Lemma B will be carried out in Section 3.2.2.

Note that Lemma A and Lemma B do not involve any restriction on the dimension of  $S$  nor on the degree of the residue field  $k(s)$  for  $s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ .

**Remark 7.** *A priori*, the assumptions in Lemma A, Lemma B do not imply  $\text{Tate}_{\mathbb{Q}_\ell}(X_s, i)$ ,  $s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ . However, if one assumes  $\text{Tate}_{\mathbb{Q}_\ell}(X_{s_0}, i)$  holds for some  $s_0 \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$  then these assumptions indeed imply  $\text{Tate}_{\mathbb{Q}_\ell}(X_s, i)$ ,  $s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ . Indeed, the proofs of Lemma A, Lemma B will show these assumptions imply  $\Lambda_{\mathbb{Q}_\ell} = V_{\mathbb{Q}_\ell, s}^a$ ,  $s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ , where  $\Lambda_{\mathbb{Q}_\ell} = \Lambda_{\mathbb{Z}_\ell} \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$ . Assume furthermore  $\text{Tate}_{\mathbb{Q}_\ell}(X_{s_0}, i)$  holds - that is  $V_{\mathbb{Q}_\ell, s_0}^a = \tilde{V}_{\mathbb{Q}_\ell, s_0}$ , for some  $s_0 \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ . But then, for every  $s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ , one has

$$V_{\mathbb{Q}_\ell, s}^a = \Lambda_{\mathbb{Q}_\ell} = V_{\mathbb{Q}_\ell, s_0}^a = \tilde{V}_{\mathbb{Q}_\ell, s_0} \stackrel{(\alpha)}{=} \tilde{V}_{\mathbb{Q}_\ell, s},$$

where  $(\alpha)$  follows from  $s_0 \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ .

2.2.3. *Reduction to connected monodromy groups.* To bound  $\text{Ob}_{\mathbb{Z}_\ell, s}^{\text{free}}$  uniformly for  $s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ , one can freely replace  $f : X \rightarrow S$  by a base change along a finite cover  $\pi : S' \rightarrow S$  of connected smooth varieties over  $k$ . Indeed, consider the base-change diagram

$$\begin{array}{ccc} X' & \longrightarrow & X \\ f' \downarrow & \square & \downarrow f \\ S' & \longrightarrow & S \end{array}$$



and write  $\mathcal{V}'_{\mathbb{Z}_\ell} := R^{2i} f'_* \mathbb{Z}_\ell(i)$ . For  $s \in |S|$  and  $s' \in |S'|$  over  $s \in |S|$ , let  $\bar{s}'$  be a geometric point over  $s'$  and let  $\bar{s} = \pi \circ \bar{s}'$  denote its image on  $S$ . Then,  $X'_{\bar{s}'} \xrightarrow{\sim} X_{\bar{s}}$  as  $\bar{k}$ -schemes hence, *a fortiori*,  $\mathrm{CH}^i(X'_{\bar{s}'}) \xrightarrow{\sim} \mathrm{CH}^i(X_{\bar{s}})$ . On the other hand, by proper base change,  $\mathcal{V}'_{\mathbb{Z}_\ell} = \pi^* \mathcal{V}_{\mathbb{Z}_\ell}$  hence, one gets a canonical commutative square

$$\begin{array}{ccc} \mathrm{CH}^i(X_{\bar{s}}) & \xrightarrow{c_{\ell,s}} & \mathrm{H}^{2i}(X_{\bar{s}}, \mathbb{Z}_\ell(i)) , \\ \simeq \uparrow & & \parallel \\ \mathrm{CH}^i(X'_{\bar{s}'}) & \xrightarrow{c_{\ell,s'}} & \mathrm{H}^{2i}(X'_{\bar{s}'}, \mathbb{Z}_\ell(i)) \end{array}$$

where the vertical arrows are isomorphisms and the right vertical one is equivariant with respect to the functorial morphism  $\pi_1(S') \hookrightarrow \pi_1(S)$ . In particular, as  $\pi_1(S') \hookrightarrow \pi_1(S)$  is open, one has  $s \in |S|_{\mathcal{V}'_{\mathbb{Z}_\ell}}^{\mathrm{gen}}$  if and only if  $s' \in |S'|_{\mathcal{V}'_{\mathbb{Z}_\ell}}^{\mathrm{gen}}$ .

After base change along a finite cover  $S' \rightarrow S$  of smooth varieties (which, working componentwise, we may assume to be connected and, replacing  $k$  by a finite field extension, geometrically connected over  $k$ ), one may assume  $\mathrm{VSing}^0(f'_\infty, i)$  (resp.  $\mathrm{WVet}_{\mathbb{Q}_\ell}^0(f', i)$ , resp.  $\mathrm{VCrys}^0(f', i)$ ) holds for every base change along a finite cover  $S'_\infty \rightarrow S_\infty$  (resp.  $S' \rightarrow S$ , resp.  $S' \rightarrow S$ ) of smooth varieties. Then, the assumptions and conclusions of Theorem A and Theorem B become unchanged by base change along finite covers of smooth varieties, so that one may assume:

- a) the algebraic group  $\overline{G}_\ell$  is connected<sup>4</sup>;
- b) the algebraic groups  $G_{\ell,s}$ ,  $s \in S$  are all connected<sup>5</sup>.

2.2.4. *An elementary lemma.* Recall that for every  $s \in S$ , we identify  $V_{\mathbb{Z}_\ell} := \mathcal{V}_{\mathbb{Z}_\ell, \bar{s}} \xrightarrow{\sim} \mathcal{V}_{\mathbb{Z}_\ell, \bar{\eta}}$ . For a subset  $\Sigma \subset S$ , set

$$V_{\mathbb{Z}_\ell, \Sigma}^{\mathrm{free}, a} := \bigcap_{s \in \Sigma} V_{\mathbb{Z}_\ell, s}^{\mathrm{free}, a} \subset V_{\mathbb{Z}_\ell, s}^{\mathrm{free}, a} \subset V_{\mathbb{Z}_\ell}^{\mathrm{free}}.$$

**Lemma 8.** *For every  $\mathbb{Z}_\ell$ -submodule  $T_{\mathbb{Z}_\ell} \subset V_{\mathbb{Z}_\ell, \Sigma}^{\mathrm{free}, a}$  and for every  $s \in \Sigma$ , one has the following implications*

$$T_{\mathbb{Q}_\ell} = V_{\mathbb{Q}_\ell, s}^a \iff [V_{\mathbb{Z}_\ell, s}^{\mathrm{free}, a} : T_{\mathbb{Z}_\ell}] < +\infty \implies \mathrm{Ob}_{\mathbb{Z}_\ell, s}^{\mathrm{free}} \leq c(T_{\mathbb{Z}_\ell}) := |(V_{\mathbb{Z}_\ell}^{\mathrm{free}}/T_{\mathbb{Z}_\ell})_{\mathrm{tors}}|.$$

*Proof.* The first equivalence is straightforward. The second implication follows from the canonical commutative diagram of short exact sequences

$$(4) \quad \begin{array}{ccccccc} 0 & \longrightarrow & T_{\mathbb{Z}_\ell} & \longrightarrow & V_{\mathbb{Z}_\ell}^{\mathrm{free}} & \longrightarrow & V_{\mathbb{Z}_\ell}^{\mathrm{free}}/T_{\mathbb{Z}_\ell} \longrightarrow 0 \\ & & \downarrow & & \parallel & & \downarrow \\ 0 & \longrightarrow & V_{\mathbb{Z}_\ell, s}^{\mathrm{free}, a} & \longrightarrow & V_{\mathbb{Z}_\ell}^{\mathrm{free}} & \longrightarrow & C_{\mathbb{Z}_\ell, s}^{\mathrm{free}} \longrightarrow 0 \end{array}$$

which, by the snake lemma, identifies

$$Q_{\mathbb{Z}_\ell, s} := \mathrm{coker}[T_{\mathbb{Z}_\ell} \hookrightarrow V_{\mathbb{Z}_\ell, s}^{\mathrm{free}, a}] \xrightarrow{\sim} \ker[V_{\mathbb{Z}_\ell}^{\mathrm{free}}/T_{\mathbb{Z}_\ell} \twoheadrightarrow C_{\mathbb{Z}_\ell, s}^{\mathrm{free}}] =: K_{\mathbb{Z}_\ell, s}.$$

But if  $K_{\mathbb{Z}_\ell, s}$  is finite, one gets a short exact sequence

$$0 \rightarrow K_{\mathbb{Z}_\ell, s} \rightarrow (V_{\mathbb{Z}_\ell}^{\mathrm{free}}/T_{\mathbb{Z}_\ell})_{\mathrm{tors}} \rightarrow (C_{\mathbb{Z}_\ell, s}^{\mathrm{free}})_{\mathrm{tors}} \rightarrow 0,$$

whence the assertion.  $\square$

<sup>4</sup> First, after replacing  $k$  by a finite field extension, one may assume  $S(k) \neq \emptyset$ , so that fixing  $s \in S(k)$  yields a splitting  $s : \pi_1(s) = \pi_1(k) \hookrightarrow \pi_1(S)$  of the canonical short exact sequence

$$1 \rightarrow \pi_1(S_{\bar{k}}) \rightarrow \pi_1(S) \rightarrow \pi_1(k) \rightarrow 1$$

and a well-defined action by conjugacy of  $\pi_1(k)$  on  $\pi_1(S)$ . Then, let  $S'_{\bar{k}} \rightarrow S_{\bar{k}}$  denote the connected étale cover corresponding to  $\ker(\pi_1(S_{\bar{k}}) \rightarrow \pi_0(\overline{G}_\ell))$ . As  $\overline{G}_\ell$  is normal in  $G_\ell$ , the  $\pi_1(k)$ -action stabilizes  $\pi_1(S'_{\bar{k}})$  hence  $s(\pi_1(k))\pi_1(S'_{\bar{k}}) \subset \pi_1(S)$  is an open subgroup corresponding to a connected étale cover  $S' \rightarrow S$  which, by construction, has the requested property.

<sup>5</sup>After base-change along the connected étale cover  $S' \rightarrow S$  trivializing  $\mathcal{V}_\ell/\tilde{\ell}$  (with  $\tilde{\ell} = 4$  if  $\ell = 2$  and  $\tilde{\ell} = \ell$  if  $\ell \neq 2$ , this classically follows from the Chebotarev density theorem, using Frobenius tori.

Lemma 8 reduces the proof of Lemma A and Lemma B to finding a  $\mathbb{Z}_\ell$ -submodule  $T_{\mathbb{Z}_\ell} \subset V_{\mathbb{Z}_\ell, \Sigma}^{\text{free}, a}$  such that  $T_{\mathbb{Z}_\ell} = V_{\mathbb{Z}_\ell, s}^a$ ,  $s \in \Sigma = |S|_{\mathcal{V}_{\mathbb{Z}_\ell}}^{\text{gen}}$  and, in the setting of Lemma A, such that  $c(T_{\mathbb{Z}_\ell}) = 0$ ,  $\ell \gg 0$ . In all cases, we will consider the  $\mathbb{Z}_\ell$ -submodule  $T_{\mathbb{Z}_\ell} := \Lambda_{\mathbb{Z}_\ell}$  introduced in Subsection 2.1.3, Lemma 5. As a warm-up, we end this Section with the proof of Lemma B (i).

2.2.5. *Proof of Lemma B (i).* Let  $s \in \Sigma = |S|_{\mathcal{V}_{\mathbb{Z}_\ell}}^{\text{gen}}$ . Assuming  $\text{WVet}_{\mathbb{Q}_\ell}(f, i)$ , we are to prove that the inclusion  $\Lambda_{\mathbb{Q}_\ell} \subset V_{\mathbb{Q}_\ell, s}^a$  is an equality. This follows from the inclusions

$$V_{\mathbb{Q}_\ell, s}^a = V_{\mathbb{Q}_\ell, s}^a \cap \tilde{V}_{\mathbb{Q}_\ell, s} \stackrel{(\alpha)}{=} V_{\mathbb{Q}_\ell, s}^a \cap \tilde{V}_{\mathbb{Q}_\ell, \eta} \stackrel{(\beta)}{\subset} V_{\mathbb{Q}_\ell, s}^a \cap (V_{\mathbb{Q}_\ell})^{\overline{G}_\ell} \stackrel{(\gamma)}{=} \Lambda_{\mathbb{Q}_\ell} \subset V_{\mathbb{Q}_\ell},$$

where  $(\alpha)$  follows from  $s \in |S|_{\mathcal{V}_{\mathbb{Q}_\ell}}^{\text{gen}}$ ,  $(\beta)$  from the reduction 2.2.3 a), and  $(\gamma)$  is  $\text{WVet}_{\mathbb{Q}_\ell}(f, i)$ .

### 3. COMPARISON WITH SINGULAR AND CRYSTALLINE COHOMOLOGIES

#### 3.1. Singular cohomology.

3.1.1. *Singular  $\mathbb{Z}$ -local systems.* Let  $S_\infty$  be a connected variety smooth over  $\mathbb{C}$ . For every  $s_{0\infty}, s_\infty \in S_\infty(\mathbb{C}) = S_\infty^{\text{an}}$ , fix a topological path  $s_\infty \rightarrow s_{0\infty}$ , inducing an isomorphism of fiber functors  $\alpha_{s_\infty} : (-)_{s_\infty} \xrightarrow{\sim} (-)_{s_{0\infty}}$ . In particular, for every singular  $\mathbb{Z}$ -local system  $\mathcal{V}_{\mathbb{Z}}$  on  $S_\infty^{\text{an}}$ , one identifies  $\mathcal{V}_{\infty, \mathbb{Z}, s_\infty} \xrightarrow{\sim} \mathcal{V}_{\infty, \mathbb{Z}, s_{0\infty}}$  equivariantly with respect to the isomorphism of topological fundamental groups  $\pi_1^{\text{top}}(S_\infty^{\text{an}}, s_\infty) \xrightarrow{\sim} \pi_1^{\text{top}}(S_\infty^{\text{an}}, s_{0\infty})$ ,  $\gamma \mapsto \alpha_{s_\infty} \gamma \alpha_{s_\infty}^{-1}$ . So that we will in general omit fiber functors from our notation and simply write

$$V_{\mathbb{Z}} := \mathcal{V}_{\mathbb{Z}, s_\infty} \xrightarrow{\sim} \mathcal{V}_{\mathbb{Z}, s_{0\infty}}.$$

Let  $f_\infty : X_\infty \rightarrow S_\infty$  be a smooth projective morphism. The singular  $\mathbb{Z}$ -local system  $\mathcal{V}_{\mathbb{Z}} := R^{2i} f_{\infty}^{\text{an}} \mathbb{Z}(i)$  on  $S_\infty^{\text{an}}$  underlies a polarizable  $\mathbb{Z}$ -variation of Hodge structure. Let  $G \subset \text{GL}(V_{\mathbb{Q}})$  denote the generic Mumford-Tate group of  $\mathcal{V}_{\mathbb{Q}} := \mathcal{V}_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Q}$ , and for every  $s_\infty \in S_\infty(\mathbb{C})$ , let  $G_{s_\infty} \subset G$  denote the Mumford-Tate group of the polarizable  $\mathbb{Q}$ -Hodge structure  $s_\infty^* \mathcal{V}_{\mathbb{Q}}$ . Let also  $\overline{G} \subset \text{GL}(V_{\mathbb{Q}})$  denote the Zariski-closure of the image of  $\pi_1^{\text{top}}(S_\infty^{\text{an}})$  acting on  $V_{\mathbb{Q}}$ . By the fixed part theorem,  $\overline{G}^\circ$  a normal closed subgroup of  $G$  and, for every  $s_\infty \in S_\infty(\mathbb{C})$ , one has  $G = \overline{G}^\circ G_{s_\infty}$ .

As in Subsection 2.1.3, for every  $s_\infty \in S_\infty(\mathbb{C})$  set

$$\Lambda_{\mathbb{Z}, s_\infty} := \text{im}[\text{CH}^i(X_\infty) \rightarrow \text{CH}^i(X_{s_\infty}) \xrightarrow{c_{s_\infty}} V_{\mathbb{Z}}^{\text{free}}] \subset V_{\mathbb{Z}}^{\text{free}}.$$

The same argument as in the proof of Lemma 5 (using Leray spectral sequence for singular cohomology) shows that  $\Lambda_{\mathbb{Z}} := \Lambda_{\mathbb{Z}, s_\infty}$  is independent of  $s_\infty \in S_\infty(\mathbb{C})$ .

3.1.2. *Artin's comparison.* Assume  $p = 0$  and fix an embedding  $\infty : k \hookrightarrow \mathbb{C}$ . Recall that  $(-)_{\infty}$  denotes the base-change functor along  $\text{Spec}(\mathbb{C}) \xrightarrow{\infty} \text{Spec}(k)$  and  $(-)_{\text{an}}$  the analytification functor from varieties over  $\mathbb{C}$  to complex analytic spaces. Let  $S$  be a geometrically connected, smooth variety over  $k$ . For every  $s_\infty \in S_\infty(\mathbb{C})$  over  $s \in S$  let  $k(\bar{s}) \subset \mathbb{C}$  denote the algebraic closure of  $k(s)$  determined by  $k(s) \hookrightarrow \mathbb{C}$  and let  $\bar{s}$  denote the corresponding geometric point over  $s$ . Let  $f : X \rightarrow S$  be a smooth projective morphism. The local systems  $\mathcal{V}_{\mathbb{Z}} := R^{2i} f_{\infty}^{\text{an}} \mathbb{Z}(i)$  on  $S_\infty^{\text{an}}$  and  $\mathcal{V}_{\mathbb{Z}_\ell} := R^{2i} f_{\infty}^{\text{an}} \mathbb{Z}_\ell(i)$  on  $S$  are related by Artin's comparison isomorphism [SGA4, XI]

$$(5) \quad \mathcal{V}_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \xrightarrow{\sim} \mathcal{V}_{\mathbb{Z}_\ell}^{\text{an}},$$

where we write  $\mathcal{V}_{\mathbb{Z}_\ell}^{\text{an}}$  for the pull-back of  $\mathcal{V}_{\mathbb{Z}_\ell}$  along<sup>6</sup> the morphisms of sites  $(X_\infty^{\text{an}})_{\text{an}} \rightarrow X_{\infty, \text{et}} \rightarrow X_{\text{et}}$ . Equivalently, for every  $s_\infty \in S_\infty(\mathbb{C})$  over  $s \in S$ , one has a canonical isomorphism of  $\mathbb{Z}_\ell$ -modules

$$(6) \quad V_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell = \mathcal{V}_{\mathbb{Z}, s_\infty} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \xrightarrow{\sim} \mathcal{V}_{\mathbb{Z}_\ell, \bar{s}} = V_{\mathbb{Z}_\ell}, \quad V_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{Q}_\ell \xrightarrow{\sim} V_{\mathbb{Q}_\ell},$$

which is equivariant with respect to the profinite completion morphism composed with the GAGA isomorphism and the projection

$$\pi_1^{\text{top}}(S_\infty^{\text{an}}) \rightarrow \pi_1^{\text{top}}(S_\infty^{\text{an}})^\wedge \xrightarrow{\sim} \pi_1(S_\infty) \xrightarrow{\sim} \pi_1(S_{\bar{k}}) \hookrightarrow \pi_1(S).$$

In particular,  $\overline{G} \subset \text{GL}(V_{\mathbb{Q}})$  identifies, modulo (6), with the scalar extension  $\overline{G}_{\mathbb{Q}_\ell} \subset \text{GL}(V_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{Q}_\ell)$  of  $\overline{G} \subset \text{GL}(V_{\mathbb{Q}})$ .

<sup>6</sup>More precisely, write  $\mathcal{V}_{\mathbb{Z}_\ell} = \lim_n \mathcal{V}_{\mathbb{Z}/\ell^n}$  as a limit of  $\mathbb{Z}/\ell^n$ -local systems and define the analytification of  $\mathcal{V}_{\mathbb{Z}_\ell}$  as  $(\mathcal{V}_{\mathbb{Z}_\ell})^{\text{an}} := \lim_n \mathcal{V}_{\mathbb{Z}/\ell^n}|_{(X_\infty^{\text{an}})_{\text{an}}}$ .

Artin's comparison isomorphism is compatible with cycle class maps on both sides. Namely, for every  $s_\infty \in S_\infty(\mathbb{C})$  over  $s \in S$  one has a canonical commutative diagram

$$\begin{array}{ccccc} \mathrm{CH}^i(X_{\bar{k}}) & \xrightarrow{|X_{\bar{s}}|} & \mathrm{CH}^i(X_{\bar{s}}) & \xrightarrow{c_{\ell,s}^{\ell,s}} & V_{\mathbb{Z}_\ell}^{\mathrm{free}} \\ |X_\infty| \downarrow & & |X_{s_\infty}| \downarrow & & \uparrow \\ \mathrm{CH}^i(X_\infty) & \xrightarrow{|X_{s_\infty}|} & \mathrm{CH}^i(X_{s_\infty}) & \xrightarrow{c_{s_\infty}} & V_{\mathbb{Z}}^{\mathrm{free}} \hookrightarrow V_{\mathbb{Z}}^{\mathrm{free}} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell. \end{array} \quad \begin{array}{c} \swarrow (6) \\ \simeq \end{array}$$

As a result, we will identify subgroups of  $V_{\mathbb{Z}}^{\mathrm{free}}$  (e.g.  $\Lambda_{\mathbb{Z}}$ ,  $V_{\mathbb{Z},s_\infty}^{\mathrm{free},a}$  etc.) with their image in  $V_{\mathbb{Z}_\ell}^{\mathrm{free}}$ . Set

$$\Lambda_{\ell,\mathbb{Z}} := \mathrm{im}[\mathrm{CH}^i(X_{\bar{k}}) \rightarrow \mathrm{CH}^i(X_{\bar{s}}) \xrightarrow{c_{\ell,s}^{\ell,s}} V_{\mathbb{Z}_\ell}^{\mathrm{free}}] \subset V_{\ell,\mathbb{Z},s}^{\mathrm{free},a} := \mathrm{im}[\mathrm{CH}^i(X_{\bar{s}}) \xrightarrow{c_{\ell,s}^{\ell,s}} V_{\mathbb{Z}_\ell}^{\mathrm{free}}].$$

Then, from 2.1.2.2 and Remark 6 applied to  $\bar{k} \hookrightarrow \mathbb{C}$ , one has

$$\Lambda_{\mathbb{Z}} = \Lambda_{\ell,\mathbb{Z}}, \quad V_{\mathbb{Z},s_\infty}^{\mathrm{free},a} = V_{\ell,\mathbb{Z},s}^{\mathrm{free},a},$$

hence

$$(7) \quad \Lambda_{\ell,\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \xrightarrow{\sim} \Lambda_{\mathbb{Z}_\ell}, \quad V_{\ell,\mathbb{Z},s}^{\mathrm{free},a} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell \xrightarrow{\sim} V_{\mathbb{Z}_\ell,s}^{\mathrm{free},a}.$$

3.1.3. *Proof of Proposition 2.* For every  $s \in S$ , write

$$\Lambda_{\ell,\mathbb{Q}} = \mathrm{im}[\mathrm{CH}^i(X_{\bar{k}})_{\mathbb{Q}} \rightarrow \mathrm{CH}^i(X_{\bar{s}})_{\mathbb{Q}} \xrightarrow{c_{\ell,s}^{\ell,s}} V_{\mathbb{Q}_\ell}] \subset V_{\ell,\mathbb{Q},s}^a := \mathrm{im}[\mathrm{CH}^i(X_{\bar{s}})_{\mathbb{Q}} \xrightarrow{c_{\ell,s}^{\ell,s}} V_{\mathbb{Q}_\ell}] \subset V_{\mathbb{Q}_\ell,s}^a,$$

$$\Lambda_{\mathbb{Q}_\ell} = \mathrm{im}[\mathrm{CH}^i(X_{\bar{k}})_{\mathbb{Q}_\ell} \rightarrow \mathrm{CH}^i(X_{\bar{s}})_{\mathbb{Q}_\ell} \xrightarrow{c_{\ell,s}^{\ell,s}} V_{\mathbb{Q}_\ell}].$$

If  $p = 0$ , fix an embedding  $\infty : k \hookrightarrow \mathbb{C}$  and, for every  $s_\infty \in S_\infty(\mathbb{C})$ , write

$$\Lambda_{\mathbb{Q}} = \mathrm{im}[\mathrm{CH}^i(X_\infty)_{\mathbb{Q}} \rightarrow \mathrm{CH}^i(X_{s_\infty})_{\mathbb{Q}} \xrightarrow{c_{s_\infty}} V_{\mathbb{Q}}] \subset V_{\mathbb{Q},s_\infty}^a.$$

Recall from Subsection 3.1.1 and Subsection 2.1.3 that  $\Lambda_{\mathbb{Q}}$  is independent of  $s_\infty$  and  $\Lambda_{\ell,\mathbb{Q}}$ ,  $\Lambda_{\mathbb{Q}_\ell}$  are independent of  $s$  (as the notation suggests) and, if  $p = 0$ , from Subsection 3.1.2, that  $\Lambda_{\ell,\mathbb{Q}} = \Lambda_{\mathbb{Q}}$ .

With these notation,  $\mathrm{VSing}^0(f_\infty, i)$ ,  $\mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i)$  and  $\mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i)$  can be reformulated as

$$\begin{aligned} \mathrm{VSing}^0(f_\infty, i) &= V_{\mathbb{Q},s_\infty}^a \cap (V_{\mathbb{Q}})^{\bar{G}} \subset \Lambda_{\mathbb{Q}}, & s_\infty \in S_\infty. \\ \mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i) &= V_{\ell,\mathbb{Q},s}^a \cap (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell} \subset \Lambda_{\ell,\mathbb{Q}}, & s \in |S|. \\ \mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i) &= V_{\mathbb{Q}_\ell,s}^a \cap (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell} \subset \Lambda_{\mathbb{Q}_\ell}, & s \in |S|. \end{aligned}$$

The implication  $\mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i) \Rightarrow \mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i)$  immediately follows from the fact that, for every  $s \in S$ ,  $V_{\mathbb{Q}_\ell,s}^a$  is the  $\mathbb{Q}_\ell$ -span of  $V_{\ell,\mathbb{Q},s}^a$ .

As  $\mathrm{Tate}_{\mathbb{Q}_\ell}(X_\eta, i)$  is invariant under base-change along finite covers  $S' \rightarrow S$  of smooth varieties, to prove  $\mathrm{Tate}_{\mathbb{Q}_\ell}(X_\eta, i) \Rightarrow \mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i)$  one may first perform such a base-change hence assume:

- $V_{\mathbb{Q}_\ell,\eta}^a = \mathrm{im}[\mathrm{CH}^i(X_\eta)_{\mathbb{Q}_\ell} \rightarrow \mathrm{CH}^i(X_{\bar{\eta}})_{\mathbb{Q}_\ell} \xrightarrow{c_{\ell,\eta}^{\ell,\eta}} V_{\mathbb{Q}_\ell}]$ , which, from the surjectivity of the restriction map  $\mathrm{CH}^i(X) \rightarrow \mathrm{CH}^i(X_\eta)$ , implies  $\Lambda_{\mathbb{Q}_\ell} = V_{\mathbb{Q}_\ell,\eta}^a$ ;
- $\bar{G}_\ell$  is connected - see Footnote 4, which ensures  $V_{\ell,\mathbb{Q},s}^a \cap (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell} \subset \tilde{V}_{\mathbb{Q}_\ell,\eta} \stackrel{(\alpha)}{=} V_{\mathbb{Q}_\ell,\eta}^a = \Lambda_{\mathbb{Q}_\ell}$ , where  $(\alpha)$  is  $\mathrm{Tate}_{\mathbb{Q}_\ell}(X_\eta, i)$ .

If  $p = 0$ , for every  $s_\infty \in S_\infty(\mathbb{C})$  above  $s \in |S|$ , Artin's comparison isomorphism yields the following canonical commutative diagram:

$$(8) \quad \begin{array}{ccc} V_{\mathbb{Q},s_\infty}^a \cap (V_{\mathbb{Q}})^{\bar{G}} & \xrightarrow{\simeq} & V_{\ell,\mathbb{Q},s}^a \cap (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell} \\ \downarrow & & \downarrow \\ \Lambda_{\mathbb{Q}} & \xrightarrow{\simeq} & \Lambda_{\ell,\mathbb{Q}}, \end{array}$$

which shows  $\mathrm{VSing}^0(f_\infty, i) \Leftrightarrow \mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i)$ , and the isomorphisms

$$(V_{\ell, \mathbb{Q}, s}^a \cap (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell}) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell = V_{\mathbb{Q}_\ell, s}^a \cap (V_{\mathbb{Q}_\ell})^{\bar{G}_\ell}, \quad \Lambda_{\ell, \mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{Q}_\ell = \Lambda_{\mathbb{Q}_\ell},$$

(similar to (7)), which, together with (8), show  $\mathrm{WVet}_{\mathbb{Q}_\ell}^0(f, i) \Rightarrow \mathrm{Vet}_{\mathbb{Q}_\ell}^0(f, i)$ .

3.1.4. *Proof of Lemma A.* As we already observed that  $\mathrm{VSing}(f_\infty, i) \Leftrightarrow \mathrm{WVet}_{\mathbb{Q}_\ell}(f, i)$  and  $\mathrm{WVet}_{\mathbb{Q}_\ell}(f, i) \Rightarrow \Lambda_{\mathbb{Q}_\ell} = V_{\mathbb{Q}_\ell, s}^a$ ,  $s \in |S|_{V_{\mathbb{Q}_\ell}}^{\mathrm{gen}}$  - see Subsection 2.2.5, it only remains to prove that  $c(\Lambda_{\mathbb{Z}_\ell}) = 0$  for  $\ell \gg 0$ . This follows at once from Artin's comparison isomorphism, which yields the identifications

$$(V_{\mathbb{Z}_\ell}^{\mathrm{free}}/\Lambda_{\mathbb{Z}_\ell})_{\mathrm{tors}} \simeq (V_{\mathbb{Z}}^{\mathrm{free}}/\Lambda_{\mathbb{Z}})_{\mathrm{tors}} \otimes_{\mathbb{Z}} \mathbb{Z}_\ell.$$

and the fact that  $(V_{\mathbb{Z}}^{\mathrm{free}}/\Lambda_{\mathbb{Z}})_{\mathrm{tors}}$  is a finite group.

3.1.5. *Obstruction to the integral Hodge conjecture.* In this subsection, we deduce from Artin's comparison and Theorem A uniform bounds for the obstruction to the integral Hodge conjecture.

Let  $X_\infty$  be a smooth, projective variety over  $\mathbb{C}$ . The cycle class map

$$c : \mathrm{CH}^i(X_\infty) \rightarrow V_{\mathbb{Z}} := \mathrm{H}^{2i}(X_\infty^{\mathrm{an}}, \mathbb{Z}(i))$$

for  $\mathbb{Z}$ -singular cohomology fits into a canonical diagram analogue to (1)

$$\begin{array}{ccccccc} & & & & c & & \\ & & & & \curvearrowright & & \\ \mathrm{CH}^i(X_\infty) & \longrightarrow & V_{\mathbb{Z}}^a & \longrightarrow & \tilde{V}_{\mathbb{Z}} & \longrightarrow & V_{\mathbb{Z}} \\ & \downarrow & \downarrow & & \downarrow & \square & \downarrow \\ & & V_{\mathbb{Z}}^{\mathrm{free}, a} & \longrightarrow & \tilde{V}_{\mathbb{Z}}^{\mathrm{free}} & \longrightarrow & V_{\mathbb{Z}}^{\mathrm{free}} \\ & & \downarrow & & \downarrow & \square & \downarrow \\ \mathrm{CH}^i(X_\infty)_{\mathbb{Q}} & \longrightarrow & V_{\mathbb{Q}}^a & \longrightarrow & \tilde{V}_{\mathbb{Q}} & \longrightarrow & V_{\mathbb{Q}} \end{array}$$

where, writing  $G \subset \mathrm{GL}(V_{\mathbb{Q}})$  for the Mumford-Tate group of the polarizable  $\mathbb{Q}$ -Hodge structure  $V_{\mathbb{Q}}$  underlies,

$$\tilde{V}_{\mathbb{Q}} := (V_{\mathbb{Q}})^G$$

is the  $\mathbb{Q}$ -vector space of Hodge classes. The (classical) rational  $\mathbb{Q}$ -Hodge conjecture in codimension  $i$  for  $X$  [H52]

$$\mathrm{Hodge}_{\mathbb{Q}}(X_\infty, i) \quad V_{\mathbb{Q}}^a = \tilde{V}_{\mathbb{Q}}$$

also admits integral variants:

$$\begin{array}{ll} \mathrm{Hodge}_{\mathbb{Z}}^{\mathrm{free}}(X_\infty, i) & V_{\mathbb{Z}_\ell}^{\mathrm{free}, a} = \tilde{V}_{\mathbb{Z}}^{\mathrm{free}} \quad (\text{Integral Hodge conjecture modulo torsion}); \\ \mathrm{Hodge}_{\mathbb{Z}}(X_\infty, i) & V_{\mathbb{Z}}^a = \tilde{V}_{\mathbb{Z}} \quad (\text{Integral Hodge conjecture}). \end{array}$$

Again, the implications

$$\mathrm{Hodge}_{\mathbb{Z}}(X_\infty, i) \Rightarrow \mathrm{Hodge}_{\mathbb{Z}}^{\mathrm{free}}(X_\infty, i) \Rightarrow \mathrm{Hodge}_{\mathbb{Q}}(X_\infty, i)$$

are tautological and, in general, the converse implications are known to fail (see e.g. [AtH62, Ge19] for examples of the failure of  $\mathrm{Hodge}_{\mathbb{Q}}(X_\infty, i)$  and [Ko90, K21] for examples of the failure of  $\mathrm{Hodge}_{\mathbb{Z}}^{\mathrm{free}}(X_\infty, i)$ ). By definition, the obstructions to  $\mathrm{Hodge}_{\mathbb{Q}}(X_\infty, i)$ ,  $\mathrm{Hodge}_{\mathbb{Z}}^{\mathrm{free}}(X_\infty, i)$ ,  $\mathrm{Hodge}_{\mathbb{Z}}(X_\infty, i)$  are, respectively:

$$\tilde{C}_{\mathbb{Q}} := \tilde{V}_{\mathbb{Q}}/V_{\mathbb{Q}}^a, \quad \tilde{C}_{\mathbb{Z}}^{\mathrm{free}} := \tilde{V}_{\mathbb{Z}}^{\mathrm{free}}/V_{\mathbb{Z}}^{\mathrm{free}, a}, \quad \tilde{C}_{\mathbb{Z}} := \tilde{V}_{\mathbb{Z}}/V_{\mathbb{Z}}^a,$$

with the properties that one has the short exact sequence

$$(9) \quad 0 \rightarrow (V_{\mathbb{Z}})_{\mathrm{tors}}/(V_{\mathbb{Z}}^a)_{\mathrm{tors}} \rightarrow \tilde{C}_{\mathbb{Z}} \rightarrow \tilde{C}_{\mathbb{Z}}^{\mathrm{free}} \rightarrow 0$$

and that

$$\mathrm{Hodge}_{\mathbb{Q}} \Leftrightarrow (\tilde{C}_{\mathbb{Z}}^{\mathrm{free}})_{\mathrm{tors}} = \tilde{C}_{\mathbb{Z}}^{\mathrm{free}} \Leftrightarrow (\tilde{C}_{\mathbb{Z}})_{\mathrm{tors}} = \tilde{C}_{\mathbb{Z}}$$

in which case, (9) reads

$$0 \rightarrow (V_{\mathbb{Z}})_{\mathrm{tors}}/(V_{\mathbb{Z}}^a)_{\mathrm{tors}} \rightarrow (\tilde{C}_{\mathbb{Z}})_{\mathrm{tors}} \rightarrow (\tilde{C}_{\mathbb{Z}}^{\mathrm{free}})_{\mathrm{tors}} \rightarrow 0.$$

Furthermore,

$$(\tilde{C}_{\mathbb{Z}}^{\text{free}})_{\text{tors}} = (C_{\mathbb{Z}}^{\text{free}})_{\text{tors}} := V_{\mathbb{Z}}^{\text{free}}/V_{\mathbb{Z}}^{\text{free},a}.$$

Assume  $p = 0$  and fix an embedding  $\infty : k \hookrightarrow \mathbb{C}$ . Let  $X$  be a smooth projective variety over  $k$ . From the observations in Subsection 3.1.2 and the flatness of  $\mathbb{Z} \hookrightarrow \mathbb{Z}_{\ell}$ , Artin's comparison isomorphism induces the following identifications

$$((V_{\mathbb{Z}})_{\text{tors}}/(V_{\mathbb{Z}}^a)_{\text{tors}}) \otimes_{\mathbb{Z}} \mathbb{Z}_{\ell} \xrightarrow{\sim} (V_{\mathbb{Z}_{\ell}})_{\text{tors}}/(V_{\mathbb{Z}_{\ell}}^a)_{\text{tors}}, \quad (C_{\mathbb{Z}}^{\text{free}})_{\text{tors}} \otimes_{\mathbb{Z}} \mathbb{Z}_{\ell} \xrightarrow{\sim} (C_{\mathbb{Z}_{\ell}}^{\text{free}})_{\text{tors}}.$$

As  $V_{\mathbb{Z}}$  is a  $\mathbb{Z}$ -module of finite type, this shows, in particular,

- a)  $(\tilde{C}_{\mathbb{Z}_{\ell}}^{\text{free}})_{\text{tors}} = 0$  - hence  $(C_{\mathbb{Z}_{\ell}}^{\text{free}})_{\text{tors}} = 0$ , for  $\ell \gg 0$ .
- b) The obstruction  $(C_{\mathbb{Z}}^{\text{free}})_{\text{tors}}$  to  $\text{Hodge}_{\mathbb{Z}}^{\text{free}}(X_{\infty}, i)$  can be recovered from the obstructions  $(C_{\mathbb{Z}_{\ell}}^{\text{free}})_{\text{tors}}$  to  $\text{Tate}_{\mathbb{Z}_{\ell}}^{\text{free}}(X, i)$ , when  $\ell$  varies as

$$(C_{\mathbb{Z}}^{\text{free}})_{\text{tors}} = \bigoplus_{\ell} (C_{\mathbb{Z}_{\ell}}^{\text{free}})_{\text{tors}}.$$

As in Subsection 1.2, let now  $S$  be a smooth, geometrically connected variety over  $k$  and  $f : X \rightarrow S$  a smooth projective morphism. For  $s_{\infty} \in S_{\infty}(\mathbb{C})$  above  $s \in S$ , denote by a subscript  $(-)_s$  the various modules attached to  $X_{s_{\infty}} = X_{\infty, s_{\infty}}$  introduced above (e.g.  $V_{\mathbb{Z}, s_{\infty}} := \text{H}^{2i}(X_{s_{\infty}}^{\text{an}}, \mathbb{Z}(i))$ ,  $V_{\mathbb{Z}, s_{\infty}}^a := \text{im}[\text{CH}^i(X_{s_{\infty}}) \rightarrow V_{\mathbb{Z}}]$  etc.). Again, one may investigate how

$$\widetilde{\text{Ob}}_{\mathbb{Z}, s} := |(\tilde{C}_{\mathbb{Z}, s_{\infty}})_{\text{tors}}|$$

vary with  $s \in |S|$ . A direct consequence of Theorem A and the observations a), b) above is the following.

**Corollary 9.** *Assume  $S$  is a curve and  $\text{VSing}(f_{\infty}, i)$  holds. Then, for every integer  $d \geq 1$ , one has*

$$\widetilde{\text{Ob}}_{\mathbb{Z}}^{\leq d} := \sup\{\widetilde{\text{Ob}}_{\mathbb{Z}, s_{\infty}} \mid s \in |S|^{\leq d}\} < +\infty.$$

When  $i = 2$ ,  $(\tilde{C}_{\mathbb{Z}, s_{\infty}})_{\text{tors}}$  can again be described in terms of degree 3 unramified cohomology. More precisely, set  $C_{\mathbb{Z}, s_{\infty}} := V_{\mathbb{Z}_{\ell}}/V_{\mathbb{Z}, s_{\infty}}^a$ . From the short exact sequence

$$0 \rightarrow \tilde{C}_{\mathbb{Z}, s_{\infty}} \rightarrow C_{\mathbb{Z}, s_{\infty}} \rightarrow V_{\mathbb{Z}, s_{\infty}}/\tilde{V}_{\mathbb{Z}, s_{\infty}} \rightarrow 0$$

and the fact that  $V_{\mathbb{Z}, s_{\infty}}/\tilde{V}_{\mathbb{Z}, s_{\infty}}$  is torsion-free, one has  $(\tilde{C}_{\mathbb{Z}, s_{\infty}})_{\text{tors}} = (C_{\mathbb{Z}, s_{\infty}})_{\text{tors}}$ . If  $i = 2$ , [CTV12, Thm. 3.7] establishes that  $(C_{\mathbb{Z}, s_{\infty}})_{\text{tors}}$  is isomorphic to

$$\text{H}_{\text{nr}}^3(X_{\infty, s_{\infty}}^{\text{an}}, \mathbb{Q}/\mathbb{Z}(2))_{\text{ndiv}} \stackrel{\text{def}}{=} \text{coker}[\text{H}_{\text{nr}}^3(X_{\infty, s_{\infty}}^{\text{an}}, \mathbb{Q}/\mathbb{Z}(2))_{\text{div}} \rightarrow \text{H}_{\text{nr}}^3(X_{\infty, s_{\infty}}^{\text{an}}, \mathbb{Q}/\mathbb{Z}(2))].$$

Hence Corollary 9 implies (see also [CTV12, Sec. 5.1]):

**Corollary 10.** *Assume  $S$  is a curve and  $\text{VSing}(f_{\infty}, i)$  holds. Then, for every integer  $d \geq 1$ ,*

$$\sup\{|\text{H}_{\text{nr}}^3(X_{\infty, s_{\infty}}^{\text{an}}, \mathbb{Q}/\mathbb{Z}(2))_{\text{ndiv}}| \mid s \in |S|^{\leq d}\} < +\infty.$$

**Remark 11.** a) Using [CTV12, Thm. 3.11] and Corollary 9 for cycles of dimension 1, one has an analogue of Corollary 10 with uniform bounds for the groups  $\text{H}^{n-3}(X_{\infty, s_{\infty}}^{\text{an}}, \mathcal{H}_{X_{\infty, s_{\infty}}^{\text{an}}}^n(\mathbb{Q}/\mathbb{Z}(n-1)))_{\text{ndiv}}$ , where  $n$  is the relative dimension of  $f : Y \rightarrow X$ .

- b) More generally, Corollary 10 holds with  $\text{H}_{\text{nr}}^3(X_{\infty, s_{\infty}}, \mathbb{Q}/\mathbb{Z}(2))_{\text{ndiv}}$  replaced by Schreieder's refined unramified cohomology [S23, §1.2, Thm. 1.6]:

$$\text{H}_{i-2, \text{nr}}^{2i-1}(X_{\infty, s_{\infty}}^{\text{an}}, \mathbb{Q}/\mathbb{Z}(i))_{\text{ndiv}} \stackrel{\text{def}}{=} \text{coker}[\text{H}_{i-2, \text{nr}}^{2i-1}(X_{\infty, s_{\infty}}^{\text{an}}, \mathbb{Q}/\mathbb{Z}(i))_{\text{div}} \rightarrow \text{H}_{i-2, \text{nr}}^{2i-1}(X_{\infty, s_{\infty}}^{\text{an}}, \mathbb{Q}/\mathbb{Z}(i))].$$

**3.2. Crystalline cohomology.** We now turn to the setting and retain the notation and conventions of Subsection 1.2.2.

**3.2.1. "Comparison" with crystalline cohomology.** A delicate issue when  $p > 0$  is to find a suitable analogue of Artin's comparison isomorphism. Following the strategy of [A23], this will be achieved by combining Fact 12 below, which relies - via a  $L$ -function argument - on the Katz-Messing theorem [KM74] and comparison of various categories of isocrystals, with<sup>7</sup> the conjectural statement  $\text{CrysEt}_{\mathbb{Q}_{\ell}}(f, i)$ .

<sup>7</sup>Note that [A23] was focussed on divisors, for which the fact that homological and numerical equivalence coincide is known.

Let  $\mathcal{S}$  be a smooth, geometrically connected variety over  $F$  and consider a Cartesian square

$$\begin{array}{ccc} \mathcal{X}_{\mathcal{S}} & \longrightarrow & \mathcal{X} \\ f_{\mathcal{S}} \downarrow & \square & \downarrow f \\ \mathcal{S} & \longrightarrow & S. \end{array}$$

**Fact 12.** [A23, Proof of Thm. 1.6.3.1 - esp. (2.1.2.1), Rem. 1.6.3.2] *Assume the canonical restriction morphism in étale  $\mathbb{Q}_{\ell}$ -cohomology*

$$H^0(\mathcal{S}_{\bar{F}}, R^{2i} f_* \mathbb{Q}_{\ell}(i)) \xrightarrow{\sim} H^0(\mathcal{S}_{\bar{F}}, R^{2i} f_* \mathbb{Q}_{\ell}(i))$$

*is an isomorphism. Then the canonical restriction morphism in crystalline cohomology*

$$H^0(\mathcal{S}, R^{2i} f_{\text{crys},*} \mathcal{O}_{\mathcal{X}/K}) \xrightarrow{\sim} H^0(\mathcal{S}, R^{2i} f_{\mathcal{S}, \text{crys},*} \mathcal{O}_{\mathcal{X}_{\mathcal{S}}/K})$$

*is an isomorphism.*

3.2.2. *Proof of Lemma B (ii).* Let  $s \in |S|_{V_{\ell, \mathbb{Q}_{\ell}}}^{\text{gen}}$ . Recall we are to prove  $V_{\mathbb{Q}_{\ell}, s}^a = \Lambda_{\mathbb{Q}_{\ell}}$ . Replacing  $k, F$  by finite field extensions, one may assume there exists a smooth, separated and geometrically connected scheme  $\mathcal{S}$  over  $F$  with generic point  $\eta_{\mathcal{S}} : \text{Spec}(k(s)) \rightarrow \mathcal{S}$  and such that  $\mathcal{S}(F) \neq \emptyset$ , and a Cartesian diagram

$$(10) \quad \begin{array}{ccccccccc} & & & \curvearrowright & & & & & \\ & & & \swarrow & & & & & \\ \mathcal{X}_t & \longrightarrow & \mathcal{X}_{\mathcal{S}} & \longrightarrow & \mathcal{X} & \longleftarrow & X & \longleftarrow & X_s \\ f_t \downarrow & \square & f_{\mathcal{S}} \downarrow & \square & \downarrow f & \square & \downarrow f & \square & \downarrow f_s \\ F & \xrightarrow{t} & \mathcal{S} & \longrightarrow & S & \longleftarrow & S & \longleftarrow & k(s) \\ & \searrow & \downarrow \eta_{\mathcal{S}} & \swarrow & \downarrow \eta_{\mathcal{X}} & \swarrow & \downarrow & \swarrow & \\ & & F & \longleftarrow & \mathcal{X} & \longleftarrow & k & & \end{array}$$

Replacing further  $k, F$  by finite field extensions, one may assume that

$$(11) \quad V_{\mathbb{Q}_{\ell}, s}^a = \text{im}[\text{CH}^i(X_s) \rightarrow \text{CH}^i(X_s) \xrightarrow{c_{\ell, s}} V_{\mathbb{Q}_{\ell}}].$$

From (11), it is enough to show that for every  $\tilde{\alpha}_s \in \text{CH}^i(X_s)_{\mathbb{Q}}$  with image  $\alpha_{\ell, s} := c_{\ell, s}(\tilde{\alpha}_s) \in V_{\mathbb{Q}_{\ell}}$ , there exists  $\tilde{\alpha} \in \text{CH}^i(X)_{\mathbb{Q}}$  such that  $c_{\ell, s}(\tilde{\alpha}|_{X_s}) = \alpha_{\ell, s}$ . We retain the notation and conventions in Diagram (10). Up to shrinking  $\mathcal{S}$ , one may assume there exists  $\tilde{\alpha}_{\mathcal{S}} \in \text{CH}^i(\mathcal{X}_{\mathcal{S}})_{\mathbb{Q}}$  such that  $\tilde{\alpha}_{\mathcal{S}}|_{X_s} = \tilde{\alpha}_s$ ; write  $\tilde{\alpha}_t := \tilde{\alpha}_{\mathcal{S}}|_{\mathcal{X}_t} \in \text{CH}^i(\mathcal{X}_t)_{\mathbb{Q}}$ . Consider now the canonical commutative diagram

$$\begin{array}{ccccc} \text{CH}^i(\mathcal{X})_{\mathbb{Q}} & \xrightarrow{|_{\mathcal{X}_{\mathcal{S}}}} & \text{CH}^i(\mathcal{X}_{\mathcal{S}})_{\mathbb{Q}} & & \\ c_{\text{crys}} \downarrow & \searrow |_{\mathcal{X}_t} & \swarrow |_{\mathcal{X}_t} & & \downarrow c_{\text{crys}, \mathcal{S}} \\ \text{H}_{\text{crys}}^{2i}(\mathcal{X}) & & \text{CH}^i(\mathcal{X}_t)_{\mathbb{Q}} & & \text{H}_{\text{crys}}^{2i}(\mathcal{X}_{\mathcal{S}}) \\ \epsilon \downarrow & \searrow |_{\mathcal{X}_t} & \downarrow c_{\text{crys}, t} & \swarrow |_{\mathcal{X}_t} & \downarrow \epsilon \\ \text{H}^0(\mathcal{S}, R^{2i} f_{\text{crys},*} \mathcal{O}_{\mathcal{X}/K}) & \longrightarrow & \text{H}_{\text{crys}}^{2i}(\mathcal{X}_t) & \longleftarrow & \text{H}^0(\mathcal{S}, R^{2i} f_{\mathcal{S}, \text{crys},*} \mathcal{O}_{\mathcal{X}_{\mathcal{S}}/K}). \end{array}$$

$\xrightarrow{\quad \simeq \quad}$

As  $s \in S_{V_{\ell, \mathbb{Q}_{\ell}}}^{\text{gen}}$ , the canonical restriction morphism

$$H^0(\mathcal{S}_{\bar{F}}, R^{2i} f_* \mathbb{Q}_{\ell}(i)) \xrightarrow{\sim} H^0(\mathcal{S}_{\bar{F}}, R^{2i} f_* \mathbb{Q}_{\ell}(i))$$

is an isomorphism - see [A23, §2.2.2]. Here, we implicitly use the reduction 2.2.3 a), b). Hence, by Fact 12, the bottom horizontal arrow is an isomorphism. This implies that  $\alpha_t := c_{\text{crys}, t}(\tilde{\alpha}_t)$  lies in  $H^0(\mathcal{S}, R^{2i} f_{\text{crys},*} \mathcal{O}_{\mathcal{X}/K})$ . But then, by implication 2)  $\implies$  1) in  $\text{VCrys}(f, i)$ , there exists  $\tilde{\alpha}_{\mathcal{X}} \in \text{CH}^i(\mathcal{X})_{\mathbb{Q}}$  such that  $c_{\text{crys}, t}(\tilde{\alpha}_{\mathcal{X}}|_{\mathcal{X}_t}) =$

$c_{\text{crys}}(\tilde{\alpha}_{\mathcal{X}})|_{\mathcal{X}_t} = \alpha_t = c_{\text{crys},t}(\tilde{\alpha}_t)$ . By  $\text{CrysEt}_{\mathbb{Q}_\ell}(f, i)$ , this implies  $c_{\ell,t}(\tilde{\alpha}_{\mathcal{X}}|_{\mathcal{X}_t}) = c_{\ell,t}(\tilde{\alpha}_t)$ . The assertion thus follows, with  $\tilde{\alpha} = \tilde{\alpha}_{\mathcal{X}}|_{\mathcal{X}}$ , from the canonical commutative specialization diagram of cycle class maps

$$\begin{array}{ccccc}
 & & \text{CH}^i(\mathcal{X})_{\mathbb{Q}} & & \\
 & \swarrow |_{\mathcal{X}_t} & \downarrow |_{X_s} & \searrow |_X & \\
 \text{CH}^i(\mathcal{X}_t)_{\mathbb{Q}} & \xleftarrow{sp_{s,t}} & \text{CH}^i(X_s)_{\mathbb{Q}} & \xleftarrow{|_{X_s}} & \text{CH}^i(X)_{\mathbb{Q}} \\
 \downarrow c_{\ell,t} & & \downarrow c_{\ell,s} & & \\
 \text{H}^{2i}(\mathcal{X}_t, \mathbb{Q}_\ell(i)) & = & \text{H}^{2i}(X_s, \mathbb{Q}_\ell(i)) & & 
 \end{array}$$

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