## Curvature, Expansion, Kolmogorov-Hilbert Diameter and Overtwisted Immersions I

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#### Abstract

We prove the existence of locally distance increasing maps with controllably small curvatures between Riemannian manifolds, where our main construction depends on the presence of particular spherical and almost spherical sections of the unit balls in the  $l_{p=4}$  spaces.

In the part II we prove similar results for families of maps and also for  $C^{\infty}$ -smooth isometric immersions  $X^m \to Y^N$ , where our approach allows an improvement of the present-day bounds on the dimension N of the ambient manifold Y in certain cases.

#### Contents

#### 1 Introduction

### 1.1 Immersions with Small Curvature and $\mathcal{D}(m, N)$ -Approximation

Expansion. A map between metric spaces,

$$f: X \to Y$$

is  $\lambda$ -expanding,  $\lambda > 0$ , if it increases the the length of curves  $\xi : [0:1] \to X$  by a factor  $\geq \lambda$ ,

 $length(f \circ \xi) \ge \lambda \cdot length(\xi)$  for all continuous maps  $\xi : [0:1] \to X$ .

continuous maps.

Expanding is an abbreviation for "1-expanding".

Riemannian Example. A  $C^1$ -smooth map f between Riemannian manifolds, e.g. open subsets in Euclidean spaces, is  $\lambda$ -expanding if and only if  $||df(\tau)|| \ge ||\lambda \tau||$  for all tangent vectors  $\tau \in T(X)$ .f

**Immersions.** A  $C^1$ -map  $f: X \to Y$  between smooth manifolds is an *immersion* if the differential of f nowhere vanishes, <sup>1</sup>

$$df(\tau) = 0 \implies \tau = 0.$$

 $<sup>^1</sup>$ Immersions are locally one-to-one but globally they may have self intersections. Immersions without self intersections are called *embeddings*, where, if X is non-compact, one sometimes require the induced topology in X to be equal the original one.

Thus, smooth expanding maps are immersion and every immersion f expands with respect to some Riemannian metrics g = g(f) in X and h = h(f) in Y

Equidimensional example. If dim(X) = dim(Y) then smooth immersions  $X \hookrightarrow Y$  are local diffeomorphisms and smooth expanding maps are locally distance increasing. <sup>2</sup>

The relative (maximal) curvature of an immersion between Riemannian manifolds.

$$(X,q) \hookrightarrow (Y,h)$$

is the supremum of h-curvatures in Y, of g-geodesics  $\gamma \subset X$ ,

$$curv(f) = curv^X(f) = curv^X_Y(f) = curv^g_h(f) = \sup_{\gamma \subset X} curv_h(f(\gamma)).$$

If  $g = f^*(h)$  is the induced Riemannin metric in X, this is called the *curvature of X in Y*,

$$curv(f(X)) = curv_f(X) = curv(X \overset{f}{\hookrightarrow} Y) = curv(X \hookrightarrow Y),$$

where  $curv_f(X)$  is actually defined for immersions of smooth manifolds with no metrics on them.

Equidimensional example. If dim(X) = dim(Y), then  $curv(X \stackrel{f}{\hookrightarrow} Y) = 0$ , while  $curv^X(f)$  measures by how much f deviates from a projective map.

**Normal Immersions:** When  $\operatorname{curv}_{\mathbf{f}}(\mathbf{X}) = \operatorname{curv}^{\mathbf{X}}(\mathbf{f})$ . Call an immersion between Riemannian manifolds  $f: X(g) \to Y(h)$  normal if for all normal vectors to X in Y,

$$\nu \in T_x^{\perp}(X) = T_f(x)(Y) \ominus df(T_x(X))$$

the second quadratic form  $II_{\nu}$  of the immersed  $X \stackrel{f}{\hookrightarrow}$  is simultaneously diagonalizable with the quadratic forms g(x) and  $f^*(h)$  on the tangent space  $T_x(X)$ . For instance, isometric immersions are normal.

Clearly,  $curv_f(X) = curv^X(f)$  for isometric immersions f.

Curvature in Codimension 1. This curvature of  $X^m \hookrightarrow Y^{m+1}$  is the supremum of the principal curvatures of X in Y over all points  $x \in X$ .

Here normality means that the induced quadratic form  $f^*(g)(x)$  on the tangent space  $T_x(X)$  is, at all  $\in X$ , diagonalizabel in the same basis as the second fundamental form II of X.

Example. the immersion  $\mathbb{S}^m(r) \times S^1 \to \mathbb{R}^{m+2}$  obtained by rotating  $S^m(r) \to \mathbb{R}^{m+1}$  around a line in  $\mathbb{R}^{m+1}$  within distance R > r from the origin is normal with curvature  $\max\left(\frac{1}{R}, \frac{1}{R-r}\right)$ .

**Curvature in Spheres.** If an immersion  $X \to S^{N-1}(1)$  is normal then so is the corresponding immersion to  $\mathbb{R}^N \supset S^{N-1}(1)$ , where the spherical curvature of X is related to the Euclidean one by the Pythagorean theorem:

$$(curv(X \hookrightarrow S^{N-1}(1))^2 = (curv(X \hookrightarrow \mathbb{R}^N)^2 - 1.$$

Clifford Embeddings. The product X of spheres  $S^{m_i}(r_i) \subset \mathbb{R}^{m_i+1}$ , i = 1, ..., l, for  $\sum_{i=1}^{l} r_i^2 = 1$  naturally isometrically imbeds<sup>3</sup> to the boundary of the unit N-ball for  $N = k + \sum_{i} m_i$ :

<sup>&</sup>lt;sup>2</sup>Expanding locally homeomorphic maps are also locally distance increasing, but the absolute value map  $x \mapsto |x|$ , for example, is 1-expanding but not locally homeomorphic.

 $<sup>^3{\</sup>rm Embeddings}$  of compact manifols are immersions with no self-intersection.

$$\mathsf{CI}: X = S^{m_1}(r_1) \times ... \times S^{m_l}(r_l) \to S^{N-1}(1) \subset B^N(1) \subset \mathbb{R}^{m_i+1} \times ... \times \mathbb{R}^{m_i+1}$$

where, clearly,

$$curv(X \subseteq B^N) = \max_i 1/r_i.$$

This, for  $r_1 = r_2 = \dots = r_l$ , delivers a codimension l-embedding with curvature  $\sqrt{l}$ :

$$curv\left( \sum_{i=1}^{l} S^{m_i} \overset{\mathsf{Cl}}{\subset} B^N(1) \right) = \sqrt{l}, \ N = l + \sum_{i} m_i.$$

If l = 1, then this is optimal. In fact, it is obvious that

$$curv(X \hookrightarrow B^m(1) \times \mathbb{R}^N) \ge 1$$
, for  $n \ge 2$ .

for all smoothly immersed closed m-manifolds X in the "unit band"  $B^m(1) \times \mathbb{R}^N$ . Also, the Clifford embeddings to  $S^{N-1}(1)$  are known to be *optimal* for l = 2, 4

**Minimal Curvature Problems.** What is the infimum of curvatures of immersions  $f: X \to Y$ ,

$$min.curv(X \hookrightarrow Y)$$
,

e.g. where Y is a unit ball?

What is the minimal curvature in a given homotopy or regular homotopy  $^{5}$  class of immersions ?

What is the minimal curvature of *expanding immersions* between given *Riemannian* manifolds?

Below are partial answers to these questions.

 $\mathcal{D}(\mathbf{m}, \mathbf{N})$ : Curvature of Euclidean Expanding Maps. Let  $\mathcal{D}(m, N)$  be the infimum of the relative curvatures of the smooth expanding maps f from the Euclidean m-space to the unit N-ball,

$$\mathcal{D}(m,N) = \inf_{f} curv_{\mathbf{e}_{N}}^{\mathbf{e}_{m}}(f),$$

where  $\mathbf{e}_m$  and  $\mathbf{e}_N$  denote the Euclidean metrics in  $\mathbb{R}^m$  and  $\mathbb{R}^N \supset B^N(1)$ .

Example. The composition of the toral Clifford embedding  $\mathbb{T}^m \to B^m(1)$  with the universal covering  $\mathbb{R}^m \to \mathbb{T}^m$  followed the Euclidean homothety  $x \mapsto n\sqrt{n}x$  is an isometric immersion  $\mathbb{R}^m \to B^m(1)$  with curvature  $\sqrt{m}$ . Hence,

$$\mathcal{D}(m,N) \leq \sqrt{m}$$

Question. Is  $\mathcal{D}(m,2m)$  equal to  $\sqrt{m}$ ?

1.1.A. Euclidean  $\mathcal{D}(m, N)$ -Theorem.

 $\bullet_{\geq 2m}$  If  $N \geq 2m$ , then

$$\mathcal{D}(m,N) \le \sqrt{\frac{3m}{m+2}} + C_o \frac{m}{\sqrt{N}},$$

 $<sup>^4</sup>$  See [Ge202?] and section 3.7.3 in [Gr2022] and section ??? in the present paper.

<sup>&</sup>lt;sup>5</sup>A  $C^1$ -continuous homotopy  $f_t$  of smooth maps is regular if the maps  $f_t$  are immersions for all t.

where  $C_o$  is a universal constant (see ???). Moreover, if  $N \ge 100m^2$  then

$$\mathcal{D}(m,N) \le \sqrt{\frac{3m}{m+2}}.$$

 $\bullet_{\leq 2m}$  If  $m+1\leq N\leq 2m$ , then

$$\mathcal{D}(m,N) \le 6 \frac{m^{\frac{3}{2}}}{N-m}$$

See sections???. for the proofs.

Questions. Is  $\mathcal{D}(m, N)$  equal to  $\sqrt{\frac{3m}{m+2}}$  for  $N \ge m^2$ ? Is  $\mathcal{D}(m, m+1)$  bounded by 2m?

- **1.1.B.**  $\delta$ -Approximation Corollary. Let  $X = X^m$  be a smooth manifold and  $f: X \to \mathbb{R}^N$  a continuous map.
  - If  $N \ge 2m-1$  then f can be  $\delta$ -approximated by smooth immersions

$$f_{\delta}: X \hookrightarrow \mathbb{R}^N, \delta > 0,$$

regularly homotopic to f and with curvatures

$$\operatorname{curv}_{f_\delta}(X) \leq \frac{1}{\delta} \left( \sqrt{\frac{6m-2}{2m+1}} + C_o \frac{m}{\sqrt{N}} \right) + o\left(\frac{1}{\delta}\right), \ \delta \to 0,$$

where " $\delta$ -approximated" means that

$$dist_{\mathbb{R}^N}(f_{\delta}(x), f_0(x)) \leq \delta, \ x \in X.$$

• If X admits an immersion to  $\mathbb{R}^n$ , n < N, and  $N \le 2m$ , then f can be  $\delta$ -approximated by smooth immersions

$$f_{\delta}: X \hookrightarrow \mathbb{R}^N, \delta > 0,$$

with curvatures

$$curv_{f_\delta}(X) \leq \frac{1}{\delta} \frac{6n^{\frac{3}{2}}}{N-n} + o\left(\frac{1}{\delta}\right).$$

*Proof.* Let  $\phi: X \to \mathbb{R}^n$  be a smooth immersion <sup>6</sup> and observe the following.

**1.1.C. Stretching Lemma**. If  $n \ge m+1$ , then, for all Riemannin metrics g on X and all positive functions  $\varepsilon(x)$ , there exists an a g-expanding immersion  $\psi: X \to \mathbb{R}^n$  regularly homotopic to  $\phi$ , i.e. it can be joined with  $\phi$  by a  $C^1$ -continuous homotopy of smooth immersion, and such that  $curv_{\psi}(X,x) \le \varepsilon(x)$ .

*Proof.* If X is compact, scale  $\phi \to \psi = \lambda \phi$  and send  $\lambda \to \infty$ .

If X is non-compact and n < m regularly homotop  $\phi$  it to a *proper* (infinity goes to infinity) immersion with a use of Hirsch' immersion theorem and let  $\psi_{\lambda}: X \to \mathbb{R}^n$  be the composition of  $\psi$  with a  $\lambda(y)$ -expanding map  $: \mathbb{R}^n \to \mathbb{R}^n$ ,  $y \in \mathbb{R}^n$ , for a large and fast growing function  $\lambda(y)$ .

Now,  $\varepsilon$ -approximate f by a smooth map  $f'_{\varepsilon}$  and add to it the composed map of  $\delta^{-1}\psi_{\lambda} = \psi \delta^{-1}\lambda$  with an expanding map  $f_{\odot} : \mathbb{R}^n \to \mathbb{R}^N$  times  $\delta$ . It is clear that if the function  $\lambda(x) = \lambda_{f_{\varepsilon}}(x)$  is sufficiently large, depending on the norms of the

<sup>&</sup>lt;sup>6</sup>All  $\overline{X^m}$  immerse to  $\mathbb{R}^{2m-1}$ , if  $m \ge 2$ , by the Whitney theorem.

fist and the second differentials  $||df'_{\varepsilon}(x)||$  and  $||d^2f'(x)||$ , then the curvature of this sum

$$f_{\delta,\lambda}(x) = f_{\varepsilon}'(x) + \delta \cdot f^{\odot} \circ \psi_{\delta^{-1}\lambda}(\delta^{-1}x)$$

is bounded by

$$\frac{curv(f^{\odot})}{\delta} + o\left(\frac{1}{\delta}\right)$$

and the proof follows with  $\varepsilon \to 0$ .

**Remarks.** (I) If f = 0, and X immerses to  $\mathbb{R}^n$ , then the above above delivers an immersion  $f_1$  of X to the unit ball  $B^{n+1}(1)$  with a bound on the curvature of  $f_1$  depending only on the dimension m of X.

This bound on  $curv_{f_1}(X)$  is, apparently, far from optimal for many X, e.g. for product of spheres as it is demonstrated by the codimension l Clifford embeddings of products of l spheres to the unit balls with curvatures  $l^{\frac{1}{2}} \ll l^{\frac{3}{2}}$ .

But the Clifford embeddings are not optimal either: there are products of l spheres, which admit codimension 1 (not l!) immersions with curvatures bounded by a universal constant, where the best available – we don't know if this is optimal – such a constant is  $1 + 2\sqrt{\frac{3l-3}{l+1}}$  according to the following. **1.1.D. Codim 1 Theorem/Example.**(See section ???) Let

$$X = S^k \times \underbrace{S^1 \times ... \times S^1}_{l-1}.$$

If  $k \ge l^{l^4}$ , then there exists an immersion

$$F: X \hookrightarrow B^{k+l}(1)$$

with

$$curv_F(X) \le 1 + 2\sqrt{\frac{3l-3}{l+1}} < 4.5.$$

- (II) Besides, the above (I) our argument doesn't apply to immersions to  $\mathbb{R}^n$ withut passing to  $\mathbb{R}^{n+1}$  but this is taken care of by the following (see section???).
- 1.1.E. Regular Homotopy/Approximation Theorem. Let f: X = $X^m \to \mathbb{R}^n$  be an immersion. If n > m, then f can be  $\delta$ -approximated by immersions  $f_{\delta}X \to \mathbb{R}^n$  which are regularly homotopic to f and such that

$$curv_{f_{\delta}}(X) \leq \frac{500}{\delta} m^{\frac{3}{2}} + o\left(\frac{1}{\delta}\right).$$

- 1.H. Remarks/Questions. We don't know how close this inequality to the minimal values of the curvatures of codim1 immersions of products of spheres
- (a) For instance let  $P^{l-1}$  be an (l-1)-dimensional manifold diffeomorphic to a product of spheres where some of these have dimensions  $\geq 2$ . Then, if  $k \gg l$ , there exist immersions

$$F_{\varepsilon}: S^k \times P^{l-1} \hookrightarrow B^{k+l}(1)$$

with

$$curv_{F_{\varepsilon}}(S^k \times P^{l-1}) \le 1 + 2\sqrt{\frac{3l-3}{l+1}} + \varepsilon$$

for all  $\varepsilon > 0$ .

But this is unclear for  $\varepsilon = 0$ , even for the product  $S^1 \times S^k$ , which embeds to the ball  $B^{k+2}(1)$  with curvature 3 for all k and where we don't know if there are immersions of  $S^1 \times S^{k+2}$  (or other closed non-spherical manifolds of dimension k+1) to the unit ball  $B^{k+2}(1)$  with curvatures < 3.

(b) It is not impossible according to what we know, that m-dimensional products of spheres of dimensions  $\geq 2$  admit immersions to  $B^{m+1}(1)$  with curvature <100.

But the best we can do (see section ???) are immersions with curvatures  $\lesssim m^{\frac{4}{3}}$ .

#### 1.2 Equidimensional Expanding Maps

Affine Expanding Maps. The product of  $r_i$ -balls admits an affine equidimensional expanding map to the R-ball

$$f: \underset{i=1}{\overset{k}{\times}} B^{n_i}(r_i) \to B^N(R), \ N = \sum_i n_i,$$

if and only if

$$\left[\sum r_i^2\right] \qquad \qquad \sum_i r_i^2 \leq R^2,$$

where – all this is, of course, obvious – in the case of equality  $\sum_i r_i^2 = R^2$ , such an f is an isometric embedding.

But – this was pointed out to me by Roman Karasev– it is unlikely that there is a simple criterion for the existence of such embeddings to cubes, not even for rectangular solids,

$$\sum_{i=1}^{n} B^{1}(r_{i}) = \sum_{i=1}^{n} [-r_{i}, r_{i}] \to [-\underline{r}, \underline{r}]^{n}.$$

**1.2.A. Non-Affine Example.** What is more interesting from our perspective is a  $(1 - \varepsilon)$ -expanding map, for a given  $\varepsilon > 0$ , from the infinite cylinder  $X = B^{n-1}(r) \times \mathbb{R}^1$  to the ball  $B^n(2r)$ ,

$$f_{\varepsilon}: B^{n-1}(r) \times \mathbb{R}^1 \to B^n(2r),$$

where this  $f_{\varepsilon}$  comes as the composition of two maps.

(1) The first map is the universal covering map from the cylinder  $B^{n-1}(r-\varepsilon) \times \mathbb{R}^1$  to the round solid torus embedded to the ball,

$$f_1: B^{n-1}(r) \times \mathbb{R}^1 \to \mathbb{T}_{sld}(r, r-\varepsilon) \subset B^n(2r),$$

where this torus is equal to the  $(r - \varepsilon)$ -neighbourhood of a planar circle

$$S^1(r) \subset B^n(2r)$$

of radius r, where the center of  $S^1(r+\varepsilon)$  is positioned at the center of the ball  $B^n(2r)$ .

Observe that the map  $f_1$  is *isometric* on the (n-1)-balls

$$B^{n-1}(r-\varepsilon)\times t\subset B^{n-1}(r-\varepsilon)\times \mathbb{R}^1,\ t\in \mathbb{R}^1.$$

(2) The second map  $f_2$  is the linear (scaling) diffeomorphism

$$f_2: B^{n-1}(r) \times \mathbb{R}^1 \to B^{n-1}(r-\varepsilon) \times \mathbb{R}^1 \text{ for } f_2: (s,t) \mapsto \left(\frac{s}{1-\varepsilon}, \varepsilon^{-1}t\right);$$

where, clearly, the composition

$$B^{n-1}(r) \times \mathbb{R}^1 \stackrel{f_2}{\to} B^{n-1}(r-\varepsilon) \times \mathbb{R}^1 \stackrel{f_1}{\to} \mathbb{T}_{sld}(r,r-\varepsilon) \subset B^n(2r)$$

is the required  $(1-\varepsilon)$ -expanding map  $B^{n-1}(r) \times \mathbb{R}^1 \stackrel{f_{\varepsilon}}{\to} B^n(2r)$ .

**1.2.B**  $[f \times f]$ -Corollary. The Cartesian powers of

$$f_{\varepsilon}: [-r, +r] \times \mathbb{R}^1 \to B^2(2r) \subset \mathbb{R}^2$$

deliver expanding maps

$$B^m(r) \times \mathbb{R}^m \subset [-r, +r]^m \times \mathbb{R}^m \to B^{2m} \left(1 + \frac{1}{\sqrt{m}}\right)$$

for all m=1,2,... and  $r<\frac{1}{\sqrt{m}}$ .

**1.2.** C.  $\frac{1}{2}$ -Exercise. Show that if  $\underline{r} \leq 2r$ , then the cylinder  $B^{n-1}(r) \times \mathbb{R}^1$  admits no expanding map f to the ball  $B^n(\underline{r})$ .

*Hint.* (i) The axes – the central line  $0 \times \mathbb{R}^1$  of the cylinder – must go by f to the concentric ball  $B^n(\underline{r}-r) \subset B^n(\underline{r})$ .

(ii) The longest straight segment with respect to the f-induced flat metric between pairs of points on this axes must have length  $> 2\underline{r} - r$ .

The above ??? is generalized in section ???? as follows.

1.1.D. Rolled Band into Ball Theorem. If  $M \ge 100m^2$ , and

$$r < \frac{\sqrt{m+2}}{\sqrt{3m} + \sqrt{m+2}} \left( > \frac{1}{3} \right),$$

then the product  $B^M(r) \times \mathbb{R}^m$  admits an equidimensional expanding map to the unit ball,

$$F_r: B^M(r) \times \mathbb{R}^m \to B^{m+M}(1).$$

Remark/Question. If m = 1, then, by the above  $\frac{1}{2}$ -exercise, the bound r < 1/2 is optimal, but it is not clear for m = 2.

Here the above inequality for m = 2, which allows expanding maps from  $B^4(r) \times \mathbb{R}^2$  to the unit ball  $B^{m+M}(1)$ , where the supremum of the possible r is

$$\sup r = \frac{2}{\sqrt{6} + 2} - \varepsilon (\approx 0.45),$$

is implemented with M=4 by means of the normal exponential map for the 2-subtorus in Clifford torus  $\mathbb{T}^3 \subset B^6(1)$ , which is is normal to the principal diagonal in  $\mathbb{T}^3$ .

Similarly the normal exponential map for the Clifford torus  $\mathbb{T}^2 \subset B^4(1)$  leads to such maps  $B^2(r) \times \mathbb{R}^2 \subset B^4(1)$  with

$$\sup = \frac{1}{1 + \sqrt{2}} \approx 0.41 < 0.45,$$

while the best  $B^1(r) \times \mathbb{R}^2 \subset B^3$ , where

$$\sup r = \frac{1}{3} < 0.41,$$

is obtained with the normal exponential map for the standard round torus in  $\mathbb{R}^3$ .

And the only known upper bound on r is for M = 1:

$$r \le \frac{\pi}{2\sqrt{\lambda_1(B^3(1))}} = \frac{\pi}{2j_{1/2}} = \frac{1}{2} > \frac{2}{\sqrt{6} + 2} \approx 0.45,$$

where this  $\lambda_1$  is the first Dirichlet eigenvalue of the Laplacian in the unit 3-ball, and  $j_{1/2} = \pi$  is the first Bessel function zero(see next section).

None of these four inequalities is known to be (or not to be) optimal.

# 1.3 Obstructions to Expansion and Lower Bounds on Curvatures of Immersions

To get a perspective on our existence theorems for expanding maps and maps with small curvatures, we summarize below the known upper bounds on expansion and lower bounds on curvatures of immersions, which are derived from geometric and topological properties of Riemannian manifolds with lower bounds on their scalar curvatures. <sup>7</sup>

**1.3.A.** Gaussian ( $\nexists Sc > 0$ )-Obstruction for Immersion to  $S^{m+k}$ . If X is  $\nexists Sc > 0$ , i.e, it admits no metric with *positive scalar curvature* (see 5.8 in [Gr2022] and examples below) then

$$min.curv(X^m \hookrightarrow S^{m+k}) \ge \max\left(\sqrt{\frac{m-1}{k}}, \sqrt{\frac{m-1}{m}}\right)$$

The proof of this follows from the Gauss theorema egregium, see ???.

Remark. The inequality  $curv(X^m \hookrightarrow S^{m+k}) \ge \sqrt{\frac{m-1}{k}}$  is sharp for m=2 and k=1, where the extremal  $X^2 \subset S^3$  is the Clifford torus with curvature 1.

**1.3.B. Euclidean** secretly gaussian **Inequality**. If  $X^m$  is  $\nexists Sc > 0$  then the curvatures of immersions  $f: X^m \to B^{m+k}(1)$  are bounded from below as follows:

$$curv_f(X^m) \ge const \cdot \sqrt{\frac{m}{k}},$$

for some const < 10. (See [Gr2022] and references therein.)

Questions. Do  $\nexists Sc>0$  manifolds satisfy the following inequality?

$$min.curv(X^m \hookrightarrow B^{m+k+1}(1)) \geq \max\left(\sqrt{\frac{m-1}{k}+1}, \sqrt{\frac{m-1}{m}+1}\right).$$

 $<sup>^7\</sup>mathrm{A}$  few simple inequalities with no use of the scalar curvature are indicated in the next section.

Do the curvatures of 2-tori in the unit ball satisfy  $curv(\mathbb{T}^2 \to B^3(1)) \ge 3$ ?

**Examples of**  $\nexists Sc > 0$  **Manifolds**. Tori and product of tori with certain manifols homeomorphic (but not diffeomorphic) to spheres,  $T^m \times \Sigma^n$ , 8 admit no metrics with Sc > 0, see ??? and references therein.

The above can be improved for enlargeable manifolds X, e.g. for those which admit metrics with non-positive sectional curvatures, such as the m-tori for example.<sup>9</sup>

1.3. C. Enlargeable Codimension  $\leq 2$  Theorem . The curvatures of compact enlargeable Riemannian m-manifolds X immersed to the unit ball  $B^{m+k}(1)$  satisfy for k = 1, 2:

$$curv(X^m \hookrightarrow B^{m+k}(1)) \ge \frac{2j_{\nu}}{k\pi} - 1,$$

where  $\nu=\frac{m+k}{2}-1$  and  $j_{\nu}$  is the first root of the Bessel function  $J_{\nu}$ . (See [Gr2022] and references therein.)

One knows in his regard that  $j_{-1/2} = \frac{\pi}{2}, \ j_0 = 2.4048...$ , and if  $\nu > 0$ , then

$$\nu + \frac{a\nu^{\frac{1}{3}}}{2^{\frac{1}{3}}} < j_{\nu} < \nu + \frac{a\nu^{\frac{1}{3}}}{2^{\frac{1}{3}}} + \frac{3}{20} \frac{2^{\frac{2}{3}}a^2}{\nu^{\frac{1}{2}}}$$

where  $a = \left(\frac{9\pi}{8}\right)^{\frac{2}{3}} (1+\varepsilon) \approx 2.32$  with  $\varepsilon < 0.13 \left(\frac{8}{8.847\pi}\right)^2$ , This implies, for instance, that

$$min.curv(\mathbb{T}^7 \hookrightarrow B^8(1)) \ge 3.$$

Codimension k Conjecture. The inequality  $curv(X^m \hookrightarrow B^{m+k}(1)) \ge$  $\frac{2j_{\nu}}{k\pi}$  – 1 holds for all compact enlargeable m-manifolds and all k.

Remark on foc.rad in manifolds with  $Sc^{\times} \geq n(n-1)$ 

(Overoptimistic?) Conjecture. If the cohomology of a closed m-manifold  $X^m$  with coefficients in some field K contains l elements with non-zero product,

$$h_1, \dots \sim h_i \sim \dots \sim h_l \neq 0, \ h_i \in H^*(X; K),$$

e.g.  $X^m = S^{m_1} \times ... \times S^{m_l}$ ,  $m_1 + ... + m_l = m$ , Then the curvatures of immersion  $f: X^m \to B^{m+k}(1)$  bounded from below as follows,

$$curv_f(X) \ge 0.1 \frac{l^2}{mk}?$$

Clifford Tori Extremality Problem. Does the m-torus admit an immersion to the unit 2m-ball with curvature  $<\sqrt{m}$ ?

For all we know, all flat m-tori admit smooth isometric immersions to  $B^{2m}(1)$  with curvatures < 10.

 $\mathbf{m}^{\beta}$ -Problem, What is the minimal  $\beta$ , such that the tori of all dimensions m admit immersion to the unit (m+1)-balls,

$$f: \mathbb{T}^m \hookrightarrow B^{m+1}(1),$$

<sup>&</sup>lt;sup>8</sup>Such  $\Sigma^n$  exists for all n = 8l + 1, 8l + 2, l > 0, see [Hit1973].

<sup>&</sup>lt;sup>9</sup> A compact m-manifold X is enlargeable (see [G 2021]), if it admits a Riemannian metric g, a sequence of covering  $\tilde{X}_i \to X$  and a sequence of  $\lambda_i$ -Lipschitz maps  $(\tilde{X}_i, \tilde{g}_i) \to S^m(1)$  with non-zero degrees, such that  $\lambda_i \to 0$  for  $i \to \infty$ .

with curvatures  $curv_f(\mathbb{T}^m) \leq 100m^{\beta}$ ? (We shall see in section ??? that  $\beta \leq \frac{3}{2}$ )

Simply Connected Codim 1 Curvature Problem. Do all compact smoothly imbedded simply connected hypersurfaces  $X^m \subset \mathbb{R}^{m+1}$ , e.g. products of spheres of dimensions  $\geq 2$ , admit immersion to the unit ball,

$$f: X^m \hookrightarrow B^{m+1}(1)$$

with curvature  $curv_f(X) \le 100$ ?

1.3.D. Rectangular Non-Expansion Theorem. If a rectangular  $2r_i$ solid admits an expanding map to a product of balls of radii  $R_i$ ,

$$\sum_{i=1}^{n} [-r_i, r_i] \to \sum_{j=1}^{l} B^{m_j}(R_j), \quad \sum_{j} m_j = n,$$

then

$$\left[\sum (n_i/r_i)^2\right] \qquad \sum_{i=1}^n \frac{1}{r_i^2} \ge \frac{4}{\pi^2} \cdot \sum_{j=1}^{\underline{l}} \frac{j_{n_j}^2}{R_j^2},$$

for  $\nu_j = \frac{n}{2} - 1$ .  $\square^m$ -Example. If all  $m_j = 1$  this reads

$$\sum_{i=1}^{n} \frac{1}{r_i^2} \ge \frac{4}{\pi^2} \cdot \sum_{j=1}^{n} \frac{\pi^2}{4R_j^2} = \sum_{j=1}^{N} \frac{1}{R_j^2}.$$

Proof of 1.3.D.... ???

1.3.F. Corollary: Expansion with Positive Codimension. Let

$$\sum_{i=1}^{n-k} [-r_i, r_i] \to \sum_{j=1}^{l} B^{m_j}(R_j), \quad \sum_{j} m_j = n,$$

be an expanding immersion with curvature  $\leq \alpha$ . If k = 1 then ????? and if k = 2then ????

Proof.

**Product of Balls Problem.** Given positive numbers  $r_i$ ,  $R_i$  and positive integers  $m_i$ ,  $n_i$ , i = 1,...k, such that  $\sum_i m_i = \sum_i n_i$ , evaluate, let it be only roughly, the maximal  $\lambda > 0$ , such that the product of  $m_i$ -dimensional  $r_i$ -balls  $B^{m_i}(r_i)\mathbb{R}^{m_i}$  admit a  $\lambda$ -expanding map to the product of  $n_i$ -dimensional  $R_i$ balls,

$$\underset{i=1}{\overset{k}{\times}} B^{m_i}(r_i) \to \underset{i=1}{\overset{k}{\times}} B^{n_i}(R_i).$$

Cube Extremality Problem. Does, the unit n-cube  $[-1,1]^n$  admits an expanding map to the n-ball of radius  $<\sqrt{n}$ ?

#### 1.3.1 The *m*-th Scalar curvature $Sc_{|m}$ and Focal Radius

Below we outline generalizations of the inequalities from the previous section to immersions to non-Euclidean manifolds Y.

Let  $Sc_{|m}(Y)$  be the function on the tangent m-planes  $T_y^m \subset T(Y)$  in a Riemannin manifold Y of dimension  $\geq m$ , which is the sum of the sectional curvatures  $\kappa$  of Y on the bivectors in  $T_y^m$  at y, that is the scalar curvature of submanifold  $y\ni Y_y^m\subset Y$  tangent  $T_y^m$ , i.e.  $T_y(Y_y^m)=T_y^m\subset T_y(Y)$  and having zero relative curvature in Y at y,

$$Sc_{|m}(Y, T_y^m) = Sc(T_y^m, y) = \sum_{i \neq j=1,...,n} \kappa(e_1 \wedge e_j)$$

for a frame of ortonormal vectors  $e_i \in T_y^m$ .

By the Gauss formula, the scalar curvature of  $X^m \hookrightarrow Y$  satisfies:

$$Sc(X,x) = Sc_{|m}(Y,T_x(X)) + ||mean.curv(X,x)||^2 - ||II(X,x)||^2$$

and if  $Sc_{|m}(Y) \ge m(m-1)$ , e.g. if  $sect.curv(Y) \ge 1$ , then, by an easy calculation,

$$[Sc_{|m}] \qquad curv(X^m \hookrightarrow Y) < \max\left(\sqrt{\frac{m-1}{k}}, \sqrt{\frac{m-1}{m}}\right) \implies Sc(X) > 0,$$

which implies and generalize the "Gaussian obstruction"???.

It is unclear if there is a similar generalization for enlargeable X but this is possible with the *focal radius of* X rather than with its curvature.

**The focal radius** of an immersed manifold  $X \stackrel{f}{\hookrightarrow} Y$ ,

$$foc.rad(X) = foc.rad(X \hookrightarrow Y) = foc.rad_f(X)$$

is the supremum of those R, for which the differential of the normal exponential map, denoted

$$\exp^{\perp}: T^{\perp}(X) \to Y,$$

is *injective* along all normal segments of length < R, where, in the case of a non-complete Y or a presence of a boundary  $\partial Y$ , one has to say "defined and injective...".

**1.3.G.** Boundary of the Tube Formula. The focal radius of the boundary of the r-neighbourhood of  $X \subset Y$  satisfies

$$foc.rad(\partial U_r(X)) = min(r, foc.rad(Y) - r).$$

If Y has constant sectional curvature, then the focal radii of submanifolds are intimately related to their curvatures in Y.

For instance,

$$foc.rad(X \hookrightarrow \mathbb{R}^N) = \frac{1}{curv(X \hookrightarrow \mathbb{R}^N)}.$$

and

$$foc.rad(X \hookrightarrow B^N(1)) = \min\left(\frac{1}{curv(X)}, dist(X, \partial Y)\right),$$

while the (available) relations between curv(X) and foc.rad(X) are limited for non-constant sect, curv(Y).

<sup>10</sup> If  $sect.curv(Y) \ge 1$ , and  $curv(X) \le \alpha$ , then foc.rad(X) is bounded by the radii of circles in  $S^2$  with curvatures  $\alpha$  and if  $sect.curv(Y) \le \kappa$ , then foc.rad(X) is bounded from below by the radii of circles in surfaces with constant curvature  $\kappa$ .

The inequality ??? generalises in the focal form to immersions with codimensions k = 1, 2 of enlargeable manifolds  $X^m$  to Y with  $Sc(Y) \ge 0$  as follows.

$$foc.rad(X^m \hookrightarrow Y) \le \frac{k\pi}{2\sqrt{\lambda_1(X)}},$$

where  $\lambda_1$  is the first Dirichlet eigenvalue of the Laplacian in X.

In fact, such an inequality holds for certain "topological focal radius".

For instance, let  $X \to Y$  be a topological embedding.

• If codim(X) = 1 and the boundary  $\partial Y$  contains two connected components separated by Y, where  $Sc(Y) \ge 0$ , then

$$dist_Y(X, \partial Y) \le \frac{\pi}{2\sqrt{\lambda_1(X)}}.$$

•2 If codimX = 2, if Y is compact with a boundary, which contains a non-zero homology class  $0 \neq s \in H_1(\partial Y)$ , which vanishes in Y, then

$$dist_Y(X, \partial Y) \le \frac{\pi}{\sqrt{\lambda_1(X)}}.$$

On Geometry of  $[Sc_{|m}]$ . One expects that positivity of  $[Sc_{|m}](Y)$  for m < n = dim(Y) has greater significance than positivity of  $Sc(Y) = [Sc_{|m}](Y)$ . Below is, albeit weak, a confirmation to this...

Let Y be a Riemannin manifold, the boundary  $\partial Y$  of which is divided into two disjoint parts,  $\partial Y = \partial_{-}Y \sqcup \partial_{+}Y$ , where  $\partial_{\pm}Y$  are unions of connected components of  $\partial Y$ .

Let

$$dist(\partial_{-}Y, \partial_{+}Y) = 2r,$$

let the sectional curvature of Y be bounded from below,

$$\kappa(Y) \ge \kappa_-$$

and let

$$Sc_{|(n-1)|} \ge \sigma$$
.

Then

Y contains a smooth hypersurface  $X \subseteq Y$ , which separates  $\partial_{-}Y$  from  $\partial_{+}Y$ (recall that  $\partial Y = \partial_- Y \sqcup \partial_+ Y$ ) and such that the scalar curvature of the induced Riemannian metric in X satisfies:

$$[\sigma | \alpha]$$
  $Sc(X) \ge \sigma - (n-1)\alpha_{\kappa_{-}}(r)^{2},$ 

where  $\alpha_{\kappa_{-}}(r)$  denotes the curvature of the circle of radius r in the standart surface with constant curvature  $\kappa_{-}$ , e.g.

- $\alpha_1(r) = \frac{\cos r}{\sin r}$ ,  $\alpha_0(r) = \frac{1}{r}$ ,  $\alpha_{-1}(r) = \frac{e^r + e^{-r}}{e^r e^{-r}}$ .

*Proof.* Let  $X_{[2r]} \subset Y$  be the 2r-equidistance hypersurface to  $\partial_{-}Y$  and  $X_{[2r-r]} \subset Y$  be the r-equidistant to  $X_{2r}$  on the side of  $\partial_- Y$ . Then clearly

 $(\circ_r)$  the hypersurface  $X_{[2r-r]}$  is  $C^{1,1}$ -smooth with the curvature, i.e. with the norm of the second fundamental form, bounded by  $\alpha_{\kappa_-}(r)$ .

Hence,  $X_{[2r-r]}$  can be approximated by  $C^{\infty}$ -smooth hypersurfaces  $X_{\varepsilon} \subset Y$  with curvatures bounded by  $\alpha_{\kappa_{-}}(r) = \varepsilon$  for all  $\varepsilon > 0$ . QED.

Remark. If  $n \leq 8$  then  $\partial_{-}Y$  and  $X_{2} \subset Y$  can be separated by a smooth stable  $\mu$ -bubble  $X \subset Y$  such that the scalar curvature of a warped product metric  $g^* = g^*(x,t) = dx^2 + \phi(x)^2 dt^2$  on  $X \times \mathbb{T}^1$  is bounded from below in terms of  $\sigma = \inf_{y} Sc(Y,y)$  and r as follows (see section 3.7 in [G(scalar) 2021]),

$$Sc(X) \ge \sigma - \frac{(n-1)\pi^2}{nr^2}.$$

Although this is not formally stronger than  $[\sigma|\alpha]$ , it is by far more general and informative. Probbaly, a version of this holds true for all n, but the present day techniques (due to Lohkamp and to Schoen-Yau) fail short of confirming this for  $n \ge 9$ .

Questions. (a) Does  $(\circ_r)$  generalize to submanifolds  $X \subset Y$  of codimensions k > 1, where Y is, in some way, "wide in k-directions"?

For instance, let Y be a Riemannin manifold homeomorphic to  $X_0 \times B^k(1)$ , where  $X_0$  is a closed manifold of dimension n-k, let the sectional curvature of Y be bounded by  $|\kappa(Y)| \leq 1$  and the injectivity radius by  $inj.rad(Y) \geq 1$  (compare with [Gr2022]).

What else need you know about Y to effectively evaluate the minimal  $\alpha$ , such that Y contains a submanifold  $X \subset Y$  homologous to  $X_0 = X_0 \times \{0\} \subset X_0 \times B^k(1) = X$ , such that the curvature of X in Y is bounded by  $\alpha$ ?

What is the best bound on  $\alpha$  in a presence of a proper (boundary-to-boundary)  $\lambda$ -Lipschitz map  $X \to B^k(1)$ ?

The known (unless I am missing some) quantitative transversality theorems applied to maps  $X \to B^k$  deliver submanifolds  $X \subset Y$  with  $\alpha \leq const_n$ , but we need X with  $\alpha \leq const_k$  for our purposes.

Alternatively, an inductive use of  $(\circ_r)$  leads to a bound with

$$const \sim 100^{k(1+diam(Y))}$$

but this is not satisfactory either.

(b) How much (if at all) do (essential) global (geo)metric and/or topological properties of Riemannian n-manifolds Y with  $Sc_{|m}(Y) \ge m(m-1)$  for  $m \ge 3$  differ from those with  $Sc(Y) \ge n(n-1)$ ?

For instance, does the product  $\mathbb{T}^{n-2} \times S^2$ ,  $n \ge 4$ , admit a metric with  $Sc_{|3} > 0$ ?

# 1.4 Remarks, Acknowledgements and the Plan of the Paper

The lower bounds on curvatures of tori (see section ???) in concert with the "natural symmetry" of Clifford's manifols may led one to believe that such bounds persist in all codimensions. But when I mentioned this to Fedia Bogomolov, "everything is possible in large dimensions" – he responded.

Then my attempts to prove lower bounds on the curvatures of m-tori in n-dimensional balls for  $n \sim 2m$  were arrested by what Gilles Pisier explained to me about norms of generic linear families of selfadjoint operators.

Also Gilles pointed out to me on the criticality of dimensions  $\sim \sqrt{n}$  (example 3.1 in [FLM1977]) and the present state of art with Dvoretzky-Milman inequalities for the  $l_p$ -spaces was explained to me by Grigoris Paouris who also suggested the relevance [K1995] for evaluation of the Kolmogorov diameter D.

Then Bo'az Klartag and Noga Alon patiently explained me the basics on the spherical designs and construction of these based on binary codes, allowing sharp bound on D in high dimensions.

PLAN OF THE PAPER. (To be written

In sections 3.1-??? we explain how the construction from sections enhance flexibile of geometric sheaves of maps and prove the h-principle with controlled curvature including tat for isometric immersions of compact Riemannian manifolds.

In section 4 we explain how the above non-Expansion theorem follows from the  $T^*$  stable cubical multispread inequality.

\*\*\*\*\*\*\*\*\*

# 2 Kolmogorov's D = D(m, N, p), Hilbert's Theorem and Spherical Designs

**K-Diameter**  $\sqrt[p]{\mathbf{D}(\mathbf{m}, \mathbf{N}, \mathbf{p})}$ . Let  $||y||_{L_p}$ ,  $y = (y_1, ..., y_N) \in \mathbb{R}^N$  denote the normalized norm  $l_p$ ,

$$||y||_{L_p} = \left(\frac{1}{N} \sum_{i=1}^{N} |y_i|^p\right)^{\frac{1}{p}}$$

Let D(m, N, p) denotes the infimum of the numbers D > 0 such that  $\mathbb{R}^N$  contains an m-dimensional linear subspace X, such that

$$||x||_{L_p}^p \le D||x||_{L_2}^p$$
, for all  $x \in X$ .

Observe that D(1, N, p) = 1,  $D(m, m, p) = m^{\frac{p}{2}-1}$ , that D(m, N, p) is monotone increasing in m and decreasing in N and let

$$D(m,p) = D(m,\infty,p) = \lim_{N\to\infty} D(m,N,p).$$

**2.1.A.** Gamma Function Design Formula. If p = 4, 6, 8..., then an simple O(m)-averaging argument, show that

$$[\Gamma/\Gamma] \qquad D(m,p) = \frac{\int_{S^{m-1}} |l(s)|^p ds}{\left(\int_{S^{m-1}} |l(s)|^2 ds\right)^{\frac{p}{2}}} = \frac{m^{\frac{p}{2}-1} \cdot 3 \cdot 5 \cdots (p-1)}{(m+2) \cdot (m+4) \cdots (m+p-2)},$$

where l(s) is a non-zero linear function on on the sphere.

**2.1.B. Hilbert Connection**. In his proof of the Waring problem, Hilbert shows the existence of  $M = \binom{m+p-1}{m-1} + 1$  rational points  $s_i \in S^{m-1}$  and of positive rational weight  $w_i > 0$ ,  $\sum_{1}^{M} w_i = 1$ , such that  $\sum_{i} w_i l^d(s_i) = \int_{S^{m-1}} l^d(s) d$  for all linear functions on he sphere.

This, after partitioning each  $s_i$  into  $\Delta$  atoms for  $\Delta$  being the smallest common denominator of  $w_i$ , becomes what is no-a-days called *spherical design* of cardinality  $N = \mathcal{N}M$  of  $w_i$ , which yields (this is nearly obvious, see **2.1.C** below) the following.

 $\mathbf{D}(\mathbf{m}, \mathbf{N})$ -Stabilization:  $D(m, N, p) = D(m, \infty, p)$  for all sufficiently large  $N \ge N_{Hilb}(m,p) (\le \mathcal{N}M)$ , where – to be safe let it be rough–  $N_{Hilb} \le m^{m^p}$ .

**Design Rationality:** If  $N \geq N_{Hilb}$  then the space  $l_p^N$  contains a rational linear subspace X of dimension m, such that

$$||x||_{L_p}^p = D(m,p)||x||_{L_2}^{\frac{p}{2}}$$
 for all  $x \in X$ .

#### **2.1.C.** Spherical Designs and the Equality $D(m, N) = D(m, \infty)$

A design of even degree p = 2, 4, ... and cardinality N on the sphere  $S^{m-1}$  is a map from a set  $\Sigma$  of cardinality N to the sphere, written as  $\sigma \mapsto s(\sigma)$ , such that the linear functions l(s) on the sphere  $S^{m-1} \subset \mathbb{R}^m$  satisfy

$$\frac{1}{N} \sum_{\sigma \in \Sigma} l^d(s(\sigma)) = \int_{S^{m-1}} l^d(s) ds, \ d = 2, ..., p,$$

where ds is the O(m) invariant probability measure on the sphere.

Hence, the linear map from the space  $\mathbb{R}^{m\perp}(=\mathbb{R}^m)$  of linear functions on the sphere  $S^{m-1} \subset \mathbb{R}^m$  to  $\mathbb{R}^N = \mathbb{R}^{\Sigma}$  preserves both, the  $L_2$  and the  $L_p$ -norms and, by the above  $[\Gamma/\Gamma]$ ,

the existence a design of cardinality N implies that D(m, N, p) = D(m, p). 11

Non-rational designs, at least for p = 4, are known to exit for  $N \ll N_{Hilb}$ .

**2.2.D**  $2m^2$ -Design Construction. If p = 4, and if m is a power of 2, then there exists a spherical designs of cardinality  $N = 2m^2 + 4m$ . <sup>12</sup>

This, now for all m, shows that

(i) 
$$D(m, N, 4) = \frac{3m}{m+2}$$
 for  $N \ge 8(m^2 + m)$ .

 $[\mathbb{R}^2 \text{ in } l_4^3]$ -Example.  $D(2,N,4)=\frac{3}{2} \text{ for } N\geq 3, \text{ with four (rational) planes}$  $X \subset \mathbb{R}^3 = l_4^3$ , where  $||x|||_{L_4}^4 = \frac{3}{2}||x|||_{L_2}^4$ : these are the normals to the vectors (1,1,1), (1,1,-1), (1,-1,1), (1,-1,-1).

**2.2.E.** D(m, N)-Inequalities. If  $N \lesssim m^2$ , then upper bounds on  $D^4(m, N, 4)$ follow from the corresponding estimates in the randomization proofs of the Dvoretzky theorem for the  $l_p$ -spaces, where the following inequality follow from (the argument in) [PVZ201 7] as it was spelled out in details in a mesage by by Grigoris Paouris to me.

- (ii)  $D(m, N, 4) \le 3 + const_{(ii)} \frac{m^2}{N}$  for  $N \ge m^2$ ; <sup>13</sup> (iii)  $D(m, N, 4) \le const_{(iii)} \frac{m^2}{N}$  for  $2m \le N \le m^2$ . <sup>14</sup>

**Nash Connection.** Besides applications to lower bounds on curvatures of immersions (see next section), Hilbert's argument, combined with a Nashlike twist, leads to  $C^2$ -smooth isometric Riemannian immersions with (large)

<sup>&</sup>lt;sup>11</sup>See ..... ??? for more about it.

 $<sup>^{12}</sup>$ This was stated and proved in a written message by Bo'az Klartag to me. Also, Bo'az pointed out to me that the Kerdock code used in [K1995] yields designs for  $m = 4^k$  and

<sup>&</sup>lt;sup>13</sup>This follows from (i) for  $N \ge 8(m^2 + m)$  and, if  $const_1$  is large, also for (some)  $N \le$  $8(m^2+m)$ . Besides, the inequality  $D^4(m,m^2,4) \le const$  follows from (the proof of) example 3.1 in [FLM1977].

Since  $D(m, N, 4) \leq D(m, m, 4) = m$  for all m and N, the significance of this inequality for  $N \sim m$  depends on the value of  $const_2$ .

prescribed curvatures and also to a solution of the differential geometric Warning problem:

construction of isometric  $C^1$ -immersions of manifolds with symmetric differential forms of degrees d > 2, (see 2.4 (B)(4) on p. 205 in [Gr1986] and [Gr2017]).

# 3 Equivariant Immersions $\mathbb{R}^m \to S^{2N-1}$ and Euclidean $\mathcal{D}(m, N)$ -Theorem for $N \ge 4n$ .

#### 3.A. Curvatures of the Clifford Tori. Let

$$\mathbb{T}^N \subset S^{2N-1} \subset B^{2N}(1) \subset (B^2(1))^N \subset \mathbb{R}^{2N}$$

be the Clifford torus and observe that the second quadratic form of this torus in the the ambient Euclidean space  $\mathbb{R}^{2N} \supset S^{2N-1} \supset \mathbb{T}^N$ , regarded as a quadratic form with values in the normal bundle, is

$$II = \sqrt{N} \sum_{i=1}^{N} \nu_i dt_i^2$$

where  $t_i$  are the cyclic coordinates on the torus and  $\{\nu_i \in T^{\perp}(\mathbb{T}^N \subset \mathbb{R}^{2N})\}$  is the corresponding orthonormal frame of *normal* vectors to  $\mathbb{T}^N$ .

This, in terms of the orthonormal tangent frame  $\{e_i = \frac{\partial}{\partial t_i} \in T(\mathbb{T}^N)\}$ , means that

II: 
$$e_i \otimes e_i \mapsto \sqrt{N}\nu_i$$
 and II:  $e_i \otimes e_j \mapsto 0$  for  $i \neq j$ .

Thus, the curvature of  $\mathbb{T}^N$  in  $B^N$  along a unit tangent vector  $\bar{x} \in T(\mathbb{T}^N)$ ,

$$\bar{x} = \sum_{i} x_i e_i$$
, where  $\sum_{i} x_i^2 = 1$ ,

is

$$curv(\mathbb{T}^N, \bar{x}) = \|\mathrm{II}(\bar{x} \otimes \bar{x})\| = \|\mathrm{II}(\sum_i x_i e_i \otimes \sum_i x_i e_i)\| =$$
$$\|\mathrm{II}(\sum_{ij} x_i x_j (e_i \otimes e_i)\| = \sqrt{N} \|\sum_i x_i^2 \nu_i\| = \sqrt{N} \sqrt{\sum_i x_i^4} = \sqrt{N} \frac{\sqrt{\sum_i x_i^4}}{\|\bar{x}\|^2} =$$

where  $||\bar{x}||^2 = |\bar{x}||_{l_2}^2 = \sum_{i=1}^N x_i^2$ .

Hence.

$$curv(\mathbb{T}^{N}, \bar{x}) = \left(\sqrt[4]{N} \frac{\|\bar{x}\|_{l_{4}}}{\|\bar{x}\|_{l_{2}}}\right)^{2} = \left(\frac{\|\bar{x}\|_{L_{4}}}{\|\bar{x}\|_{L_{2}}}\right)^{2},$$

where, recall, the  $L_p$ -norms refer to the finite probability spaces with N equal atoms,

$$\|\bar{x}\|_{L_p} = \frac{\|\bar{x}\|_{l_p}}{\sqrt[p]{N}}.$$

**3.B. Proof of the Euclidean**  $\mathcal{D}(\mathbf{m}, \mathbf{N})$ **-Theorem 1.1.A for**  $N \geq 2m$ **.** The above  $(\star)$  implies the existence of an equivariant isometric immersion from the Euclidean m-space to the Clifford N-torus,

$$f^{\odot}: \mathbb{R}^m \to \mathbb{T}^N \subset S^{2N} \subset \mathbb{R}^{2N}$$

with the relative curvature  $\operatorname{curv}_{\mathbf{E}}^{\mathbf{e}}(f^{\circ})$  (for the Euclidean metrics  $\mathbf{e}$  in  $\mathbb{R}^m$  and  $\mathbf{E}$  in  $\mathbb{R}^{2N}$ ) equal to  $\sqrt{D(m,N)} = \sqrt{D(m,N,4)}$ .

Hence.

$$\mathcal{D}(\mathbf{m}, \mathbf{N}) \leq \sqrt{D(m, M)}$$

for all m and  $N \ge 2M$ ; thus the above D(m, N)-inequalities (i),(ii),(iii) yield the corresponding  $\mathcal{D}(\mathbf{m}, \mathbf{N})$  inequalities in ???.

In addition to that, if the  $l_4^N$ -space contains a rational m-subspace X with  $\frac{\|x\|_{L_4}^4}{\|x\|_{L_2}^2} = D$ , then  $\mathbb{T}^N$  contains an m-subtorus with the ambient Euclidean curvature  $\sqrt{D}$ .

3.C.  $\delta$ -Approximation in Non-Euclidean Riemannian Manifods. The derivation of the  $\delta$ -approximation from expanding Euclidean maps in section ??? easily generalizes, albeit with limitations, to Riemannian manifolds as follows.

**Theorem.** Let Y be a complete Riemannin manifold  $^{15}$  with the sectional curvature  $|sect, curv(Y)| \le \kappa^2$  and let  $f: X \to Y$  be a continuous map.

If the induced bundle  $f^*(T(Y)) \to X$  contains a subbundle isomorphic to  $X \times \mathbb{R}^N$ , (i.e. a trivial one) and if X admits an immersion to  $\mathbb{R}^N$ , e.g.  $2m-1 \le N \le dimY - dim(Y) - 1$ , then, for all positive  $\delta \le \frac{1}{2\kappa}$ , the map f can be  $\delta$ -approximated by immersions  $f_\delta: X \to Y$ , such that

$$curv_{f_{\delta}}(X) \leq \frac{1+2\kappa}{\delta} \sqrt{\underline{\mathcal{D}}(m,N)},$$

where

$$\underline{\mathcal{D}}(m,N) \leq \frac{3m}{m+2} + const \frac{m}{\sqrt{N}} \text{ for } N \geq 2m$$

and

$$\underline{\mathcal{D}}(m,N) \leq \frac{6m^{\frac{3}{2}}}{N-m} \text{ for } N \leq 2m.$$

*Proof.* Proceed as in the proof of 1.1.B, where instead of adding  $\delta \cdot f^{\circ} \circ \psi_{\delta^{-1}\lambda}$  to  $f'_{\varepsilon}$  we the compose exponential map with a (fiberwise injective bundle homomorphism from the trivial bundle  $X \times \mathbb{R}^N$  to X over the smooth map  $f'_{\varepsilon}$ , (this map  $\varepsilon$ -approximates f).

#### 3.1 Subtori in Non-Equilateral Clifford Tori

All invariant N-tori in the sphere  $S^{2N-1} \subset \mathbb{R}^{2n}$  are (equal, up to isometries of  $S^{2N-1}$ , to) the orbits of the product action of N-copies of the standard action of  $\mathbb{T}^1$  in the plane. where these orbits are equal to the non-equilateral Clifford tori

$$\mathbb{T}^{N}(\bar{r}) \underset{i=1}{\overset{N}{\times}} S^{1}(r_{i}), \text{ for } \bar{r} = (r_{1}, ..., r_{N}), \text{ where } ||\underline{r}||^{2} = \sum_{i} r_{i}^{2} = 1$$

Then, similarly to the above  $(\star)$ , the values of the curvature operator of this torus at the unit tangent vectors  $\bar{x} = (x_1, .... x_N) \in T(\mathbb{T}^N(\bar{r}))$  are

$$(\star \bar{\mathcal{R}}) \qquad curv(\mathbb{T}^N_{\bar{r}}, \bar{x}) = \left\| \sum_i \frac{x_i^2}{r_i} \nu_i \right\| = \sqrt{\sum_i \frac{x_i^4}{r_i^2}}$$

<sup>&</sup>lt;sup>15</sup>One may allow a boundary, but this is a minor problem.

where, if all  $r_i = \frac{1}{\sqrt{N}}$ , this reduces to  $(\bigstar)$  for

$$\sqrt{\sum_{i} \frac{x_i^4}{r_i^2}} = \sqrt{\frac{\sum_{i} |x_i|^4}{N}}$$

and where we denote

$$||x||_{L_4(\bar{r})} = \sqrt[4]{\sum_{i=1}^N \frac{x_i^4}{r_i^2}}$$

#### 3.1.A. Conclusion. There is a one-to-one correspondence between

equivariant  $\mathbb{R}^m \subset S^{2N-1}$  with  $curv(\mathbb{R}^m) < \alpha$ 

and pairs  $(\bar{r}, X)$ , where  $\bar{r} = (r_1, ..., r_N)$  is a unit vector with positive entries,

$$\sum_{i=1}^{N} r_i^2 = 1, \ r_i > 0,$$

and subspaces  $X \subset Y = \mathbb{R}^N = l_2^N$  is a such that all  $x \in X$  satisfy

$$||x||_{L_4(\bar{r})} < \sqrt{\alpha} \cdot ||y||_{L_2},$$

where, recall, the  $L_2$ -norm of  $y \in Y$ , including  $y \in X \subset Y$ , is

$$||y||_{L_2} = \sqrt{\frac{\sum_{i=1}^N y_i^2}{N}} = \frac{||y||}{\sqrt{N}}.$$

Conceivably, m-torical orbits not contained in  $\mathbb{T}_{Cl}^N$ , e.g. those maximizing the *m-volumes* of the respective *m*-tori actions, may have slightly smaller curvatures than Kolmogorov's D(m, N), that is, as we know, is equal to the infimum of the curvatures of m-subtori in  $\mathbb{T}_{Cl}^M$ .

This can be stated with the  $\bar{r}$ -counterpart of Kolmogorov's D(m, N), denoted  $\langle (m,N) (\leq D(m,N)) \rangle$  that is the infimum of the suprema of the ratios of the two norms:

$$\diamondsuit(m,N) = \inf_{Y,\bar{r}} \sup_{0 \neq y \in Y} \frac{||y||_{L_4(\bar{r})}}{||y||_{L_2}},$$

where the infimum is taken over all m-dimensional linear subspaces  $Y \subset \mathbb{R}^N$  and all positive unit vectors  $\bar{r}$ .

Question. Is, ever,  $\langle (m, N) \rangle < D(m, N)$ ?

The space  $\mathcal{I}_{\alpha} = \mathcal{I}(\mathbf{m}, \mathbf{N}, \alpha)$  of isometric equivariant immersions  $\mathbb{R}^m \hookrightarrow$  $S^{2N-1}$  with curvatures  $\leq \alpha$  is a semi algebraic subset in the (Euclidean) space  $J_N(m,N)$  of N-jets at  $0 \in \mathbb{R}^m$  of smooth maps  $\mathbb{R}^m \to \mathbb{R}^{N16}$ , which is invariant under the action of the orthogonal group O(2N), and where the O(2N)-orbit of an  $I \in \mathcal{I}$  in  $S^{2N-1}$  is equal to  $W_I \setminus O(2N)/\mathbb{T}^N$ , where  $W_I$  is the subgroup of the Weyl group of O(2N), which

preserves I, (this is empty for generic I).  $^{17}$ 

There can be something geometrically interesting in the O(N)-topology of  $\mathcal{I}_{\alpha}$  depending on  $\alpha$ , but all one can say off hand is the *Petrovsky*-(Thom-Milnor) bound on the homology of  $\mathcal{I}_{\alpha}$  by the algebraic degree of this set.

<sup>&</sup>lt;sup>16</sup>The space  $J_k(m,N)$  is isomorphic to the space of polynomial maps  $\mathbb{R}^m \to \mathbb{R}^N$  of degrees

<sup>&</sup>lt;sup>17</sup> The corresponding space  $\mathcal{X}(m, N, \sqrt{\alpha})$  of m-subspaces X in  $L_4^N$  with  $\frac{\|x\|_{L_4}^4}{\|x\|_{L_2}^4} = \sqrt{\alpha}$ , which, albeit being also semi algebraic, has more combinatorial flavour than  $\mathcal{I}$ .

### 4 Normal Immersions in Small Codimensions

#### 4.1 Proof of Euclidean $\mathcal{D}(m, N)$ -Theorem for $N \leq 2m$

$$\Phi_1: X^1 \times \mathbb{R}^{n_1} \to \mathbb{R}^{m_1 + n_1}$$

and let  $\phi_2: X_2 = X^{m_2} \to \mathbb{R}^{n_1}$  be another immersion. If  $\phi_2$  lands in the r-ball in  $\mathbb{R}^{n_1}$  for some  $r_1 > 0$ ,

$$\phi_2(X_2) \subset B_0^{n_1}(r) \subset \mathbb{R}^{n_1}$$

and

$$curv_{\phi_1}(X_1) \le \alpha_1 < \frac{1}{r},$$

then the composed map  $(x_1, x_2) \mapsto \Phi_1(x_1, \phi_2(x_2))$  is an *immersion*, say

$$\phi_1 \rtimes \phi_2 : X_1 \times X_2 \to \mathbb{R}^{m_1 + n_1}.$$

Recall that the normal connection  $\nabla^{\perp}$  in the (trivial) normal bundle

$$X_1 \times \mathbb{R}^{n_1} = T^{\perp}(X_1) = T(\mathbb{R}^{m_1 + n_1}) \ominus T(X^1) \to X_1$$

is defined by the field  $\tau^{\perp}$  of tangent  $m_1$ -planes in  $X_1 \times \mathbb{R}^{n_1}$ , which are normal to the Euclidean fibers  $x_1 \times \mathbb{R}^{n_1}$  with respect to the (flat) Riemannin metric induced by the map  $\Phi_1: X^1 \times \mathbb{R}^{n_1} \to \mathbb{R}^{m_1+n_1}$ .

Flat Split Bundles and  $\nabla^{\perp}$ -Trivial Immersions The connection  $\nabla^{\perp}$  is called flat split if the map  $\Phi_1$  is  $\nabla^{\perp}$ -parallel that is the field  $\nabla^{\perp}$  is normal to the fibers  $x_1 \times \mathbb{R}^{n_1}$  with respect the product metric in  $X^1 \times \mathbb{R}^{n_1}$  and the immersion  $\phi_1$  is called  $\nabla^{\perp}$ -trivial in this case.

- **4.1.A.** List of  $\nabla^{\perp}$ -Trivial Examples. (a) Immersions  $\mathbb{R}^1 \to \mathbb{R}^n$  are  $\nabla^{\perp}$ -trivial
- (b) Codimension 1 immersion of orientable manifolds,  $X^m \to \mathbb{R}^{m+1}$ , are  $\nabla^{\perp}$ -trivial.
  - (c) Equivariant immersions of tori,  $\mathbb{T}^m \to \mathbb{R}^n$ , are  $\nabla^{\perp}$ -trivial.
  - (d) Direct products of  $\nabla^{\perp}$ -trivial-immersions  $\phi_i: X_i \to \mathbb{R}^{n_i}$

$$\underset{i}{\times} \phi_i : \underset{i}{\times} X_i \to \mathbb{R}^{\sum_i n_i}$$

are  $\nabla^{\perp}$ -trivial.

- (e) The above "semidirect products"  $\phi_1 \rtimes \phi_2 : X_1 \times X_2 \to \mathbb{R}^{m_1 + n_1}$  of  $\nabla^{\perp}$ -trivial  $\phi_1 : X_1 \to \mathbb{R}^{m_1 + n_1}$  and  $\phi_2 : X_2 \to \mathbb{R}^{n_1}$  are  $\nabla^{\perp}$ -trivial.
- **4.1.B.** (Obvious)  $\rtimes$ -Normality Lemma. Let  $\phi_1: X_1 \to \mathbb{R}^{n_1}$  and  $\phi_2: X_2 \to \mathbb{R}^{n_1}$  be  $\nabla^{\perp}$ -trivial immersions. Then:
  - •norm If  $\phi_1: X_1$  and  $\phi_2$  are normal (see ????) then  $\phi_1 \times \phi_2$  is also normal.
  - curv If  $\phi_2(X_2) \subset B^{n_1}(r) \subset \mathbb{R}^{n_1}$ , then

$$foc.rad_{\phi_1} \times \phi_2(X_1 \times X_2) \ge \min(foc.rad_{\phi_2}(X_2), foc.rad_{\phi_1}(X_1) - r)$$

and in the normal case the relative curvature of  $\phi_1 \rtimes \phi_2$  (as well as the curvature  $curv(X) = foc.rad(X)^{-1}$  itself), satisfies the corresponding inequality.

$$curv(\phi_1 \times \phi_2) \leq (\min(curv(\phi_2)^{-1}, curv(\phi_1)^{-1} - r))^{-1}.$$

#### 4.1.C. Torus-by-Torus Construction. Let

$$[-1,1] \times \mathbb{T}^1 \to [-2,2]^2 \supset B^2(2)$$

be the map obtained by rotation of the segment [0,2] around the origin in the plane (which is an immersion away from the "interior" boundary circle) and let

$$f_1 = f_0^{\times k} : [-1, 1]^k \times \mathbb{T}^k = ([-1, 1]^k \times \mathbb{T}^1)^k = \to ([-2, 2]^2)^k = [-2, 2]^{2k},$$

$$f_2 : [-1, 1]^k \times \mathbb{T}^{3k} = [-1, 1]^k \times \mathbb{T}^k \times \mathbb{T}^{2k} \to [-2, 2]^{2k} \times \mathbb{T}^{2k} = ([-2, 2]^k \times \mathbb{T}^k)^2 \to [-4, 4]^{4k}$$

$$f_i: [-1,1]^k \times \mathbb{T}^{k2^i - k} \to [-2^i, 2^i]^{k2^i}.$$

It follows by the construction, that this map is normal and that the normal exponential map of the central torus

$$\mathbb{T}^{2^i-1} = 0 \times \mathbb{T}^{2^i-1}$$

(immersed actually embedded) to the cube  $[-2^i, 2^i]^{k2^i}$  is injective in the interior of  $[-1, 1]^k \times \mathbb{T}^{k2^i-k}$ . Hence, the curvature of this torus and the (relative) curvature of the immersion  $f_i$  are bounded by 1 and the corresponding scaled map  $f: \mathbb{T}^{k2^i-k} \to B^{k2^k}$  satisfies

$$curv_f(\mathbb{T}^{k2^i-k}) = curv^{\mathbb{T}^{k2^i-k}}(f) \le 2^i \cdot \sqrt{k2^i},$$

or, in terms of  $m = k2^i - k$ ,

$$curv_f(\mathbb{T}^m) \le \left(\frac{m}{k} + 1\right)\sqrt{m+k},$$

which implies for all m and  $k \leq m$ :

$$curv_f(\mathbb{T}^m) = curv^{\mathbb{T}^m}(f) < 6\frac{m^{\frac{3}{2}}}{k}.$$

The proof of theorem ??? is concluded.

#### 4.2 Proofs of the Codim 1 and the Rolled Band Theorems

Let  $f: X^m \to Y$  be an immersion with  $foc.rad_f(X) = R$  and  $S^{\perp}(r)(X) \to X$  be the bundle of normal r-spheres  $S_x^{N-m-1}(r) \subset T_x^{\perp}(X) = T_{f(x)}(Y) \ominus T_x(X) = \mathbb{R}^{N-m}$ .

If r < R then the normal exponential map  $E : S^{\perp}(r)(X) \to Y$  is an immersion, where  $foc.rad_E(S^{\perp}(r)(X)) = \min(r, R - r)$ .

For instance, if  $X \to B^N(1)$  is an immersion with trivial normal bundle and  $\operatorname{curv}_F(X) \leq$ , then the immersion

$$E_f: \left(1 + \frac{1}{2c}\right)^{-1} E: X \times S^{N-m-1} = S^{\perp}\left(\frac{1}{2c}\right)(X) \to B^N(1)$$

has

$$curv_{E_f}\left(X \times S^{N-m-1} \hookrightarrow B^{N-m-1}\right) \le 2c\left(1 + \frac{1}{2c}\right) = (2c+1).$$

- **4.2.A. Codim1 Conclusion.** This, applied to immersions of tori  $\mathbb{T}^{l-1} \to B^N(1)$  with large N curvature  $\mathbb{T}^{l-1} = \sqrt{\frac{3(l-1)}{l+1}}$ , yields codimension codimension one immersions with small curvature as stated in ???
- **4.2.B. Generalization from** l**-Tori to** l**-Polyhedra.** Given a compact polyhedral (or cellular) space P of dimension l, there exists a compact N-manifold X, for all  $N \ge 2l 1$ , such that:
- $_P$  there is a continuous map  $K \to X$ , which is a homotopy equivalence in dimensions < N/2, i.e. this map induces isomorphisms of the homotopy groups,  $\pi_i(P) \to \pi(X)$  for i < N/2;
  - •200 if  $N \ge 200l^2$  then, for all  $\varepsilon > 0$ , X admits an immersion to  $B^{N+1}(1)$  with

$$curv(X \hookrightarrow B^{N+1}(1) \leq 1 + \sqrt{\frac{3l}{l+2}} + \varepsilon.$$

In fact, the boundary of the regular neighbourhood of P embedded to  $\mathbb{R}^{N+1}$  can be taken for X.

Embedding Remark. This, X, by its very construction, embeds to  $\mathbb{R}^{N+1}$ , but one can show (section???) that there is no universal bound on the curvature of embeddings of X to the unit ball in  $\mathbb{R}^{N+1}$ .

For instance if P is a connected sum of different lens spaces, e.g.

$$P_k = \#_{i=1}^k S^3 / \mathbb{Z}_{p_i},$$

where  $p_1 < ... < p_i < ... < p_k$  are prime numbers, then the curvatures of all smooth embeddings  $F: X \to B^{N+1}(1)$  satisfy:

$$curv_F(X) \ge \log \log(k)/N^N$$
.

Question. What, roughly, is the minimum of the curvatures of embeddings  $\mathbb{T}^l \times S^N \to B^{N+l+1}(1)$ ?

**4.2.C.** The proof of the "rolled band theorem proceeds similarly to the above.

Let  $f: \mathbb{R}^m \to B^{m+M}(1)$  be an immersion with curvature bounded by  $\mathcal{D} = \mathcal{D}(m, m+M)$  as in ??? , let

$$e = e_f : \mathbb{R}^m \times B^M(r) \to \mathbb{R}^m \to B^{m+M}(1+r), \ r < \frac{1}{\mathcal{D}},$$

be the normal exponential map for  $\mathbb{R}^m$  immersed to  $\mathbb{R}^{m+M} \supset B^{m+M}$  and let

$$E_{\lambda}: \mathbb{R}^m \times B^M(r) \to \mathbb{R}^m \to B^{m+M}(1) \text{ for } (x,b) \mapsto (1+r)^{-1}e(\lambda x,b).$$

If  $\lambda$  is sufficiently large, then the map  $E_{\lambda}$  is expanding in the  $\mathbb{R}^m$  directions, i.e. it expands  $\mathbb{R}^m \times b$  for all  $b \in B$  and since it is isometric in the  $B^M$ -directions it is expanding on  $\mathbb{R}^m \times B^M(r)$ ... except for one problem:

the normal M-ball bundle  $B^{\perp}(r) \to \mathbb{R}^m$  of the immersed  $\mathbb{R}^m \to \mathbb{R}^{m+M}$  is trivial, it is indeed, isomorphic to the product  $\mathbb{R}^m \times B^M(r)$  but the map  $(x,b) \mapsto \lambda(x,b)$ 

is not necessarily expanding with respect to the (Euclidean) metric induced by the exponential map. (Look at the planar map  $(x,y) \mapsto (0,10x+y)$ 

Fortunately, the normal bundles of our immersions constructed in sections ??? and ??? are *flat split*, (see ???) the map  $E_{\lambda}$  is expanding and it can be taken for the required  $F_r$  in ???.

**4.2.D. Expanding Maps**  $F_r$  for all m and M. The above argument delivers expanding maps  $F_r : \mathbb{R}^m \times B^M(r) \to B^{M+m}(1)$  provided  $r \leq (1 + \Delta)^{-1}$ , where  $\Delta$  is taken according to the  $\mathcal{D}(m, N)$  inequalities in ????,

$$\Delta = \sqrt{\frac{3m}{m+2}} + C_o \frac{m}{\sqrt{M}}, \text{ for } M \ge m,$$

and

$$\Delta = 6 \frac{m^{\frac{3}{2}}}{M} \text{ for } M < m.$$

.

## 4.3 Proof of the Regular Homotopy/Approximation Theorem.

Step 1. Slicing. Given an immersed manifold

$$X = X^m \stackrel{\phi}{\hookrightarrow} \mathbb{R}^n, \ n > m,$$

, and (small) positive numbers  $\varepsilon, \delta > 0$  there exists an immersion

$$X \stackrel{\varphi}{\hookrightarrow} \mathbb{R}^n$$

regularly homotopic tp  $\phi$ , such that

- $\bullet_{curv_{\varphi}} \ curv_{\varphi}(X) \le \varepsilon,$
- • $_{\delta}$  the first coordinate function  $y_1(x) = y_1(\varphi(x))$  of  $y = \varphi(x) \in \mathbb{R}^n = \{y_1, ..., y_n\}$  is proper Morse, where there are no critical points of  $y_1$  on the  $\delta i$  levels of  $y_1$  for integer i = ... 2, -1, 0, 1, 2..., i.e. the hyperplanes where  $y_1 = \delta i$  in  $\mathbb{R}^n$  are transversal to  $\varpi(X) \subset \mathbb{R}^n$  and
  - $\bullet_{\varepsilon}$  the curvatures of these  $\delta i$  levels are bounded by  $\varepsilon$ .

*Proof.* If X is compact, then  $\bullet_{curv_{\varphi}}$  achieved achieved by scaling:  $x \mapsto \lambda \phi(x)$  for a large  $\lambda$  and then one gets  $\bullet_{\delta}$  by a preliminary generic rotation of  $\phi(X)in\mathbb{R}^n$ , where then the critical values of  $y_1(x)$  moved to the centers of the segments  $[\delta i, \delta(i+1)]$ , let  $\frac{1}{\delta} = o(\lambda)$  and conclude the proof with the following obvious (but essential)

**4.3.A. Levels Curvature Sublemma**. Let y(x) be a Morse function on a compact Riemannian manifold X and  $x_0$  be a critical point, where  $y(x_0) = 0$ . Then the curvatures of the  $\delta$ -levels  $f^{-1}(\delta) \subset X$  satisfy

$$curv(f^{-1}(\delta) = o\left(\frac{1}{\delta}\right).$$

**Step 2.** Zigzag Folding and Compression. Reflect the X-bands  $y_1^{-1}[\delta i, \delta(i+1)] \subset X$  in the hyperplanes  $y_1 = \delta i$ ,  $i \in \mathbb{Z}$ , and thus "compress"  $\varphi(X)$  to a zigzag

map  $\zeta$  from X to the Euclidean  $\delta$ -band between a pair of such hyperplane, say between  $y_1 = 0$  and  $y_1 = \delta$ .

- Step 3. Twisted Regularization with Controlled Curvature. There exists a smooth 10 $\delta$ -approximation of  $\zeta$  by a smooth immersion  $\zeta_{\circ}: X \to \mathbb{R}^{n}$ , such that
- $\bullet_{\epsilon}$  the immersion  $\zeta_{\circ}$  is equal to  $\zeta$  outside the  $\epsilon$ -neighbourhood of the corners of  $\zeta$ , that is the subset  $y_1^{-1}(\delta\mathbb{Z}) \subset X$ , where  $\epsilon > 0$  en is a given number which may be taken much smaller than  $\delta$ ;
  - reg the immersion  $\zeta_{\circ}$  is regularly homotopic to  $\varphi$ ,

 $\bullet_{curv/\delta}$  the curvature  $\zeta_{\circ}$  is bounded by  $\frac{1}{\delta}$  *Proof.* To see how it works, let  $\theta_{\circ}$  and  $\theta_{\mathscr{O}}$  be two immersions of the circle to the plane, each having a single corner point, both with the same corner angle. If we align these corners properly and attach the immersions one to another at the corner points, we obtain a composed smooth immersion  $\theta_*$  where, if  $\theta_{\mathscr{E}}$  is  $\mathscr{O}$ -shaped, this  $f_*$  is regularly homotopic to  $f_{\circ}$ .

Now, in he case of a corner along a hypersurface  $X_i = \varphi^{-1}\delta$ ) attach the product  $X_i \times \mathcal{O}$  to  $\zeta(X)$  along this corner and by doing it to all  $X_i$  we obtain a smooth immersion regularly homotopic to  $\varphi$  where the conditions  $\bullet_{\epsilon}$  and  $\bullet_{curv/\delta}$ are easily achievable  $10\delta$  close to  $\zeta$ . Details are left to the reader.

**Step 4.** Rolling Bands into Balls. The band  $\mathbb{R}^{n-1} \times [-10\delta, 11\delta] \supset \zeta_o(X)$  is mapped to  $B^n(1)$  by "rolling band" immersion  $F_r: \mathbb{R}^n \times [-r, r] \to B^n(r)$  for rfrom ???, where  $F_r$  is restricted to the subs-band  $\mathbb{R}^n \times [-r/2, r/2] \mathbb{R}^n \times [-r, r] \subset$ ??? and where we let  $\delta = \frac{r}{42}$ .

In order estimate the curvature of the composed map  $\Phi = F_r \circ \zeta_o$ ,

$$X \stackrel{\zeta_o}{\to} \mathbb{R}^n \times [-r/2, r/2] \stackrel{F_r}{\to} B^n(1),$$

by  $\operatorname{curv}_{\zeta_0}(X) \leq c = \frac{1}{\delta}$  we recall the construction of the underlying normal immersion

$$f = F_r | : \mathbb{R}^{n-1} \times \{0\} : \mathbb{R}^n - 1 \to B^n (1 - r)$$

, where  $curv(f) \le 6(1-r)^{-1}n^{\frac{3}{2}}$  and where also (the differential of) this map has controllably bounded anisotropy,

$$\frac{||d\tau_1||}{||d\tau_2||} \le 2n$$

for all unit tangent vectors  $\tau_1, \tau_2 \in T(X)$ . It follows that the curvature  $curv_{\Phi}(X)$ is bounded essentially in the same way as that of  $F_r$ ,

$$curv_{\Phi}(X) \le 420n^{\frac{3}{2}},$$

and the corresponding approximation inequality follows as in the proof in the genera case of the  $\delta$ -approximation theorem. (This  $\delta$  and that in  $[-10\delta, 11\delta]$ , albeit similar, are not the same.)

**4.3.B.** Immersions to non-Euclidean Y. The above argument, unlike the proof of the the  $\delta$ -approximation theorem as explained in ???, doesn't generalize to immersions from X to general Riemannian manifolds Y.

Yet, a combination of the above "twisted regularization" on the top of a routine induction by skeleta delivers the following.

4.3.C. Rough Exponential Bound on Curvature. Let Y be a complete Riemannin manifold with  $|sect.curv| \le \kappa^2$  and let  $f: X = X^m \to Y$  be a smooth immersion.

If dim(Y) > m then, for all positive  $\delta \leq \frac{1}{\kappa}$ , the map f can be  $\delta$ -approximated by immersions  $f_{\delta}: X \to Y$ , which are regularly homotopic to f and such that

$$curv_{f_{\delta}}(X) \leq \frac{(1+\kappa)100^m}{\delta}.$$

#### 4.4 Unfolding Folds and other Singularities.

Below is another proof of the regular homotopy/approximation theorem for *orientable hypersurfaces*, which leads to a better, possibly sharp in some cases, bounds on the curvature.

**Unfolding Lemma.** Let  $X = X^m$  be an orientable manifold and  $f: X \to \mathbb{R}^{m+1}$  be an immersion. Then, for all  $\varepsilon > 0$ , there is an immersion,

$$\zeta_{\circ}: X \to \mathbb{R}^m \times [-1, 1],$$

which is regurally homotopic to f and such that

$$curv_{\zeta_o}(X) \leq 1 + \varepsilon.$$

*Proof.* Apply Poenaru's h-principle for pleated maps (see (C) on p.56 in [Gr1986]), and obtain a smooth map  $f_1: X \to \mathbb{R}^{m+1}$  regularly homotopic to f, such that the only singularity of the normal projection  $\zeta: X \to \mathbb{R}^m \subset \mathbb{R}^{m+1}$  is a folding along a smooth hypersurface  $\Sigma = \Sigma^{m-1} \subset X$ .

Make the curvature of the immersion  $\zeta: \Sigma \to \mathbb{R}^m$  as small as you wish by  $\lambda$ -scaling as we did earlier and thus also separate different part of  $\Sigma$  far one from another, such that, on the balls of large radii  $R \sim \lambda$  in X, the scaled map is  $\varepsilon$ -close to the standard fold  $(x_1, ..., x_m) \mapsto (x_1, ..., x_m^2)$ .

is  $\varepsilon$ -close to the standard fold  $(x_1, ..., x_m) \mapsto (x_1, ..., x_m^2)$ . "Unfold"  $\zeta \sim \zeta_0 = (\lambda \zeta, y) \in \mathbb{R}^{m+1}$ , where  $y : X \to \mathbb{R}$  is a smooth function on X, which, in the obvious normal coordinates, depends only on the last coordinate  $x = x_m$ , where it is  $\varepsilon$ -close to a lift  $\eta_0 : \mathbb{R} \to \mathbb{R}_+ \times [-1, 1]$  of the standard fold  $\mathbb{R} \to \mathbb{R}_+$ ,  $x \mapsto y = x^2$ , where  $\eta_0(x) = (x, y(x))$  and where

the x-segment [-1,1] is sent by  $\eta_{\circ}$  to the semicircle in the half plane  $\{x,y\}_{y\geq 0}$  and  $\eta_{\circ}(x) = -1$  for x < -1 and  $\eta_{\circ}(x) = 1$  for x > 1.

Conclude the proof by rolling the band  $\mathbb{R}^m \times [-1,1]$  into the ball as in the above step 4.

Remarks. (a) Our unfolding with controlled curvature quantifies a single step in removal of the singularities argument (see [GE1971] and section 2.1 in [Gr1986].)

To do the same for all step and thus unfold more general Thom-Boardman singularities with controlled curvature start by observing that our image curve  $\eta_{\circ}(\mathbb{R}) \subset \mathbb{R}_{+} \times [-1,1]$ , (which is is only  $C^{1}$ -smooth), is equal to the boundary of the 1-neighbourhood of the ray  $[1,\infty) \subset \mathbb{R} \times [-1,1]$ .

Then, to unfold  $\Sigma^{1,...,1}$ , of depth k, where  $1,...,1 = \underbrace{1,...,1}_{k}$ , the natural model

to use is the boundary of the 1-neighbourhood of the positive quadrant  $\mathbb{R}^k_+ \subset \mathbb{R}^k \times [-1,1]$ , which has  $curv \leq 1$  as well. But I haven't checked if this actually works.<sup>18</sup>

<sup>&</sup>lt;sup>18</sup>Beware of non-coorientable folds, such as of the Möbius strip along he central line.

- (b) It could be interesting to quantify the approximation procedure of *smooth maps by immersion in Sobolev spaces* from [GE 1971'] and also a similar approximation in [Be1991].
- (c) It is unclear how to "controllably unfold" in  $\mathbb{R}^{m+l}$  more general singularities of smooth maps  $X^m \to \mathbb{R}^m \subset \mathbb{R}^{m+l}$ .

This leaves the following question open.

Do smooth immersions  $f: X^m \to \mathbb{R}^{m+l}$  are regularly homotopic to immersions  $f_\circ$ , the curvatures of which are bounded up to a multiplicative constant by the minimal relative curvatures of  $\nabla^\perp$ -trivial immersions of flat tori  $\mathbb{T}^m \to \mathbb{R}^{m+l}$ .

For instance it remains problematic if

all m-manifolds X admit immersions  $f: X \to B^{2m}(1)$  with curvatures  $\operatorname{curv}_f(X) \leq \operatorname{cost}\sqrt{m}$ , say for  $\operatorname{const} = 100$ .

#### 5 Miscellaneous

#### 5.1 Veronese Maps.

Besides invariant tori, there are other submanifolds in the unit sphere  $S^{N-1}$ , which have small curvatures and which are transitively acted upon by subgroups in the orthogonal group O(N).

The generalized Veronese maps are a minimal equivariant isometric immersions of spheres to spheres, with respect to certain homomorphisms ( representations) between the orthogonal groups  $O(m+1) \rightarrow O(m+1)$ ,

$$ver = ver_s = ver_s^m : S^m(R_s) \to S^m = S^{m_s} = S^{m_s}(1),$$

where

$$m_s = (2s + m - 1)\frac{s + m - 2)!}{s!(m - 1)!} < 2^{s + m} \text{ and } R_s = R_s(m) = \sqrt{\frac{s(s + m - 1)}{m}},$$

for example,

$$m_2 = \frac{m(m+3)}{2} - 1$$
,  $R_2(m) = \sqrt{\frac{2(m+1)}{m}}$  and  $R_2(1) = 2$ ,

(see ???If s = 2 these, called *classical Veronese maps*, are defined by taking squares of linear functions (forms)  $l = l(x) = \sum_i l_i x_i$  om  $\mathbb{R}^{m+1}$ ,

$$Ver: \mathbb{R}^{m+1} \to \mathbb{R}^{M_m}, \ M_m = \frac{(m+1)(m+2)}{2},$$

where tis  $\mathbb{R}^{M_m}$  is represented by the space  $\mathcal{Q} = \mathcal{Q}(\mathbb{R}^{m+1})$  of quadratic functions (forms) om  $\mathbb{R}^{m+1}$ ,

$$Q = \sum_{i=1, i=1}^{m+1, m+1} q_{ij} x_i x_j.$$

The Veronese map, which is (obviously) equivariant for the natural action of the orthogonal group group O(n+1) on  $\mathcal{Q}$ , where, observe, this action fixes the line  $\mathcal{Q}_{\circ}$  spanned by the form  $Q_{\circ} = \sum_{i} x^{2}$  as well as the complementary subspace  $\mathcal{Q}_{\diamond}$  of the traceless forms Q, where the action of O(n+1) is irreducible and, thus, it has a unique, up to scaling Euclidean/Hilbertian structure.

Then the normal projection  $^{19}$  defines an equivariant map to the sphere in  $\mathcal{Q}_{\diamond}$ 

$$ver: S^n \to S^{M_m-2}(r) \subset \mathcal{Q}_{\diamond},$$

where the radius of this sphere, a priori, depends on the normalization of the O(m+1)-invariant metric in  $\mathcal{Q}_{\diamond}$ .

Since we want the map to be isometric, then we either take  $r = \frac{1}{R_2(m)} = \sqrt{\frac{m}{2(m+1)}}$  and keep  $S^m = S^m(1)$  or If we let r = 1 and  $S^m = S^m(R_2(m))$  for  $R_2(m) = \sqrt{\frac{2(m+1)}{m}}$ .

Also observe that the Veronese maps, which are not embeddings themselves, factor via embeddings of projective spaces to spheres

$$S^m \to \mathbb{R}P^m \subset S^{M_m-2} \subset \mathbb{R}^{M_m-1} = \mathcal{Q}_{\diamond}, \ M_m = \frac{(m+1)(m+2)}{2}.$$

Curvature of Veronese. Let is show that

$$curv_{ver}\left(S^{m}(R_{2}(m)) \hookrightarrow S^{M_{m}-2}(1)\right) = \sqrt{\frac{R_{2}(1)}{R_{2}(m)} - 1} = \sqrt{\frac{m-1}{m+1}}.$$

Indeed, the Veronese map sends equatorial circles from  $S^m(R_2(m))$  to planar circles of radii  $R_2(m)/R_2(1)$ , the curvatures of which im the ball  $B^{M_m-1}$  is  $\sqrt{R_2(1)/R_2(m)} = \sqrt{\frac{2m}{m+1}}$ , and the curvatures of these in the sphere,

$$curv(S^1 \subset S^{M_m-2}(1)) = \sqrt{curv(S^1 \subset B^{M_m-1}(1))^2 - 1},$$

is equal to the curvature of the Veronese  $S^m(R_2(m)) \hookrightarrow S^{M_m-2}(1)$ itself. QED.

 ${\it Conjecture}.$  This is the smallest possible curvature of a non-spherical m-manifold in the unit ball:

if a smooth compact m-manifold X admits a smooth immersion to the unit ball  $B^N = B^N(1)$  with curvature  $curv(X \hookrightarrow B^N) < \sqrt{\frac{2m}{m+1}}$ , then X is diffeomorphic to  $S^m$ .

Remark. Manifolds  $X^m$  immersed to  $S^{m+1}$  with curvatures < 1 are diffeomorphic to  $S^n$ , see 5.5.?, but, apart from Veronese's, we can't rule out such X in  $S^N$  for  $N \ge m+2^{-20}$  and, even less so, non-spherical X immersible with curvatures  $<\sqrt{2}$  to  $B^N(1)$ , even for N=m+1.

It seems hard to decide this way or another, but it may be realistic to try to prove sphericity of simply connected manifolds immersed with curvatures < 1 to  $S^N(1)$  for all N.

The curvatures of Veronese maps can be also evaluated with the Gauss formula, (teorema egregium) (see section ???), which also gives the following formula for curvatures of all  $ver_s$ :

From Veronese to Tori. The restriction of the map  $ver_s: S^{2m-1}(R_s) \to S^{N_s}$  to the Clifford torus  $\mathbb{T}^m \subset S^{2m-1}(R_s)$  obviously satisfies

$$curv_{ver_s}(\mathbb{T}^m) \le A_{2m-1,s} + \frac{\sqrt{m}}{R_s} = \sqrt{3 - \frac{5}{2}m + \varepsilon(m,s)}$$

<sup>&</sup>lt;sup>19</sup>The splitting  $Q = Q_o \oplus Q_o$  is necessarily normal for all O(m+1)-invariant Euclidean metrics in Q.

<sup>&</sup>lt;sup>20</sup> Hermitian Veronese maps from the complex projective spaces  $\mathbb{C}P^m$  to the spaces  $\mathcal{H}_n$  of Hermitian forms on  $\mathbb{C}^{m+1}$  are among the prime suspects in this regard.

for

$$\varepsilon(m,s) = \frac{2}{4m^2} - \frac{4m-2}{s(s+2m-2)} + \frac{5(2m-1)}{2ms(s+2m-2)} - \frac{2m-1}{(ms(s+2m-2))^2}.$$

This, for  $s>>m^2$ , makes  $\varepsilon(m,s)=O\frac{1}{m^2}$ Since  $N_s<2^{s+2m}$ , starting from  $N=2^{10m^3}$ 

$$curv_{ver_s}(\mathbb{T}^m) < \sqrt{3 - \frac{5}{2}m}.$$

where it should be noted that

the Veronese maps restricted to the Clifford tori are  $\mathbb{T}^m$ -equivariant

this bound is weaker than the optimal one  $\frac{\|y\|_{l_4}^2}{\|y\|^2} \ge \sqrt{3 - \frac{3}{m+2}} + \varepsilon$  from the previous section.

Remarks. (a) It is not hard to go to the (ultra) limit for  $s\to\infty$  and thus obtain an

equivariant isometric immersion  $ver_{\infty}$  of the Euclidean space  $\mathbb{R}^m$  to the unit sphere in the Hilbert space, such that

$$curv_{ver_{\infty}}(\mathbb{R}^m \hookrightarrow S^{\infty}) = \sqrt{\frac{(m-1)(2m+1)}{(m+1)^2}} = \sqrt{2 - \frac{5}{m+1} + \frac{2}{(m+1)^2}},$$

where equivariance is understood with respect to a certain unitary representation of the isometry group of  $\mathbb{R}^m$ .

Probably, one can show that this  $ver_{\infty}$  realizes the *minimum* of the curvatures among all equivariant maps  $\mathbb{R}^m \to S^{\infty}$ .

(b) Instead of  $vers_s$ , one could achieve (essentially) the same result with a use of compositions of the classical Veronese maps,  $ver: S^{m_i} \to S^{m_{i+1}}$ ,  $_{i+1} = \frac{(m_i+1)(m_i+2)}{2} - 2$ ,

$$S^{m_1} \hookrightarrow S^{m_2} \hookrightarrow \dots \hookrightarrow S^{m_i}$$

starting with  $m_1 = 2m - 1$  and going up to i = m. (Actually,  $i \sim \log m$  will do.)

# 5.2 Product Manifolds, Connected Sums and Related Constructions

Let  $f_i: X_i^{m_i} \to \mathbb{B}^{m_i+1}(1)$ , i=1,...,l, be immersions with focal radii r and let  $f_0: X_0^{m_0} \to B^l(1)$  be an immersion with  $foc.rad_f(X_0^{m_0}) = r_0$ ,

Then the ×-construction (see ???) delivers an immersion

$$f: X = \sum_{i=0}^{l} X_i \to B^N(1), \ N = l + \sum_{i=0}^{l} m_i,$$

such that

$$foc.rad_{f_{\times}}(X_{\times}) \ge \max_{0 < \lambda \le 1} \frac{\min(r - \lambda, \lambda r_0)}{\sqrt{l} + \lambda r_0}$$

Similarly, if  $X_0^{m_0}$  admits a  $\nabla^{\perp}$ -trivial (see ???) immersion to  $B^M(1)$  with focal radius  $r_0$ , then X admits an immersion to  $B^{M+k}(1)$  for all  $k \geq 1-M+\sum_0^l m_i$ , such that

$$foc.rad_{f_{\bowtie}}(X_{\times}) \ge \max_{0 < \lambda \le 1} \frac{\min(r_0 - \lambda, \lambda r / \sqrt{l})}{\sqrt{l} + \lambda r / \sqrt{l}}$$

#### 5.2.A. Example: Product of Spheres. Let

$$X = X^m = \underset{i}{\times} S_i^m, \ \sum_i m_i = m,$$

and let  $\mu = \min_i m_i$ . Then there exists an immersion  $f: X \to B^m + 1(1)$ , such that

$$curv_f(X) \le const_{\mu} m^{\frac{\mu+2}{\mu+1}}$$

*Proof.* Adopt the torus-by-torus construction **4.1.C** to product of spheres, where instead of squaring maps at each step, use (Cartesian) product of at least  $\mu$  of maps, where then the above inequality for foc.rad translated to curvature apply.

Embedding Remark. Observe that the resulting maps  $X^m \to B^{m+1}(1)$  are embeddings.

**5.2.B. Connected Sums.** If m-manifolds  $X_i$ , i = 1, 2, ..., l, admit immersions to the unit ball  $B^n = B^n(1)$ , n > m, with the curvatures bounded by a constant C, then the connected sum  $X_1 \# ... \# X_l$  can immersed to  $B^n$  with curvature bounded by 5C.

Proof. Make geometric connected sums of all  $X_i \to B^n$  with the unit equatorial sphere  $S^m \subset S^n = \partial B^n$ , where this is done with each  $X_i$  individually with a copy of  $S^m \subset B^n$  by connecting  $X_i$  with  $S_1^m = S^m$  with a tube with curvature < 5C. Then the connected sum between  $X_i$  is implemented by making similar tubes between  $S_i^m$ .

Example. Since there are 2-Tori in the unit 3-ball with curv = 3, the minimal possible curvatures of orientable surfaces X satisfy

$$min.curv(X_{ori}^2 \hookrightarrow B^3(1)) < 15,$$

while nonorientable ones have

$$min.curv(X^2 \hookrightarrow B^3(1)) \le 5min.curv(\mathbb{R}P^2 \hookrightarrow B^3(1)) < 50,$$

the Boy surface seem to have curvature about 10, Probably, all surfaces have min.curv < 10, but it is unclear, not even for the 2-torus, what actually minimal curvatures of surfaces in  $B^3(1)$  are.

Attaching k-Handles for  $k \ge 2$ . To attach a handle to a sphere  $S^{k-1} \subset X$  with a controlled the curvature, with a controllable increase of the curvature, one needs a regular  $\delta$ -neighbourhood of this sphere in X with  $\delta$  controllably bounded from below: this which would allow attaching a k handle with the curvature increase roughly by  $1/\delta$ .

For instance, if k = 2 an  $S^1 \subset X$  is the shortest non-contractible curve in X, then it does admits such a neighbourhood in X with  $\delta$  controllably bounded from below by the curvature of X; thus attaching with certain normal frames 2-handles to it is possible with curvature increase by a definite multiplicative constant.

In general one can show the following.

**5.2.C.** Handles Stretch Proposition. (Compare with 4.3.C.) Let an immersed manifold  $X^m_{\diamond} \stackrel{\phi}{\hookrightarrow} B^N(1)$  be obtained from  $X^m \stackrel{f}{\hookrightarrow} B^n(1)$  by attaching l-handles for  $l \le k$  where, all steps surgery keep in the class of immersed manifolds. Then  $\phi$  is regularly homotopic to an immersion  $\phi_1: X_{\diamond} \hookrightarrow B^n(1)$ , such that

$$curv_{\phi_q}(X_\diamond) \le C^{2k} curv_f(X)$$

for  $C \le 10\,000$ .

Sketch of the Proof. Regularly homotop f in  $B^n(1)$  to an immersion  $f_1$  with  $curv_{f_1}(X) \leq 100^{2k} curv_f(X)$  and such that that the  $f_1$ -induced Riemannian metric in a (small) neighbourhood U of the 2k-skeleton of a smooth triangulation of X is by an arbitrarily large (independently of U) factor  $\lambda$  greater than the f-induced metric.

Assume without loss of generality that all spheres  $S^i$ , at which the surgery performed are located and in U don't intersect there (this is possible for  $m \ge 2k$ , which we may assume with no problem) and choose  $\lambda$  so large that the union of these spheres has a nice thick regular neighbourhood, where the surgery can be made with at most  $100^{2k}$  increase in the curvature.

*Remark.* It is not hard to visualise an actual proof along these lines but I don't see how to write it down in a readable form.

#### 5.3 Embeddings with Small Curvatures

Connected Sums of Embedded Manifolds. If  $X = X^m$  admits an embedding (i.e. a immersion with no self-intersection) to  $B^{m+1}(1)$  with curvature  $\leq c$ , then the connected sums of 2l-copies of X embed to  $B^{m+1}(1)$  with curvatures < 100c.

*Proof.* Let  $X_1 \subset B^{m+1}(1)$  be obtained from X by attaching a single 1-handle  $S^{m-1} \times [0,1]$ , such that  $curv X_1 \subset B^{m+1}(1) < 10c$ .

Let  $\tilde{X}_l$  be the natural cyclic covering of  $X_1$  of order l and let  $\bar{X}_l$  be obtained by cutting  $\tilde{X}_l$  along the sphere  $S^{m-1} \subset \tilde{X}_l$  from the handle.

Observe that this  $\bar{X}_l$  is a manifold with two spherical boundary components and that it (almost) naturally embeds to  $^{m+1}(1)$  with curvature < 10c. Let  $\bar{X}'_l \subset B^{m+1}(\underline{1}) \setminus \bar{X}_{\underline{l}}$  be obtained by a slight normal displacement of  $\bar{X}_l$ 

Let  $\bar{X}'_l \subset B^{m+1}(1) \setminus \bar{X}_l$  be obtained by a slight normal displacement of  $\bar{X}_l$  and let us attach  $\bar{X}'_l$  to  $\bar{X}_l$  along a pair of nearby (m-1)- spheres and also fill in the remaining two boundary spheres with m-balls. Clearly, the resulting manifold, call it  $X_{2l}$ , is diffeomorphic to the connected sum of 2l copies of X and it is not hard to arrange an embedding of  $X_{2l}$  to the unit ball with curvature < 100.

Exercises. (a) Let  $X = X^m$  be a connected sum of an arbitrary number of manifolds diffeomorphic to product of spheres. Show that X embeds to the unit (m+1)-ball with curvature<  $500m^{\frac{3}{2}}$ . Hint??? (This is claimed in 3.7.2(6) of [Gr2021] but i am not now certain about individual products of spheres. Well ...seems OK by imitating "normal neighbourhood construction" for tori)

(b) Let  $X=X^m$  be disconnected closed manifold, which contains l mutually non-diffeomorphic components. Show that

$$curv_f(X \hookrightarrow B^{m+1}) \ge const_m l, \ const_n \ge \frac{1}{(10m)^m},$$

for all embeddings  $f: X \hookrightarrow B^{m+1}(1)$ .

(c) Construct closed m-dimensional manifolds  $X_i$ , i = 1, 2, ... for all  $m \ge 6$ , such tat all of them embeds to  $B^7(1)$  with curvatures < 1~000~000 and such that embedding of connected sums of l among these manifolds have curvatures  $\ge constl$ .

#### 5.4 Cycles with Small Curvature

Our equidimensional expanding maps are effective in delivering immersed submanifolds with controllably bounded curvatures, because these maps themeselves, besides being expanding, have controllably bounded second derivatives.

In general, it is hard to

construct a immersed m-dimensional submanifolds  $X \hookrightarrow Y$  with  $small\ curvature$  and with non-zero homology classes  $[X] \in H_m(Y)$ .

Apparently, all known results of this kind badly depend on the dimension and/or codimension of  $X^{21}$ 

A happy exception is the codimension one case, m = n - 1, where there is no topological obstructions for the existence of X and where an equidistant smoothing delivers hypersurfaces with controllably small curvatures as follows.

Let Y be a proper Riemannian band of dimension n, that is a Riemannian manifold, the boundary  $\partial Y$  of which is divided into two disjoint parts,  $\partial Y = \partial_- Y \sqcup \partial_+ Y$ , where  $\partial_\pm Y$  are unions of connected components of  $\partial Y$ , and denote by d the width of Y,

$$d = width(Y) =_{def} dist(\partial_{-}Y, \partial_{+}Y).$$

Let us  $d_1$ -equidistantly push  $\partial_- Y$  inside Y for  $d_1 < d$  and then  $d_2$ -equidistantly move the resulting hypersurface, denoted  $\partial_{-d_1}$ , back toward  $\partial_- Y$  with  $d_2 < d_1$ .

That is,  $\partial_{-d_1}$  is equal to the (topological) boundary of the  $d_1$ -neighbourhood  $U_{d_1}(\partial_-Y) \subset Y$  and the result of the second move, call it  $X_{\circ} = \partial_{-d_1|+d_2} \subset U_{d_1}(\partial_-Y)$ , is the boundary of  $U_{d_2}(\partial_{-d_1}) \subset U_{d_1}(\partial_-Y)$ .

Let us evaluate the curvature of  $X_{\circ}$  in terms of the sectional curvatures of Y, where we observe the following.

1. If Y has constant sectional curvature  $\pm \kappa^2$ , then  $X_{\circ}$  is  $C^{1,1}$ -smooth and

$$foc.rad(X_{\circ}) \ge (\min(d_2, d_1 - d - 2));$$

accordingly  $curv(X) \le \alpha_{\kappa}^{\pm}(\min(d_2, d_1 - d - 2))$  for the function  $\alpha^{\pm}$  from 1.B.

2. If more generally, the sectional curvatures of Y is pinched between two values, that are the curvatures of two standard surfaces  $S_{\pm}$  with constant curvatures,

$$sect.curv(S_{-}) \le sect.curv(Y) \le sect.curv(S_{+}),$$

then the curvature of  $X_{\circ}$  is bounded by the maximum the two numbers:

- •1 the first number is the curvature of the circle of the radius  $d_2$  in  $S_-$ ;
- •2 the second number is the curvature of the circle  $S^1(r) \subset S_+$ , such that the curvature of the concentric circle  $S^1(r+d_2)$  is equal to the curvature of the  $d_1$ -circle in  $S_-$ ;

It follows, for instance, that

 $<sup>^{21}{\</sup>rm see}$  Quantitative null cobordism by Gregory R. Chambers, Dominic Dotterrer, Fedor Manin and Shmuel Weinberger.

$$(\circ_d)$$
 if

$$-1 \le sect.curv(Y) \le 1$$

and  $d = width(Y) \le 1$ , then

Y contains a smooth hypersurface, which separates  $\partial_{-}Y$  from  $\partial_{+}Y$  and such that

 $curv(X) \le \frac{4}{d}$ .

Corollary. Let Y be a complete Riemannian n-manifold with  $|sectcurv(Y)| \le \kappa^2$ and with  $inj.rad(Y) \ge r$ .

 $(\circ_{\kappa,r})$  all integer (n-1)-dimensional homology classes  $h \in H_{n-1}(Y)$  are realizable by smoothly immersed oriented hypersurfaces  $X \hookrightarrow Y$  with  $curv(X) \leq$  $10\kappa + \frac{10}{r}.^{22}$ 

Indeed, given a homology class  $h \in H_1(Y)$ , apply  $(\circ_d)$  to the infinite cyclic covering of Y, which is defined by this class.

Questions. (a) Do  $(\circ \circ_d)$  and  $(\circ_r)$  meaningfully generalize to submanifolds  $X \subset Y$  of codimensions k > 1, where Y is, in some way, "wide in k-directions"?

For instance, Let Y be a Riemannin manifold homeomorphic to  $X_0 \times B^k(1)$ , where  $X_0$  is a closed connected orientable manifold of dimension n-k, let the sectional curvature of Y be bounded by  $|\kappa(Y)| \le 1$  and the injectivity radius by  $inj.rad(Y) \ge 1$ .

What else need you know about Y to effectively bound the minimal possible curvature of a submanifold  $X \subset Y$  homologous to  $X_0 = X_0 \times \{0\} \subset X_0 \times B^k(1) = X$ ?

What is the best bound on this curvature in a presence of a proper (boundaryto-boundary)  $\lambda$ -Lipschitz map  $X \to B^k(1)$ ?

Are, similarly to  $(\circ \circ_{\kappa}, r)$ , non-zero multiples of the homology classes  $h \in$  $H_m(Y)$ , for all  $m \leq dim(Y)$ , realizable by immersed m-dimensional submanifolds  $X \hookrightarrow Y$  with  $curv(X) \le 100m^{100} \left(\kappa + \frac{1}{r}\right)$ ?

## D. From Focal Radius to Expansion. Let us turn to the

opposite problem: In what cases does the the r-neighbourhood  $U_r(X) \subset X$ of an embedded manifold  $X \subset Y$  with "large" universal covering, e. g. for X homeomorphic to  $\mathbb{T}^m$ , and with large  $foc.rad(\mathbb{X})$  receive an expanding map from a "large manifold" e.g. from  $B^m(R)\times B^{n-m}\left(\frac{r}{100}\right)$  with large R? Here the answer is positive for m=n-1 and m=n-2:

if X receives expanding maps from the balls  $B^m(R)$  for all R (as e.g. the m-torus does), then, in the case m = n - 1, the neighbourhood  $U_r(X)$  receives expanding maps from  $B^m(R) \times B^1\left(\frac{1}{\sqrt{2}}r - \varepsilon\right)$  for all  $R \to \infty$  and positive  $\varepsilon \to 0$ .

And if 
$$m = n-2$$
, then  $U_r(X)$  receives such maps from  $B^{m+1}(R) \times B^1\left(\frac{r}{2\sqrt{2}} - \varepsilon\right)$ 

*Proof.* The required map for m = n - 1 and coorientable  $X \subset Y$  is obtained with the obvious splitting  $U_r(X) = X \times B^1(r)$  and the case m = n - 2 follows by applying this to the hypersurface  $Z = \partial U_{r/2}(X) \subset U_r(X)$ , where, clearly,  $foc.rad(Z) = \frac{1}{2}foc.rad(X) \ge \frac{r}{2}$ , and where the case of a non-trivial normal bundle of  $X \subset Y$  needs a little thinking about.

 $<sup>^{22}</sup>$ If Y is, Riemannian flat, then the term 10/r is unneeded and if Y is almost flat one can do without it for multiples of h and I am not certain about examples where the term 10/r is truly needed.

But when it comes to  $m \le n-3$  nothing of the kind seems to be true, where the apparent difficulty stems from the following phenomenon.

If  $m,k \geq 2$ , then the topologically trivial sphere bundle  $V = \mathbb{R}^m \times S^k \to \mathbb{R}^m$  admits an orthogonal connection  $\nabla$  with an arbitrary small curvature such that all smooth sections  $\phi : \mathbb{R}^n \to V$  satisfy.

$$\sup_{x \in \mathbb{R}^m} ||\nabla \phi(x)|| = \infty.$$

Despite this, our  $U_r(X)$ , still looks large for all m and large r = foc.rad(X), but I don't know, how to make precise sense of largeness for these  $U_r$ .

Here is a specific question.

Let us regard  $U = B^k(r) \times B^m(R)$  as (the total space of) a  $B^k(r)$ -bundle over the ball  $B^m(R)$ , let  $\nabla$  be a Euclidean connection in this bundle and  $g_{\nabla}$  the corresponding Riemannin metric on U, that is the sum of the differential quadratic form induced by the map  $U = B^k(t) \times B^m(R) \to B^m(R)$  with the Euclidean metrics in the fibers  $B_x^k(r) \subset U$ ,  $x \in B^m(R)$  extended to T(U) by zero on the  $\nabla$ -horizontal vectors.

For which r, R and  $\underline{R}$  the manifolds  $(U, g_{\nabla})$  admit no expanding maps  $(U, g_{\nabla}) \rightarrow B^{m+k}(R)$  for all connections  $\nabla$ ?

Conversely, from what kind of manifolds do  $(U, g_{\nabla})$  receive expanding maps.?

What is also clear is that if m-manifolds  $X_i$ , i = 1, 2, ..., l, admit immersions to  $B^n$  with the curvatures bounded by a constant C, then the connected sum  $X_1 \# ... \# X_l$  can immersed to  $B^n$  with curvature bounded by 5C."""

This is done by first making geometric connected sums of all  $X_i \hookrightarrow B^n$  the unit equatorial sphere  $S^m \subset S^n = \partial B^n$ , where this is done with each  $X_i$  individually with a copy of  $S^m \subset B^n$  by connecting  $X_i$  with  $S_1^m = S^m$  with a tube with curvature  $\leq 5C$ . Then the connected sum between  $X_i$  is implemented by making similar tubes between  $S_m$ .

But I am not certain how much one can control the curvature bound for *high* dimensional surgery nor is it unclear what is

the minimal possible C, such that all surfaces can be immersed to  $B^3(1)$  with curvatures  $\leq C$ .

# 5.5 Elementary Lower Bounds on Curvature and upper Bounds on Expansion

#### 5.6 Additional Problems

2.1.E. Questions. How large can be the ratio

$$min.curv(X^m \hookrightarrow B^M(1))/min.curv(X^m \hookrightarrow B^{M+1}(1))$$

provided X immerses to the Euclidean space  $\mathbb{R}^M$ , e.g. for  $M \ge 2m-1$ ?

Is this ratio bounded by a universal constant, say by  $const \le 100$ ?

Does it converge to 1 for  $M \to \infty$ ?.

Spectral view on curvature reference to spectra

averaged curvature, Yamabe?

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