# Kolmogorov's Diameter, Hilbert's Rational Designs and Curvature

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## 1 Introduction

**Immersions** are  $C^1$ -maps  $f: X \to Y$  between smooth manifolds, such that their differentials  $df: T(X) \to T(Y)$  nowhere vanish,<sup>1</sup>

$$df(\tau) = 0 \implies \tau = 0, \tau \in T(X).$$

**The** (maximal normal bundle) *curvature* of an immersed X in a Riemannian Y,

$$f: X \hookrightarrow Y,$$

is the supremum of the Y-curvatures of geodesics  $\gamma \subset X$ , for the induced Riemannian metric in X,

$$curv^{\perp}(X) = curv^{\perp}(f(X)) = curv^{\perp}_{f}(X) = curv^{\perp}(X \stackrel{f}{\hookrightarrow} Y) = curv^{\perp}(X \hookrightarrow Y),$$

**Minimal Curvature Problem.** What is the infimum of curvatures of immersions  $f: X \hookrightarrow Y$ ,

$$inf.curv^{\perp}(X,Y) = inf.curv^{\perp}(X \hookrightarrow Y)?$$

*Remark:* min or inf? Probbaly, the infimum  $\inf_f curv_f^{\perp}(X, Y)$  is rarely achieved, where the (only) established examples of immersions of closed manifolds with minimal possible curvatures are listed below.

<sup>&</sup>lt;sup>1</sup>Immersions are locally one-to-one but globally they may have self intersections. Immersions without self intersections are called *embeddings*, where, if X is non-compact, one may require the induced topology in X to be equal the original one.

• Spheres in the unit balls with curvatures  $curv^{\perp}$  = 1.

$$S^m \subset \mathbb{R}^N, N \ge m.$$

• Tori with curvatures  $\sqrt{3m/(m+2)}$ ,

$$\mathbb{T}^m \subset B^N(1) \ N > m^{m^4}.$$

(See 2.1.B, Remark(a) in 5.3. and [Pet2023].)<sup>2</sup>

• Veronese surfaces in the unit spheres with curvatures  $1/\sqrt{3}$ ,

$$\mathbb{R}P^2 \subset S^n(1) \ n \ge 4.$$

(See 5.2(b).)

• Product of Spheres in  $S^{m_1+m_2+1}$  with curvatures 1.

$$S^{m_1} \times S^{m_2} \subset S^{m_1+m_2+1}(1).$$

*Product Example.* If X is a product of spheres,

$$X = \bigotimes_{i=1}^{l} S^{m_i},$$

and Y is the unit ball  $B^{N}(1) \subset \mathbb{R}^{N}$  then (apart from the trivial case of l = 1) we know the exact value of  $inf.curv^{\perp}(\times_{i=1}^{l} S^{m_{i}}, B^{N}(1)$  only where all  $m_{i} = 1$ , i.e. for the torus  $\mathbb{T}^{l}$ , and where N is large:

$$\left[\sqrt{3}\right]_{\mathbb{T}} \qquad inf.curv^{\perp}(\mathbb{T}^l, B^N(1)) = \sqrt{3\frac{l}{l+2}}, \ N >> l^2.$$

(See sections 3, 5 and [Pet2023].)

But if all  $m_i = 2$ , for instance, i.e.  $X = (S^2)^l$  we neither can show that

$$inf.curv^{\perp}((S^2)^l, B^{2l+1}) \to \infty \text{ for } l \to \infty$$

nor that

$$\frac{inf.curv^{\perp}((S^2)^l, B^{10l})}{\sqrt{l}} \to 0 \text{ for } l \to \infty.$$

**Clifford Embeddings.** The product X of spheres  $S^{m_i}(r_i) \in \mathbb{R}^{m_i+1}$ , i = 1, ..., l, for  $\sum_{i=1}^{l} r_i^2 = 1$  naturally isometrically imbeds to the boundary of the unit N-ball for  $N = k + \sum_i m_i$ :

$$\mathsf{CI}: X = S^{m_1}(r_1) \times \ldots \times S^{m_l}(r_l) \to S^{N-1}(1) \subset B^N(1) \subset \mathbb{R}^{m_i+1} \times \ldots \times \mathbb{R}^{m_i+1}$$

where, clearly,

$$curv^{\perp}(X \stackrel{\mathsf{CI}}{\subset} B^N) = \max_i 1/r_i.$$

<sup>&</sup>lt;sup>2</sup>We shall show in section 2.1.D that  $inf.curv(\mathbb{T}^m \subset B^N(1)) \leq \sqrt{3m/(m+2)}$  for  $n \geq 8m^2 + 8$  but, at the present moment, the existence of immersions with curvatures  $\sqrt{3m/(m+2)}$  is proven only for much larger n.

This, for  $r_1 = r_2 = \dots = r_l$ , delivers a codimension *l*-embedding with curvature  $\sqrt{l}$ . Thus,

$$inf.curv^{\perp}\left( \underset{i=1}{\overset{l}{\times}} S^{m_{i}}, B^{N}(1) \right) \leq \sqrt{l}, \ N = l + \sum_{i} m_{i}.$$

If l = 1, then this is optimal. In fact, it is obvious that

$$curv(X \hookrightarrow B^m(1) \times \mathbb{R}^N) \ge 1$$
, for  $n \ge 2$ .

for all smoothly immersed closed *m*-manifolds X in the "unit band"  $B^m(1) \times \mathbb{R}^N$ . But, for instance, the *equality* 

$$inf.curv^{\perp}(\mathbb{T}^m \hookrightarrow B^{2m}) = \sqrt{m}$$

is problematic for all  $m \ge 2$ . Round m-Tori in the Unit (m + 1)-Balls.

$$inf.curv^{\perp}(\mathbb{T}^2 \hookrightarrow B^3) \le 3$$

the boundary of the  $\frac{1}{3}$ -neighbourhood of the circle of radius  $\frac{2}{3}$  in the space has  $curv^{\perp}(\mathbb{T}^2 \subset \mathbb{R}^3) = 3$ .

Similarly (see section 4.1)

$$inf.curv^{\perp}(\mathbb{T}^3 \hookrightarrow B^4) \le 2\sqrt{2} + 1 < 4$$
$$inf.curv^{\perp}(\mathbb{T}^7 = \mathbb{T}^3 \times \mathbb{T}^3 \times \mathbb{T}^1 \hookrightarrow B^8) \le 8 + 2\sqrt{2} + 1 < 12$$
.....

 $inf.curv^{\perp}(\mathbb{T}^m, B^{m+1}) < m^{\frac{3}{2}}, \ m = 2^k - 1.$ 

**Veronese embeddings**<sup>3</sup> of the real projective spaces satisfy (see 5.1),

$$curv\left(\mathbb{R}P^{m} \hookrightarrow B^{\frac{m(m+3)}{2}}\right) = \sqrt{\frac{2m}{m+1}}, \text{ e.g.}$$
  
 $curv\left(\mathbb{R}P^{2} \hookrightarrow B^{5}\right) = 2\sqrt{\frac{1}{3}} < 1.155.$ 

Conjecture.

$$min.cirv(X^m, B^N) < \sqrt{\frac{2m}{m+1}} \implies X =_{diffeo} S^m.$$

(maximal) *curvature* of an immersion between Riemannian manifolds,

$$(X,g) \hookrightarrow (Y,h)$$

is the supremum of h-curvatures in Y, of g-geodesics  $\gamma \subset X$ ,

$$curv(f) = curv_X^X(f) = curv_Y^X(f) = curv_h^g(f) = \sup_{\gamma \in X} curv_h(f(\gamma)).$$

 $<sup>^3\</sup>mathrm{These}$  are flashes from a superior world.

If  $g = f^*(h)$  is the induced Riemannian metric in X, this is our curvature of X in Y,

$$curv_h^g(f) = curv^{\perp}(X \stackrel{f}{\hookrightarrow} Y).$$

(This  $curv^{\perp}(X)$  unlike curv(f) is defined for immersions of smooth manifolds with no metrics on them.)

Normal Immersions, where  $\operatorname{curv}_{\mathbf{F}}^{\perp}(\mathbf{X}) = \operatorname{curv}^{\mathbf{X}}(\mathbf{f})$ . Call an immersion between Riemannian manifolds  $f : X(g) \hookrightarrow Y(h)$  normal if for all normal vectors to X in Y,

$$\nu \in T_x^{\perp}(X) = T_f(x)(Y) \ominus df(T_x(X))$$

the second quadratic form  $II_{\nu}$  of the immersed  $X \stackrel{f}{\hookrightarrow}$  is simultaneously diagonalizable with the quadratic forms g(x) and  $f^*(h)$  on the tangent space  $T_x(X)$ . For instance, isometric immersions are normal.

Clearly,  $curv_f^{\perp}(X) = curv^X(f)$  for isometric immersions f

**Curvature in Spheres.** If an immersion  $X \to S^{N-1}(1)$  is normal then so is the corresponding immersion to  $\mathbb{R}^N \supset S^{N-1}(1)$ , where the spherical curvature of X is related to the Euclidean one by the Pythagorean theorem:

$$(curv^{\perp}(X \hookrightarrow S^{N-1}(1))^2 = (curv^{\perp}(X \hookrightarrow \mathbb{R}^N)^2 - 1.$$

Notice that the Clifford embeddings to the unit sphere are known to be optimal for l = 2,

$$inf.curv^{\perp}(S^{m_1} \times S^{m_2}, S^{m_1+m_2+1}(1)) = 1, \ m_1, m_2 \ge 1, 4$$

but the corresponding Euclidean equality

$$inf.curv^{\perp}(S^{m_1} \times S^{m_2}, B^{m_1+m_2+2}(1)) = \sqrt{2},$$

remains conjectural for all  $m_1, m_2 \ge 1$ , except for  $m_1 = m_2 = 1$  [Pet].

**Curvature in Codimension 1.** This curvature of  $X^m \hookrightarrow Y^{m+1}$  is the supremum of the principal curvatures of X in Y over all points  $x \in X$ .

Here normality means that the induced quadratic form  $f^*(g)(x)$  on the tangent space  $T_x(X)$  is, at all  $\in X$ , diagonalizabel in the same basis as the second fundamental form II of X.

*Example.* the immersion  $S^m(r) \times S^1 \to \mathbb{R}^{m+2}$  obtained by rotating  $S^m(r) \hookrightarrow \mathbb{R}^{m+1}$  around a line in  $\mathbb{R}^{m+1}$  within distance R > r from the origin is normal with curvature max  $\left(\frac{1}{R}, \frac{1}{R-r}\right)$ .

with curvature max  $(\frac{1}{R}, \frac{1}{R-r})$ . **Remark I.** If f = 0, and X immerses to  $\mathbb{R}^n$ , then the above delivers an immersion  $f_1$  of X to the unit ball  $B^N = B^N(1)$  with a bound on the curvature of  $f_1$  depending on the dimensions n and N, e.g. with

$$curv^{\bot}(X,B^N) \leq \sqrt{\frac{3n}{n+2}} + \varepsilon \text{ for } N \geq 20n^2 \text{ and all } \varepsilon > 0$$

This and the Whitney embedding theorem implies the following. **1.1.D.** If  $N \ge 100m^2$ , then  $inf.curv^{\perp}(X, B^N) < \sqrt{\frac{3(2m-1)}{2m+1}}$ .

 $<sup>{}^{4}</sup>$ See [Ge2021], section 3.7.3 in [Gr2022] and section 5.5 in the present paper.

In fact,

$$[\mathbf{N} >>] \qquad \qquad \lim_{N \to \infty} \inf curv^{\perp}(X, B^N) \le \sqrt{\frac{3m}{m+2}}.$$

Petrunin Theorem. There exist m-dimensional manifolds X for  $m \ge 2$ , such that

$$inf.curv^{\perp}(X,S^N) > \sqrt{\frac{2m-1}{m+2}}$$
 for all  $N$ ,

i.e. all immersions from X to the unit sphere  $S^N(1)$  have with curvatures

$$curv^{\perp}(X \hookrightarrow S^{N}(1)) \ge \sqrt{\frac{2m-1}{m+2}} + \varepsilon \left(=\sqrt{\frac{3m}{m+2}} - 1 + \varepsilon\right).$$

for some  $\varepsilon = \varepsilon(X, N) > 0$ .

(Surfaces of genera  $\geq 2$  are examples for such X with m = 2.) **1.1.F. Codim 1 Theorem/Example**.(See section 4.2) Let

$$X = S^k \times \underbrace{S^1 \times \dots \times S^1}_{l-1}.$$

If  $k \ge l^{l^4}$ ,<sup>5</sup> then there exists an immersion

$$F: X \hookrightarrow B^{k+l}(1)$$

with

$$curv_F^{\perp}(X) \le 1 + 2\sqrt{\frac{3l-3}{l+1}} < 4.5.$$

**Remark II.** The proof of the remark **I** doesn't apply to immersions to  $\mathbb{R}^n$  without passing to  $\mathbb{R}^{n+1}$  but this is taken care of by the following (see

**1.1.H. Remarks/Questions.** We don't know how close this inequality to the minimal values of the curvatures of codim1 immersions of products of spheres is.

(a) For instance let  $P^{l-1}$  be an (l-1)-dimensional manifold diffeomorphic to a product of spheres where some of these have dimensions  $\geq 2$ . Then, if  $k \gg l$ , there exist immersions

$$F_{\varepsilon}: S^k \times P^{l-1} \hookrightarrow B^{k+l}(1)$$

with

$$curv_{F_{\varepsilon}}^{\perp}(S^k \times P^{l-1}) \le 1 + 2\sqrt{\frac{3l-3}{l+1}} + \varepsilon$$

for all  $\varepsilon > 0$ .

But this is unclear for  $\varepsilon = 0$ , even for the product  $S^1 \times S^k$ , which embeds to the ball  $B^{k+2}(1)$  with curvature 3 for all k and where we *don't know* if there are immersions of  $S^1 \times S^{k+2}$  (or other closed non-spherical manifolds of dimension k+1) to the unit ball  $B^{k+2}(1)$  with curvatures < 3.

 $<sup>^5\</sup>mathrm{The}$  hugeness of this number is the product of my perfunctory interpretation of Hilbert's argument in [H1909].

(b) It is not impossible according to what we know, that *m*-dimensional products of spheres of dimensions  $\geq 2$  admit immersions to  $B^{m+1}(1)$  with curvature <100.

But the best we can do (see section 4.1) are immersions with curvatures  $\lesssim m^{\frac{4}{3}}.$ 

## 2 Kolmogorov's D = D(m, N, p), Hilbert's Theorem and Spherical Designs

**K-Diameter**  $\sqrt[p]{\mathbf{D}(\mathbf{m},\mathbf{N},\mathbf{p})}$ . Let  $||y||_{L_p}$ ,  $y = (y_1,...,y_N) \in \mathbb{R}^N$  denote the normalized norm  $l_p$ ,

$$||y||_{L_p} = \left(\frac{1}{N}\sum_{i=1}^N |y_i|^p\right)^{\frac{1}{p}}$$

Let D(m, N, p) denotes the infimum of the numbers D > 0 such that  $\mathbb{R}^N$  contains an *m*-dimensional linear subspace X, such that

$$||x||_{L_{n}}^{p} \leq D||x||_{L_{2}}^{p}$$
, for all  $x \in X$ .

Observe that D(1, N, p) = 1,  $D(m, m, p) = m^{\frac{p}{2}-1}$ , that D(m, N, p) is monotone increasing in m and decreasing in N and let

$$D(m,p) = D(m,\infty,p) = \lim_{N \to \infty} D(m,N,p).$$

**2.1.A. Gamma Function Design Formula.** If p = 4, 6, 8..., then a simple O(m)-averaging argument, shows that

$$[\Gamma/\Gamma] \qquad D(m,p) = \frac{\int_{S^{m-1}} |l(s)|^p ds}{\left(\int_{S^{m-1}} |l(s)|^2 ds\right)^{\frac{p}{2}}} = \frac{m^{\frac{p}{2}-1} \cdot 3 \cdot 5 \cdots (p-1)}{(m+2) \cdot (m+4) \cdots (m+p-2)},$$

where l(s) is a non-zero linear function on the sphere.

**2.1.B. Hilbert Connection**. In his proof of the Waring problem, Hilbert shows the existence of  $M = \binom{m+p-1}{m-1} + 1$  rational points  $s_i \in S^{m-1}$  and of positive rational weight  $w_i > 0$ ,  $\sum_{1}^{M} w_i = 1$ , such that  $\sum_{i} w_i l^d(s_i) = \int_{S^{m-1}} l^d(s) d$  for all linear functions on he sphere.

This, after partitioning each  $s_i$  into  $\Delta$  atoms for  $\Delta$  being the smallest common denominator  $\mathcal{N}$  of  $w_i$ , becomes what is no-a-days called *spherical design* of cardinality  $N = \mathcal{N}M$  of  $w_i$ , which yields (this is nearly obvious, see **2.1.C** below) the following.

**D**(m, N)-Stabilization:  $D(m, N, p) = D(m, \infty, p)$  for all sufficiently large  $N \ge N_{Hilb}(m, p) (\le NM)$ , where – to be safe let it be rough- $N_{Hilb} \le m^{m^p}$ . **Design Rationality:** If  $N \ge N_{Hilb}$  then the space  $l_p^N$  contains a rational

**Design Rationality:** If  $N \ge N_{Hilb}$  then the space  $l_p^N$  contains a rational linear subspace X of dimension m, such that

$$||x||_{L_p}^p = D(m,p)||x||_{L_2}^p$$
 for all  $x \in X$ .

**2.1.C.** Spherical Designs and the Equality  $D(m, N) = D(m, \infty)$ 

A design of even degree p = 2, 4, ... and cardinality N on the sphere  $S^{m-1}$  is a map from a set  $\Sigma$  of cardinality N to the sphere, written as  $\sigma \mapsto s(\sigma)$ , such that the linear functions l(s) on the sphere  $S^{m-1} \subset \mathbb{R}^m$  satisfy

$$\frac{1}{N}\sum_{\sigma\in\Sigma}l^d(s(\sigma)) = \int_{S^{m-1}}l^d(s)ds, \ d=2,...,p,$$

where ds is the O(m) invariant probability measure on the sphere.

Hence, the linear map from the space  $\mathbb{R}^{m\perp}(=\mathbb{R}^m)$  of linear functions on the sphere  $S^{m-1} \subset \mathbb{R}^m$  to  $\mathbb{R}^N = \mathbb{R}^\Sigma$  preserves both, the  $L_2$  and the  $L_p$ -norms and, by the above  $[\Gamma/\Gamma]$ ,

the existence a design of cardinality N implies that D(m, N, p) = D(m, p).<sup>6</sup>

Non-rational designs, at least for p = 4, are known to exit for  $N \ll N_{Hilb}$ .

**2.1.D**  $2m^2$ -Design Construction. If p = 4, and if m is a power of 2, then there exists a spherical designs of cardinality  $N = 2m^2 + 4m$ .<sup>7</sup>

This, now for all m, shows that

(i) 
$$D(m, N, 4) = \frac{3m}{m+2}$$
 for  $N \ge 8(m^2 + m)$ .

 $[\mathbb{R}^2 \text{ in } l_4^3]$ -*Example.*  $D(2, N, 4) = \frac{3}{2}$  for  $N \ge 3$ , with four (rational) planes  $X \subset \mathbb{R}^3 = l_4^3$ , where  $||x|||_{L_4}^4 = \frac{3}{2}||x|||_{L_2}^4$ : these are the normals to the vectors (1,1,1,), (1,1,-1), (1,-1,-1).

**2.1.E.** D(m, N)-Inequalities. If  $N \leq m^2$ , then upper bounds on  $D^4(m, N, 4)$ follow from the corresponding estimates in the randomization proofs of the Dvoretzky theorem for the  $l_p$ -spaces, where the following inequality follow from (the argument in) [PVZ2017].

(ii)  $D(m, N, 4) \le 3 + const_{(ii)} \frac{m^2}{N}$  for  $N \ge m^{2}$ ;<sup>8</sup> (iii)  $D(m, N, 4) \le const_{(iii)} \frac{m^2}{N}$  for  $2m \le N \le m^{2}$ .<sup>9</sup>

**2.1.F.** D(m, N) Concentration Property. The existence of *m*-subspaces  $X \in l_4^N$  in [FLM1977] and [PVZ2017], such that

$$[D] ||x||_{L_4}^4 \le D||x||_{L_2}^4, \ x \in X,$$

is derived from a *lower bound the measure* of those *m*-subspaces  $X \subset \mathbb{R}^N$ , where this inequality fails for some  $x \in X$ .

In particular, the argument used in [FLM1977] implies that the measure  $\mu_D$ of those  $X \in \mathbb{R}^N$  with respect to the O(N)-invariant probability measure in the Grassmanian  $Gr_m(\mathbb{R}^N$  where  $||x||_{L_4}^4 \ge D||x||_{L_2}^4$ , for some  $x \in X$  satisfies:

*If* ,

$$D > \frac{3m}{m+2}$$

then

$$\mu_D \to 0, \text{ for } N \to \infty.$$

<sup>&</sup>lt;sup>6</sup>See [BB2009], [LW1993] for more about it.

<sup>&</sup>lt;sup>7</sup>The Kerdock code used in [K1995] yields designs for  $m = 4^k$  and  $N = \frac{m(m+2)}{2}$ .

<sup>&</sup>lt;sup>8</sup>This follows from (i) for  $N \ge 8(m^2 + m)$  and, if  $const_1$  is large, also for (some)  $N \le 1$  $8(m^2 + m)$ . Besides, the inequality  $D^4(m, m^2, 4) \leq const$  follows from (the proof of) example 3.1 in [FLM1977].

<sup>&</sup>lt;sup>9</sup>Since  $D(m, N, 4) \leq D(m, m, 4) = m$  for all m and N, the significance of this inequality for  $N \sim m$  depends on the value of  $const_2$ .

#### Equivariant Immersions $\mathbb{R}^m \to S^{2N-1}$ 3

#### 3.A. Curvatures of the Clifford Tori. Let

$$\mathbb{T}^N \subset S^{2N-1} \subset B^{2N}(1) \subset (B^2(1))^N \subset \mathbb{R}^{2N}$$

be the Clifford torus and observe that the second quadratic form of this torus in the the ambient Euclidean space  $\mathbb{R}^{2N} \supset S^{2N-1} \supset \mathbb{T}^N$ , regarded as a quadratic form with values in the normal bundle, is

II=
$$\sqrt{N} \sum_{i=1}^{N} \nu_i dt_i^2$$
,

where  $t_i$  are the cyclic coordinates on the torus and  $\{\nu_i \in T^{\perp}(\mathbb{T}^N \subset \mathbb{R}^{2N})\}$  is the corresponding orthonormal frame of *normal* vectors to  $\mathbb{T}^N$ .

This, in terms of the orthonormal tangent frame  $\{e_i = \frac{\partial}{\partial t_i} \in T(\mathbb{T}^N)\}$ , means that

II: 
$$e_i \otimes e_i \mapsto \sqrt{N\nu_i}$$
 and II:  $e_i \otimes e_j \mapsto 0$  for  $i \neq j$ .

Thus, the curvature of  $\mathbb{T}^N$  in  $B^N$  along a unit tangent vector  $\bar{x} \in T(\mathbb{T}^N)$ ,

$$\bar{x} = \sum_i x_i e_i$$
, where  $\sum_i x_i^2 = 1$ .

is

$$\operatorname{curv}^{\perp}(\mathbb{T}^N, \bar{x}) = ||\operatorname{II}(\bar{x} \otimes \bar{x})|| = ||\operatorname{II}(\sum_i x_i e_i \otimes \sum_i x_i e_i)|| =$$

where  $||\bar{x}||^2 = |\bar{x}||_{l_2}^2 = \sum_{i=1}^N x_i^2$ . Hence,

$$(\bigstar) \qquad curv^{\perp}(\mathbb{T}^{N},\bar{x}) = \left(\sqrt[4]{N}\frac{\|\bar{x}\|_{l_{4}}}{\|\bar{x}\|_{l_{2}}}\right)^{2} = \left(\frac{\|\bar{x}\|_{L_{4}}}{\|\bar{x}\|_{L_{2}}}\right)^{2},$$

where, recall, the  $L_p$ -norms refer to the finite probability spaces with N equal atoms,

$$\|\bar{x}\|_{L_p} = \frac{\|\bar{x}\|_{l_p}}{\sqrt[p]{N}}.$$

The Euclidean Small Curvature Theorem. The above ( $\star$ ) implies the existence of an equivariant isometric immersion from the Euclidean *m*-space to the Clifford N-torus,

$$f^{\odot}: \mathbb{R}^m \to \mathbb{T}^N \subset S^{2N} \subset \mathbb{R}^{2N}$$

with the relative curvature  $curv_{\mathbf{E}}^{\mathbf{e}}(f^{\odot})$  equal to  $\sqrt{D(m,N)} = \sqrt{D(m,N,4)}$ .

#### 3.1Veronese Maps.

Besides invariant tori, there are other submanifolds in the unit sphere  $S^{N-1}$ , which have small curvatures and which are transitively acted upon by subgroups in the orthogonal group O(N).

The generalized Veronese maps are a minimal equivariant isometric immersions of spheres to spheres, with respect to certain homomorphisms (representations) between the orthogonal groups  $O(m+1) \rightarrow O(m+1)$ ,

$$ver = ver_s = ver_s^m : S^m(R_s) \to S^m = S^{m_s} = S^{m_s}(1),$$

where

$$m_s = (2s + m - 1) \frac{s + m - 2!}{s!(m - 1!)} < 2^{s+m} \text{ and } R_s = R_s(m) = \sqrt{\frac{s(s + m - 1)}{m}},$$

for example,

$$m_2 = \frac{m(m+3)}{2} - 1$$
,  $R_2(m) = \sqrt{\frac{2(m+1)}{m}}$  and  $R_2(1) = 2$ ,

(see [DW1971]If s = 2 these, called *classical Veronese maps*, are defined by taking squares of linear functions (forms)  $l = l(x) = \sum_{i} l_i x_i$  om  $\mathbb{R}^{m+1}$ ,

$$Ver: \mathbb{R}^{m+1} \to \mathbb{R}^{M_m}, \ M_m = \frac{(m+1)(m+2)}{2},$$

where tis  $\mathbb{R}^{M_m}$  is represented by the space  $\mathcal{Q} = \mathcal{Q}(\mathbb{R}^{m+1})$  of quadratic functions (forms) om  $\mathbb{R}^{m+1}$ ,

$$Q = \sum_{i=1,j=1}^{m+1,m+1} q_{ij} x_i x_j.$$

The Veronese map, which is (obviously) equivariant for the natural action of the orthogonal group group O(n+1) on Q, where, observe, this action fixes the line  $Q_{\circ}$  spanned by the form  $Q_{\circ} = \sum_{i} x^{2}$  as well as the complementary subspace  $Q_{\diamond}$  of the traceless forms Q, where the action of O(n+1) is irreducible and, thus, it has a unique, up to scaling Euclidean/Hilbertian structure.

Then the normal projection  $^{10}$  defines an equivariant map to the sphere in  $\mathcal{Q}_\diamond$ 

$$ver: S^m \to S^{M_m-2}(r) \subset \mathcal{Q}_\diamond,$$

where the radius of this sphere, a priori, depends on the normalization of the O(m+1)-invariant metric in  $\mathcal{Q}_{\diamond}$ .

Since we want the map to be isometric, we either take  $r = \frac{1}{R_2(m)} = \sqrt{\frac{m}{2(m+1)}}$ and keep  $S^m = S^m(1)$  or if we let r = 1 and  $S^m = S^m(R_2(m))$  for  $R_2(m) = \sqrt{\frac{2(m+1)}{2}}$ .

Also observe that the Veronese maps, which are not embeddings themselves, factor via embeddings of projective spaces to spheres

$$S^m \to \mathbb{R}P^m \subset S^{M_m-2} \subset \mathbb{R}^{M_m-1} = \mathcal{Q}_\diamond, \ M_m = \frac{(m+1)(m+2)}{2}.$$

Curvature of Veronese. Let is show that

$$curv_{ver}^{\perp}\left(S^{m}(R_{2}(m)) \hookrightarrow S^{M_{m}-2}(1)\right) = \sqrt{\frac{R_{2}(1)}{R_{2}(m)} - 1} = \sqrt{\frac{m-1}{m+1}}.$$

Indeed, the Veronese map sends equatorial circles from  $S^m(R_2(m))$  to planar circles of radii  $R_2(m)/R_2(1)$ , the curvatures of which in the ball  $B^{M_m-1}$  is  $R_2(1)/R_2(m) = 2\sqrt{\frac{m}{m+1}}$  and the curvatures of these in the sphere,

$$curv^{\perp}(S^{1} \subset S^{M_{m}-2}(1)) = \sqrt{curv(S^{1} \subset B^{M_{m}-1}(1))^{2} - 1} = \sqrt{\frac{4m}{m+1} - 1} = \sqrt{\frac{3m-1}{m+1}}$$

<sup>&</sup>lt;sup>10</sup>The splitting  $Q = Q_{\circ} \oplus Q_{\circ}$  is necessarily normal for all O(m + 1)-invariant Euclidean metrics in Q.

is equal to the curvature of the Veronese  $S^m(R_2(m)) \hookrightarrow S^{M_m-2}(1)$  itself  $\sqrt{R_2(1)/R_2(m)} = \sqrt{\frac{2m}{m+1}}$ , and the curvatures of these in the sphere,

$$curv^{\perp}(S^1 \in S^{M_m-2}(1)) = \sqrt{curv(S^1 \in B^{M_m-1}(1))^2 - 1},$$

is equal to the curvature of the Veronese  $S^m(R_2(m)) \hookrightarrow S^{M_m-2}(1)$  itself. QED.

It may be hard to prove (conjecture in section 1) that Veronese manifolds have the smallest possible curvatures among non-spherical *m*-manifold in the unit ball: if a smooth compact *m*-manifold X admits a smooth immersion to the unit ball  $B^N = B^N(1)$  with curvature  $curv^{\perp}(X \hookrightarrow B^N) < \sqrt{\frac{2m}{m+1}}$ , then X is diffeomorphic to  $S^m$ .

It is more realistic to show that the Veronese have smallest curvatures among submanifolds  $X \subset B^N$  invariant under subgroups in O(N), which transitively act on X.

Remark. Manifolds  $X^m$  immersed to  $S^{m+1}$  with curvatures < 1 are diffeomorphic to  $S^n$ , see 5.5, but, apart from Veronese's, we can't rule out such X in  $S^N$  for  $N \ge m + 2^{-11}$  and, even less so, non-spherical X immersible with curvatures <  $\sqrt{2}$  to  $B^N(1)$ , even for N = m + 1.

It seems hard to decide this way or another, but it may be realistic to try to prove *sphericity of simply connected* manifolds immersed with curvatures < 1 to  $S^{N}(1)$  for all N.

The curvatures of Veronese maps can be also evaluated with the *Gauss for*mula, (teorema egregium), which also gives the following formula for curvatures of all  $ver_s$ :

$$m = 2 \ 1 - 2c^2 = 1/3, \ 2c^2 = 2/3 \ c\sqrt{1/3}$$
$$C = \sqrt{1 + 1/3} = 2/\sqrt{3}$$

**From Veronese to Tori.** The restriction of the map  $ver_s: S^{2m-1}(R_s) \to S^{N_s}$  to the Clifford torus  $\mathbb{T}^m \subset S^{2m-1}(R_s)$  obviously satisfies

$$curv_{ver_s}^{\perp}(\mathbb{T}^m) \le A_{2m-1,s} + \frac{\sqrt{m}}{R_s} = \sqrt{3 - \frac{5}{2}m + \varepsilon(m,s)}$$

for

$$\varepsilon(m,s) = \frac{2}{4m^2} - \frac{4m-2}{s(s+2m-2)} + \frac{5(2m-1)}{2ms(s+2m-2)} - \frac{2m-1}{(ms(s+2m-2))^2}$$

This, for  $s >> m^2$ , makes  $\varepsilon(m, s) = O \frac{1}{m^2}$ Since  $N_s < 2^{s+2m}$ , starting from  $N = 2^{10m^3}$ 

$$curv_{ver_s}^{\perp}(\mathbb{T}^m) < \sqrt{3 - \frac{5}{2}m}.$$

where it should be noted that

the Veronese maps restricted to the Clifford tori are  $\mathbb{T}^m\text{-}equivariant$ 

and that

<sup>&</sup>lt;sup>11</sup>Hermitian Veronese maps from the complex projective spaces  $\mathbb{C}P^m$  to the spaces  $\mathcal{H}_n$  of Hermitian forms on  $\mathbb{C}^{m+1}$  are among the prime suspects in this regard.

this bound is weaker than the optimal one  $\frac{||y||_{l_4}^2}{||y||^2} \ge \sqrt{3 - \frac{3}{m+2}} + \varepsilon$  from the previous section.

*Remarks.* (a) It is not hard to go to the (ultra) limit for  $s \to \infty$  and thus obtain an

equivariant isometric immersion  $ver_{\infty}$  of the Euclidean space  $\mathbb{R}^m$  to the unit sphere in the Hilbert space, such that

$$curv_{ver_{\infty}}^{\perp}(\mathbb{R}^{m} \hookrightarrow S^{\infty}) = \sqrt{\frac{(m-1)(2m+1)}{(m+1)^{2}}} = \sqrt{2 - \frac{5}{m+1} + \frac{2}{(m+1)^{2}}},$$

where equivariance is understood with respect to a certain unitary representation of the isometry group of  $\mathbb{R}^m$ .

Probably, one can show that this  $ver_{\infty}$  realizes the *minimum* of the curvatures among all equivariant maps  $\mathbb{R}^m \to S^{\infty}$ .

(b) Instead of  $ver_s$ , one could achieve (essentially) the same result with a use of compositions of the classical Veronese maps,  $ver: S^{m_i} \rightarrow S^{m_{i+1}}$ ,  $_{i+1} = \frac{(m_i+1)(m_i+2)}{2} - 2$ ,

$$S^{m_1} \hookrightarrow S^{m_2} \hookrightarrow \dots \hookrightarrow S^{m_i},$$

starting with  $m_1 = 2m - 1$  and going up to i = m. (Actually,  $i \sim \log m$  will do.)

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