

Math UA 343

Section 5

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A big part of abstract algebra involves properties of integers and sets.

We now collect the properties we need for future reference.

Well Ordering Principle: Every nonempty set of positive integers contains a smallest member.

Note. We say a nonzero integer  $t$  is a **divisor** of an integer  $s$  if there is an integer  $u$  s.t.  $s = tu$ .

We write  $t|s$  (i.e. " $t$  divides  $s$ "). When  $t$  is not a divisor of  $s$  we write  $t \nmid s$ . A prime is a positive integer greater than 1 whose only positive divisors are 1 and itself.

We say that an integer  $s$  is a **multiple** of an integer  $t$  if there is an integer  $u$  such that  $s = tu$ .

↑ multiple of  $t$   
↑ divisor of  $s$

## SETS AND EQUIVALENCE RELATIONS

### SET THEORY

A **set** is a well-defined collection of objects; defined in a way that we can determine for any given object  $x$  whether or not  $x$  belongs to the set.

The objects that belong to a set are called its **elements** (or members).

Notation: • Capital letters such as  $A$  or  $X$  for sets

• If  $a$  is an element of the set  $A$  we write  $a \in A$ .

Usual ways to specify a set.

① List all of its elements inside a pair of braces

$$\text{e.g. } X = \{x_1, x_2, \dots, x_n\}$$

for a set containing elements  $x_1, x_2, \dots, x_n$

② State the property that determines whether or not an object  $x$  belongs to the set. <sup>2</sup>

$$X = \{x : x \text{ satisfies } P\}$$

if each  $x \in X$  satisfies a certain property  $P$ .

Example. If  $E$  is the set of even positive integers, we can describe  $E$  by writing

either  $E = \{2, 4, 6, \dots\}$

or  $E = \{x : x \text{ is an even integer and } x > 0\}$

We write  $2 \in E$  to mean 2 is in the set  $E$

$-3 \notin E$  to mean  $-3$  is not in the set  $E$ .

Important sets we will consider:

$$\mathbb{N} = \{n : n \text{ is a natural number}\} = \{1, 2, 3, \dots\}$$

$$\mathbb{Z} = \{n : n \text{ is an integer}\} = \{\dots, -1, 0, 1, 2, \dots\}$$

$$\mathbb{Q} = \{r : r \text{ is a rational number}\} = \left\{ \frac{p}{q} : p, q \in \mathbb{Z} \text{ where } q \neq 0 \right\}$$

$$\mathbb{R} = \{x : x \text{ is a real number}\}$$

$$\mathbb{C} = \{z : z \text{ is a complex number}\}$$

### Relations between sets

A set  $A$  is a subset of  $B$  ( $A \subset B$ ) if every element of  $A$  is also an element of  $B$

e.g.  $\{4, 5, 8\} \subset \{2, 3, 4, 5, 6, 7, 8\}$

and  $\mathbb{N} \subset \mathbb{Z} \subset \mathbb{Q} \subset \mathbb{R} \subset \mathbb{C}$

- Each set is a subset of itself.

- A set  $B$  is a proper subset of a set  $A$  if  $B \subset A$  but  $B \neq A$ .

- If  $A$  is not a subset of  $B$  we write  $A \not\subset B$ , e.g.  $\{4, 7, 9\} \not\subset \{2, 4, 5, 8, 9\}$

- Two sets are equal ( $A=B$ ) if we can show that  $A \subset B$  and  $B \subset A$
- An empty set is a set with no elements in it ( $\emptyset$ ). The empty set is a subset of every set.

Operations

- The union  $A \cup B$  of two sets  $A$  and  $B$  is  $A \cup B = \{x : x \in A \text{ or } x \in B\}$
- The intersection  $A \cap B$  of  $A$  and  $B$  is  $A \cap B = \{x : x \in A \text{ and } x \in B\}$

e.g. If  $A = \{1, 3, 5\}$  and  $B = \{1, 2, 3, 9\}$  then  $A \cup B = \{1, 2, 3, 5, 9\}$   
 $A \cap B = \{1, 3\}$

- We take the union and intersection of more than two sets

$$\bigcup_{i=1}^n A_i = A_1 \cup A_2 \cup \dots \cup A_n$$

$$\bigcap_{i=1}^n A_i = A_1 \cap A_2 \cap \dots \cap A_n$$

- When two sets have no elements in common, we call them disjoint ( $A \cap B = \emptyset$ )
- e.g. if  $E$  is the set of even integers and  $O$  is the set of odd integers then  $E$  and  $O$  are disjoint.

Sometimes we'll work within one fixed set  $U \leftarrow$  universal set

For any set  $A \subset U$ , we define the complement of  $A$  (written as  $A'$ ) to be the set

$$A' = \{x : x \in U \text{ and } x \notin A\}$$

The difference of two sets  $A$  and  $B$  is

$$A \setminus B = A \cap B' = \{x : x \in A \text{ and } x \notin B\}$$

Example. Let  $\mathbb{R}$  be the universal set and suppose that

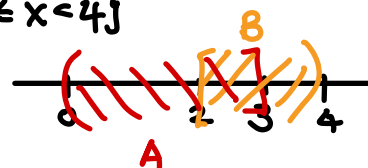
$$A = \{x \in \mathbb{R} : 0 < x \leq 3\} \text{ and } B = \{x \in \mathbb{R} : 2 \leq x < 4\}$$

Then  $A \cap B = \{x \in \mathbb{R} : 2 \leq x \leq 3\}$

$$A \cup B = \{x \in \mathbb{R} : 0 < x < 4\}$$

$$A \setminus B = \{x \in \mathbb{R} : 0 < x < 2\}$$

$$A' = \{x \in \mathbb{R} : x \leq 0 \text{ or } x > 3\}$$



Proposition 1 Let  $A, B,$  and  $C$  be sets. Then

1.  $A \cup A = A, A \cap A = A, A \setminus A = \emptyset$

2.  $A \cup \emptyset = A, A \cap \emptyset = \emptyset$

3.  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C), A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$

4.  $A \cup B = B \cup A, A \cap B = B \cap A$

5.  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$

6.  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$

In class we prove 1. and 3. and the rest will be given to you as exercises in your HW1

Proof 1.  $A \cup A = \{x : x \in A \text{ or } x \in A\}$

$$= \{x : x \in A\} \\ = A$$

and

$$A \cap A = \{x : x \in A \text{ and } x \in A\} \\ = \{x : x \in A\} \\ = A$$

$$A \setminus A = A \cap A' = \emptyset$$

Proof 3. For sets  $A, B,$  and  $C$

$$\begin{aligned} A \cup (B \cap C) &= A \cup \{x : x \in B \text{ or } x \in C\} \\ &= \{x : x \in A \text{ or } x \in B, \text{ or } x \in C\} \\ &= \{x : x \in A \text{ or } x \in B\} \cup C \\ &= (A \cup B) \cup C \end{aligned}$$

Similarly for  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C).$

□

## Theorem 1. De Morgan's laws

Let  $A$  and  $B$  be sets. Then

1.  $(A \cup B)' = A' \cap B'$

2.  $(A \cap B)' = A' \cup B'$

Proof. 1. If  $A \cup B = \emptyset$  then the theorem follows immediately since both  $A$  and  $B$  are the empty set

Otherwise, we must show that  $(A \cup B)' \subset A' \cap B'$  and  $(A \cup B)' \supset A' \cap B'$

Let  $x \in (A \cup B)'$ . Then  $x \notin A \cup B$ .

So  $x$  is neither in  $A$  nor in  $B$ , by the definition of the union of sets. By the definition of the complement,  $x \in A'$  and  $x \in B'$ . Therefore,  $x \in A' \cap B'$  and we have

$$(A \cup B)' \subset A' \cap B'$$

To show the reverse inclusion, suppose that  $x \in A' \cap B'$ . Then  $x \in A'$  and  $x \in B'$   
 $\Rightarrow x \notin A$  and  $x \notin B$ . Thus  $x \notin A \cup B$  and so  $x \in (A \cup B)'$ . Hence, this shows  
 $(A \cup B)' \supset A' \cap B'$ .

These two together imply  $(A \cup B)' = A' \cap B'$ .

□

## Cartesian products and mappings

Given two sets  $A$  and  $B$  we define a new set  $A \times B$  ← Cartesian product of  $A$  and  $B$  as a set of ordered pairs.

That is:

$$A \times B = \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

Example. If  $A = \{x, y\}$ ,  $B = \{1, 2, 3\}$  and  $C = \emptyset$  then

$$A \times B = \{ (x, 1), (x, 2), (x, 3), (y, 1), (y, 2), (y, 3) \}$$

and  $A \times C = \emptyset$

We define the Cartesian product of  $n$  sets to be

$$A_1 \times \dots \times A_n = \{ (a_1, \dots, a_n) : a_i \in A_i \text{ for } i=1, \dots, n \}$$

Subsets of  $A \times B$  are called **relations**.

We define a **mapping** or **function**  $f \subset A \times B$  from a set  $A$  to a set  $B$  to be the special type of relation where each element  $a \in A$  has a unique element  $b \in B$  such that  $(a, b) \in f$ .

Equivalently, for every element in  $A$ ,  $f$  assigns a unique element in  $B$ .

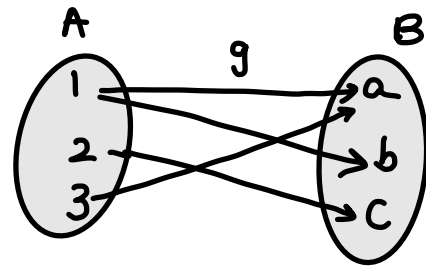
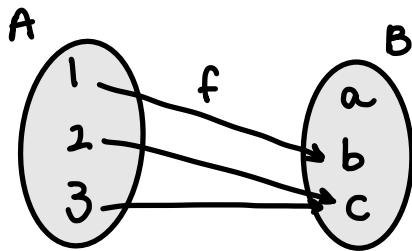
$$f: A \rightarrow B \quad \underline{\text{or}} \quad A \xrightarrow{f} B$$

Instead of writing ordered pairs  $(a, b) \in A \times B$  we write  $f(a) = b$  or  $f: a \mapsto b$ .

The set  $A$  is called the **domain** of  $f$  and  $f(A) = \{ f(a) : a \in A \} \subset B$  is called the **range** or image of  $f$ .

[Note : We can think of the elements in the function's domain as input values and the elements in the function's range as output values.]

Example. Suppose  $A = \{1, 2, 3\}$  and  $B = \{a, b, c\}$ . We define relations  $f$  and  $g$  from set  $A$  to set  $B$ .



The relation  $f$  is a mapping.

The relation  $g$  is not a mapping ←  $g$  is not because  $1 \in A$  is not assigned to a unique element in  $B$   
i.e.  $g(1) = a$  &  $g(1) = b$

Note. A relation is **well-defined** if each element in the domain is assigned to a

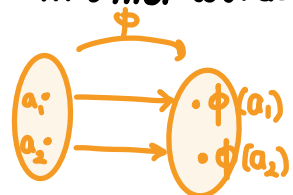
unique element in the range.

- If  $f: A \rightarrow B$  is a map and the image of  $f$  is  $B$ , i.e.  $f(A) = B$  then  $f$  is said to be **onto** or **surjective**.

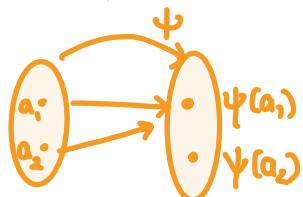
→ In other words, if  $\exists$  an  $a \in A$  for each  $b \in B$  s.t.  $f(a) = b$ , then  $f$  is onto.

- A map is **one-to-one** or **injective** if  $a_1 \neq a_2$  implies  $f(a_1) \neq f(a_2)$ .

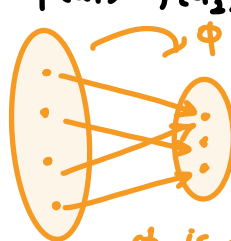
→ In other words, a function is one-to-one if  $f(a_1) = f(a_2)$  implies  $a_1 = a_2$ .



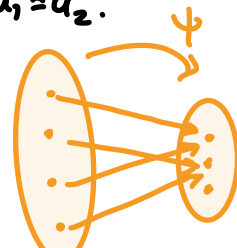
$\phi$  is one-to-one



$\psi$  is not one-to-one



$\phi$  is onto



$\psi$  is not onto

A map that is both onto and one-to-one is called **bijective**.

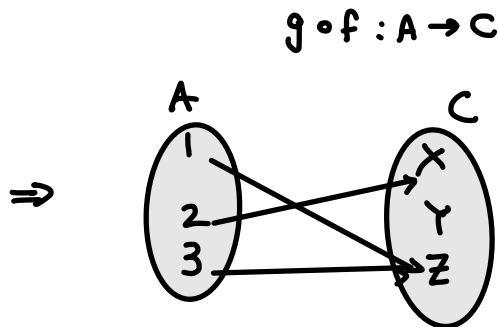
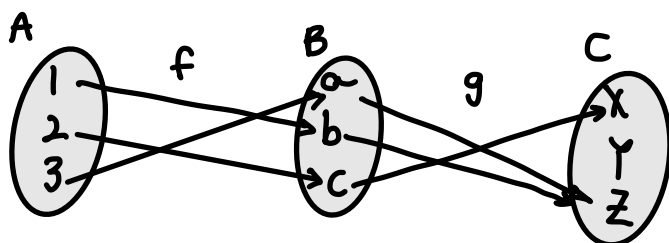
Example. Let  $f: \mathbb{Z} \rightarrow \mathbb{Q}$  be defined as  $f(n) = n/|n|$ .

Then  $f$  is one-to-one but not onto there is no  $n$  for which  $f(n) = 3/4$  for example

Given two functions we can construct a new one by using the range of the first function as the domain of the second function. Let  $f: A \rightarrow B$  and  $g: B \rightarrow C$  be mappings. Define a new map, the **composition** of  $f$  and  $g$  from  $A$  to  $C$  by

$$(g \circ f)(x) = g(f(x))$$

Example. Composition of maps



Example. Let  $f(x) = x^2$  and  $g(x) = 2x + 5$  Then  $(f \circ g)(x) = f(g(x)) = (2x + 5)^2 = 4x^2 + 20x + 25$  and  $(g \circ f)(x) = g(f(x)) = 2x^2 + 5$ .

\* The order matters! In most cases  $f \circ g \neq g \circ f$



However, in some cases we could have  $f \circ g = g \circ f$ . Let  $f(x) = x^3$  and  $g(x) = \sqrt[3]{x}$ . Then

$$(f \circ g)(x) = f(g(x)) = f(\sqrt[3]{x}) = (\sqrt[3]{x})^3 = x$$

and

$$(g \circ f)(x) = g(f(x)) = g(x^3) = \sqrt[3]{x^3} = x.$$

Example. Given a  $2 \times 2$  matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , we can define a map  $T_A: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  by

$$T_A(x, y) = (ax + by, cx + dy)$$

for any  $(x, y)$  in  $\mathbb{R}^2$ . This is matrix multiplication  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} ax + by \\ cx + dy \end{pmatrix}$

Maps from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  given by matrices are called **linear maps** or **linear transformations**.

Example. Suppose that  $S = \{1, 2, 3\}$ . Define a map  $\pi: S \rightarrow S$  by

$$\pi(1) = 2, \pi(2) = 1, \pi(3) = 3$$

This is a bijective map. An alternative way of writing  $\pi$  is:

$$\begin{pmatrix} 1 & 2 & 3 \\ \pi(1) & \pi(2) & \pi(3) \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}$$

For any set  $S$ , a one-to-one and onto mapping  $\pi: S \rightarrow S$  is called a **permutation** of  $S$ .

Theorem 2. Let  $f: A \rightarrow B$ ,  $g: B \rightarrow C$  and  $h: C \rightarrow D$ . Then

1. The composition of mappings is associative, i.e.  $(h \circ g) \circ f = h \circ (g \circ f)$ .
2. If  $f$  and  $g$  are both one-to-one, then the mapping  $g \circ f$  is one-to-one.
3. If  $f$  and  $g$  are both onto, then the mapping  $g \circ f$  is onto.
4. If  $f$  and  $g$  are bijective, then so is  $g \circ f$ .

Part 4. follows directly from 2. and 3.

Proof. We prove 1. and 3. again.

1. We must show that  $(h \circ g) \circ f = h \circ (g \circ f)$

For  $a \in A$  we have (starting from the RHS) :

$$\begin{aligned} (h \circ (g \circ f))(a) &= (h \circ (g \circ f))(a) \\ &= h(g(f(a))) \\ &= (h \circ g)(f(a)) \\ &= ((h \circ g) \circ f)(a) \end{aligned}$$

3. Assume that  $f$  and  $g$  are both onto functions. Given  $c \in C$ , we must show that  $\exists$  an  $a \in A$  s.t.  $(g \circ f)(a) = g(f(a)) = c$ .

However since  $g$  is onto  $\exists$  a  $b \in B$  s.t.  $g(b) = c$ .

Similarly,  $\exists$  an  $a \in A$  s.t.  $f(a) = b$ . Accordingly

$$\begin{aligned}(g \circ f)(a) &= g(f(a)) \\ &= g(b) \\ &= c.\end{aligned}$$

□

If  $S$  is any set we will use  $id_S$  or  $id$  to denote the **identity mapping** from  $S$  to itself. We define this map by  $\boxed{id(S) = S} \quad \forall s \in S$

A map  $g: B \rightarrow A$  is an **inverse mapping** of  $f: A \rightarrow B$  if  $g \circ f = id_A$  and  $f \circ g = id_B$ .  
↓  
it "undoes" the function

A map is set to be invertible if it has an inverse. We use  $f^{-1}$  for the inverse of  $f$ .

Example.  $f(x) = \ln(x)$  has inverse  $f^{-1}(x) = e^x$  and vice versa (but we need to ensure that we carefully choose the domains).

$$\text{Note that } f(f^{-1}(x)) = \ln(e^x) = x$$

$$f^{-1}(f(x)) = e^{\ln x} = x$$

Example Suppose that  $A = \begin{pmatrix} 3 & 1 \\ 5 & 2 \end{pmatrix}$ .  $A$  defines a map from  $\mathbb{R}^2$  to  $\mathbb{R}^2$  by

$$T_A(x, y) = (3x + y, 5x + 2y).$$

We find the inverse map of  $T_A$  by inverting the matrix  $A$   $\boxed{T_A^{-1} = T_{A^{-1}}}$

$$A^{-1} = \begin{pmatrix} 2 & -1 \\ -5 & 3 \end{pmatrix} \Rightarrow T_A^{-1}(x, y) = T_{A^{-1}}(x, y) = (2x - y, -5x + 3y)$$

$$\text{Check that } T_A^{-1} \circ T_A(x, y) = T_A \circ T_A^{-1}(x, y) = (x, y)$$

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Theorem 3 A mapping is invertible if and only if it is both one-to-one and onto.

( $\Rightarrow$ )  
Proof. Suppose that  $f: A \rightarrow B$  is invertible with inverse  $g: B \rightarrow A$ . Then  $g \circ f = \text{id}_A$  is the identity map, that is  $g(f(a)) = a$

If  $a_1, a_2 \in A$  with  $f(a_1) = f(a_2)$  then  $a_1 = g(f(a_1)) = g(f(a_2)) = a_2$ . Thus  $f$  is one-to-one.

Now suppose that  $b \in B$ . To show that  $f$  is onto it's necessary to find an  $a \in A$  s.t.  $f(a) = b$  but  $f(g(b)) = b$  with  $g(b) \in A$ . Let  $a = g(b)$ .

( $\Leftarrow$ ) since  $f$  and  $g$  are inverses of each other

Conversely, let  $f$  be bijective and let  $b \in B$ . Since  $f$  is onto,  $\exists$  an  $a \in A$  s.t.  $f(a) = b$ . Because  $f$  is one-to-one,  $a$  must be unique. Define  $g$  by letting  $g(b) = a$ .

We have now constructed the inverse of  $f$

$$g(b) = g(f(a)) = a \quad \checkmark$$

□

## Equivalence relations and partitions

We generalize equality with equivalence relations and equivalence classes.

An equivalence relation on a set  $X$  is a relation  $R \subset X \times X$  such that

- $(x, x) \in R$  for all  $x \in X$  reflexive property
- $(x, y) \in R$  implies  $(y, x) \in R$  symmetric property
- $(x, y)$  and  $(y, z) \in R$  imply  $(x, z) \in R$  transitive property

Given an equivalence relation  $R$  on a set  $X$  we usually write  $x \sim y$  instead of  $(x, y) \in R$ .

Example. Let  $p, q, r$  and  $s$  be integers with  $q, s \neq 0$ .

Define  $\frac{p}{q} \sim \frac{r}{s}$  if  $ps = qr$ .

Clearly  $\sim$  is reflexive and symmetric

$$\frac{p}{q} \sim \frac{p}{q} \text{ if } pq = pq \quad \checkmark \quad \frac{p}{q} \sim \frac{r}{s} \text{ if } ps = qr \quad \frac{r}{s} \sim \frac{p}{q} \text{ if } rq = ps \quad \checkmark$$

To show that it is also transitive, suppose that  $\frac{p}{q} \sim \frac{r}{s}$  and  $\frac{r}{s} \sim \frac{t}{u}$  with  $q, s, u \neq 0$

Then  $ps = qr$  and  $ru = st$ . Thus  $psu = qru = qst$   
 multiply  $ps = qr$  with  $u$  → Subst. for  $ru = st$

Since  $s \neq 0$   $s(pu) = qru = s(qt)$

Dividing by  $s$  we have  $pu = qt$ . Consequently,  $\frac{p}{q} \sim \frac{t}{u}$ .

Example Suppose that  $f$  and  $g$  are differentiable functions on  $\mathbb{R}$ . We can define an equivalence relation on such functions by letting  $f(x) \sim g(x)$  if  $f'(x) = g'(x)$ .

$\sim$  is both reflexive and symmetric.

To show transitivity, suppose  $f(x) \sim g(x)$  and  $g(x) \sim h(x)$

$$\Rightarrow f'(x) = g'(x) \quad g'(x) = h'(x)$$

Then  $f(x) = g(x) + c_1$ ,  $g(x) = h(x) + c_2$  where  $c_1, c_2$  are constants.

$$f(x) = h(x) + c_1 + c_2$$

$$f(x) - h(x) = c_1 + c_2$$

$$f'(x) - h'(x) = 0$$

$$f'(x) = h'(x)$$

Thus  $f(x) \sim h(x)$

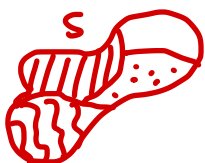
□

Given a nonempty set  $X$ , a partition of  $X$  is simply a collection of non-overlapping subsets whose union is the original set.

A partition  $\mathcal{P}$  of a set  $X$  is a collection of nonempty sets  $X_1, X_2, \dots$  such that

$$\bigcup_k X_k = X$$

and  $X_i \cap X_j = \emptyset$  for  $i \neq j$



partition of  $S$  into 4 subsets

eg. the sets  $\{0\}$ ,  $\{1, 2, 3, \dots\}$  and  $\{\dots, -3, -2, -1\}$  constitute a partition of the set of integers

Let  $\sim$  be an equivalence relation on a set  $X$  and let  $x \in X$ .

Then  $[x] = \{y \in X : y \sim x\}$  is called the **equivalence class** of  $x$ .

Theorem Given an equivalence relation  $\sim$  on a set  $X$ , the equivalence classes of  $X$  form a partition of  $X$ .

Conversely, if  $P = \{X_i\}$  is a partition of a set  $X$ , then there is an equivalence relation on  $X$  with equivalence classes  $X_i$ .

Proof Suppose that there exists an equivalence relation  $\sim$  on the set  $X$ . For any  $x \in X$ , the **reflexive** property shows that  $x \in [x]$  and so  $[x]$  is nonempty. Clearly  $X = \bigcup_{x \in X} [x]$

Now let  $x, y \in X$ . We need to show that either  $[x] = [y]$  or  $[x] \cap [y] = \emptyset$ .

Suppose that the intersection of  $[x]$  and  $[y]$  is not empty and that  $z \in [x] \cap [y]$

Then  $z \sim x$  and  $z \sim y$ . By symmetry  $x \sim z$  and  $y \sim z$

and by transitivity  $x \sim y$   
 ← since  $[y] = \{x \in Y : x \sim y\}$   
 since  $[x] = \{y \in X : y \sim x\}$

(For  $[y] \subset [x]$ ,  $z \in [y] \cap [x]$   
 $z \in [y]$  and  $z \in [x]$   
 $z \sim y$      $z \sim x$     (tran)  
 (sym.)  $y \sim z$      $x \sim z \Rightarrow y \sim x$ )

Hence  $[x] \subset [y]$

Similarly we have  $[y] \subset [x]$  and so  $[x] = [y]$ .

Thus any two equivalence classes are either disjoint ( $[x] \cap [y] = \emptyset$ ) or exactly the same ( $[x] = [y]$ )

**Conversely**, suppose that  $P = \{X_i\}$  is a partition of a set  $X$ . Let two elements be equivalent if they are in the same partition. The relation is reflexive. If  $x$  is in the same partition as  $y$ , then  $y$  is in the same partition as  $x$  so  $x \sim y \Rightarrow y \sim x$ .

Finally, if  $x$  is in the same partition as  $y$  and  $y$  is in the same partition as  $z$  then  $x$  must be in the same partition as  $z$  and transitivity holds.

13

Example Let  $r$  and  $s$  be two integers and suppose that  $n \in \mathbb{N}$ . We say that  $r$  is **congruent to  $s$  modulo  $n$** , if  $r-s$  is divisible by  $n$ , i.e.  $r-s = nk$  for some  $k \in \mathbb{Z}$ .

We write  $r \equiv s \pmod{n}$

$$41 \equiv 17 \pmod{8} \text{ since } 41 - 17 = 24 \text{ is divisible by } 8$$

We claim that congruence modulo  $n$  forms an equivalence relation of  $\mathbb{Z}$ .

Certainly any integer  $r$  is equivalent to itself since  $r-r=0$  is divisible by  $n$ .

$$\begin{aligned} r &\equiv r \pmod{n} \\ r &\sim r \end{aligned}$$

We now show that the relation is symmetric.

If  $r \equiv s \pmod{n}$  then  $r-s = -(s-r)$  is divisible by  $n$

So  $s-r$  is divisible by  $n$  and  $s \equiv r \pmod{n}$ .

Now suppose that  $r \equiv s \pmod{n}$  and  $s \equiv t \pmod{n}$

Then  $\exists$  integers  $k$  and  $l$  s.t.  $r-s = kn$  and  $s-t = ln$

To show transitivity, we must show that  $r-t$  is divisible by  $n$ .

$$\begin{aligned} r-t &= r-s+s-t \\ &= kn+ln \\ &= (k+l)n \end{aligned}$$

and so  $r-t$  is divisible by  $n$

□

• A nonempty subset  $S$  of  $\mathbb{Z}$  is **well-ordered** if  $S$  contains a least element.

NOTE: The set  $\mathbb{Z}$  is not well-ordered since it does not contain a smallest element.

But the natural numbers are well-ordered.

**Well-ordering principle:** Every nonempty subset of the natural numbers is well-ordered

## Section 2.2: The DIVISION ALGORITHM

Theorem 2.9 (Division algorithm) with  $a > b$

Let  $a$  and  $b$  be integers, with  $b > 0$ . Then  $\exists$  unique integers  $q$  and  $r$  st

$$a = bq + r$$

where  $0 \leq r < b$ .

### Proof [existence -and- uniqueness type of proof]

We must first show that the numbers  $q$  and  $r$  actually exist. Then we must show that they are unique: if  $q'$  and  $r'$  are two other such numbers, then  $q = q'$  and  $r = r'$

Existence of  $q$  and  $r$ . Let  $S = \{a - bk : k \in \mathbb{Z} \text{ and } a - bk \geq 0\}$

If  $0 \in S$ , then  $b$  divides  $a$  and we can let  $q = \frac{a}{b}$  and  $r = 0$

↑  
remainder  $a - bk$   
is 0

If  $0 \notin S$  we can use the well-ordering principle (so there must be a smallest element)

We must show first that  $S$  is nonempty.

If  $a > 0$  then  $a - b \cdot 0 \in S \Rightarrow a \in S$

if  $a > 0 \Rightarrow a - bk \geq 0 \ \& \ k \in \mathbb{Z}$

If  $a < 0$  then  $a - b(2a) = a(1 - 2b) \in S$

take eg  $k = 0$

$\Rightarrow a \geq 0$

$\Rightarrow a \in S$

because it satisfies the properties of set  $S$

choose eg  $k = 2a$   
so that  $1 - 2b < 0$

In either case  $S \neq \emptyset$ .

By the well-ordering principle  $S$  must have a smallest member, say  $r = a - bq$

Therefore  $a = bq + r, r \geq 0$

we will use proof by contradiction

We must now show that  $r < b$ . We suppose that  $r > b$ . Then

$$a - b(q+1) = a - bq - b = r - b > 0$$

work backwards

by assumption

In this case we would have  $a - b(q+1) \in S$ . But then  $a - b(q+1) < a - bq$ , which would contradict the fact that  $r = a - bq$  is the smallest element of  $S$ . So by contradiction,  $r \leq b$ . Since  $0 \notin S$ ,  $r \neq b$  and so  $r < b$ .

Uniqueness of  $q$  and  $r$ . Suppose  $\exists$  integers  $r, r', q$ , and  $q'$  s.t

$$a = bq + r, 0 \leq r < b \quad (\dagger)$$

$$a = bq' + r', 0 \leq r' < b \quad (\ddagger)$$

$$\text{Then } bq + r = bq' + r' \quad (\S)$$

Assume  $r' \geq r$

From (7) we have  $bq - bq' = r' - r$

$$b(q - q') = r' - r$$

from (t) we have  $0 \leq r < b$

and so  $r' - r \leq r'$

Thus  $b$  must divide  $r' - r$  and  $0 \leq \underbrace{r' - r}_{\uparrow} \leq r' < b$

since  $b$  must divide  $r' - r$  but  $r' - r$  is

from the assumption that  $r' \geq r$

This is possible only if  $r' - r = 0$

$$\Rightarrow r' - r \geq 0$$

Hence  $r' = r$  and  $q = q'$ .  $\square$

$\hookrightarrow$  from (8) then  $bq + tx = bq' + tx$   
 $\Rightarrow q = q'$

Let  $a$  and  $b$  be integers. If  $b = ak$  for some integer  $k$  we write  $a | b$ .

An integer  $d$  is called a **common divisor** of  $a$  and  $b$  if  $d | a$  and  $d | b$ .

The **greatest common divisor** of  $a$  and  $b$  is a positive integer  $d$  s.t.  $d$  is a common divisor of  $a$  and  $b$  and if  $d'$  is any other divisor of  $a$  and  $b$  then  $d' | d$ .

We write  $\gcd(24, 36) = 12$  and  $\gcd(120, 102) = 6$

We say that two integers  $a$  and  $b$  are relatively prime if  $\gcd(a, b) = 1$

**Theorem 2.10** Let  $a$  and  $b$  be nonzero integers. Then  $\exists$  integers  $r$  and  $s$  s.t.  
 $\gcd(a, b) = ar + bs$ .

Also the greatest common divisor of  $a$  and  $b$  is unique.

Proof Left as an exercise.

## THE EUCLIDEAN ALGORITHM

Example Let's compute the greatest common divisor of 945 and 2415.

$$2415 = 945 \cdot 2 + 525$$

$$945 = 525 \cdot 1 + 420$$

$$525 = 420 \cdot 1 + 105$$

$$420 = 105 \cdot 4 + 0$$



Reversing these steps: 105 divides 420

$$\Rightarrow \begin{array}{r} 105 \text{ divides } 525 \\ 105 \parallel \quad 945 \\ 105 \parallel \quad 2415 \end{array}$$

} 105 divides both 945 and 2415  
so it's a common divisor

If  $d$  were another common divisor of 945 and 2415, then  $d$  would also have to divide 105. Thus  $\gcd(945, 2415) = 105$ .

Working backward through the sequence of equations, we can also obtain numbers  $r$  and  $s$  such that  $945r + 2415s = 105$

$$\begin{aligned} 105 &= 525 + (-1) \cdot 420 \\ &= 525 + (-1)(945 + (-1) \cdot 525) \\ &= 2 \cdot 525 + (-1) \cdot 945 \\ &= 2 \cdot [2415 + (-2) \cdot 945] + (-1) \cdot 945 \\ &= 2 \cdot 2415 + (-5) \cdot 945 \end{aligned}$$

Thus  $r = -5$  and  $s = 2$ .

Note  $r$  and  $s$  are not unique,  $r = 41$  and  $s = -16$  would also work.  $\square$

To compute  $\gcd(a, b) = d$  we use repeated divisions to obtain a decreasing sequence of positive integers  $r_1 > r_2 > \dots > r_n = d$

$$\begin{aligned} \Rightarrow b &= aq_1 + r_1 \\ a &= r_1q_2 + r_2 \\ r_1 &= r_2q_3 + r_3 \end{aligned}$$

⋮

$$r_{n-2} = r_{n-1}q_n + r_n$$

$$r_{n-1} = r_nq_{n+1}$$

= divisor

$$r_{n-3} = r_{n-2}q_{n-1} + r_{n-1}$$

$$\Rightarrow r_{n-1} = r_{n-3} - r_{n-2}q_{n-1}$$

To find  $r$  and  $s$  s.t.  $ar + bs = d$  we begin with the last eqn and subst. results obtained from the previous eqns

$$\begin{aligned}
d &= r_n \\
&= r_{n-2} - r_{n-1}q_n \\
&= r_{n-2} - q_n(r_{n-3} - q_{n-1}r_{n-2}) \\
&= -q_n r_{n-3} + (1 + q_n q_{n-1}) r_{n-2} \\
&\vdots \\
&= ra + sb
\end{aligned}$$

The algorithm we used to find the greatest common divisor  $d$  of two integers  $a$  and  $b$  and to write  $d$  as a linear combination of  $a$  and  $b$  is known as the Euclidean algorithm.

### GROUPS (Chapter 3)

We start with **integer equivalence classes and symmetries**

Applications: Cryptography, coding theory ...

Recall that two integers  $a$  and  $b$  are equivalent mod  $n$  if  $n$  divides  $a-b$ .

The integers mod  $n$  partition  $\mathbb{Z}$  into  $n$  different equivalence classes, denoted as  $\mathbb{Z}_n$

e.g. The integers mod 12 and the corresponding partition of the integers

$$\begin{aligned}
[0] &= \{ \dots, -24, -12, 0, 12, 24, \dots \} \\
[1] &= \{ \dots, -11, 1, 13, 25, \dots \} \\
&\vdots \\
[11] &= \{ \dots, -13, -1, 11, 23, 35, \dots \}
\end{aligned}$$

Example.

Integer arithmetic mod  $n$ .  
 $7+4 \equiv 1 \pmod 5$   
 $3+5 \equiv 0 \pmod 8$   
 $3+4 \equiv 7 \pmod{12}$   
**addition**

(arithmetic on  $\mathbb{Z}_n$ )  
 $7 \cdot 3 \equiv 1 \pmod 5$   
 $3 \cdot 5 \equiv 7 \pmod 8$   
 $3 \cdot 4 \equiv 0 \pmod{12}$   
**multiplication**

Note here we use eq 7 instead of  $[7]$  to indicate the equivalence class

Note that most of the usual laws of arithmetic hold for addition and multiplication in  $\mathbb{Z}_n$ , but not all. e.g. It is not necessarily true that there is a multiplicative inverse.

Example. Consider the multiplication table for  $\mathbb{Z}_8$

$\cdot$	0	1	2	3	4	5	6	7
0	0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6	7
2	0	2	4	6	0	2	4	6
3	0	3	6	1	4	7	2	5
4	0	4	0	4	0	4	0	4
5	0	5	2	7	4	1	6	3
6	0	6	4	2	0	6	4	2
7	0	7	6	5	4	3	2	1

Note 2, 4 and 6 do not have multiplicative inverses

$\Rightarrow$  i.e. for  $n=2,4,6$  there is no integer  $k$  such that  $kn \equiv 1 \pmod{8}$

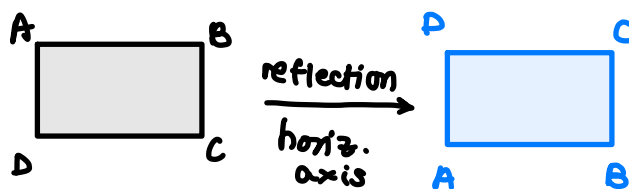
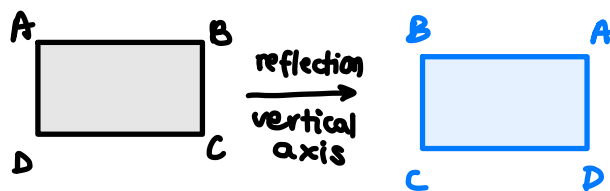
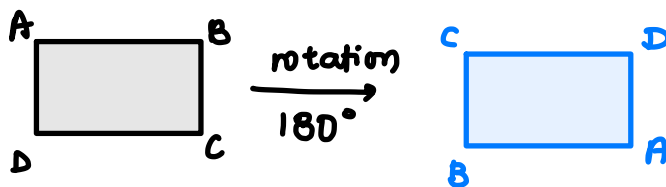
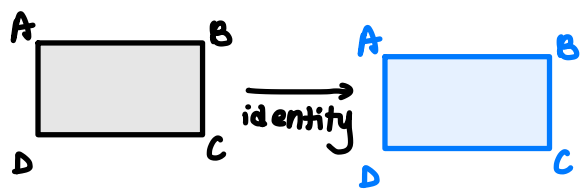
### Symmetries

A **symmetry** of a geometric figure is a rearrangement of the figure keeping

- (a) the arrangement of its sides and vertices
- (b) its distances
- (c) its angles

A map from the plane to itself preserving the symmetry of an object is called a **rigid motion**.

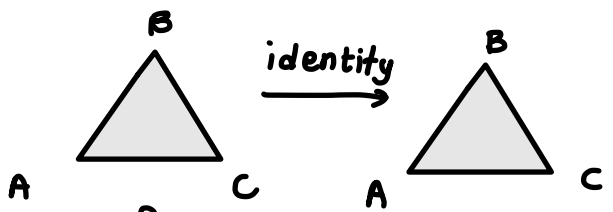
Example: Symmetries of a rectangle



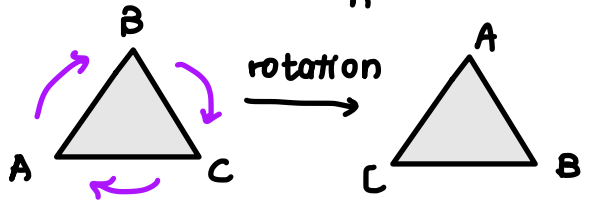
Note: a  $90^\circ$  rotation in either direction cannot be a symmetry unless the rectangle is a square.

Example. Symmetries of the equilateral triangle  $\Delta ABC$ .

recall permutations from earlier



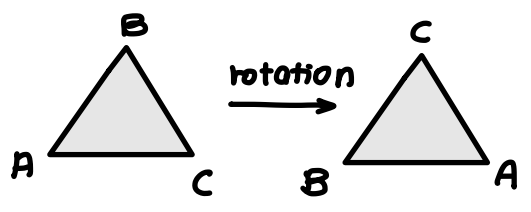
$$id = \begin{pmatrix} A & B & C \\ A & B & C \end{pmatrix}$$



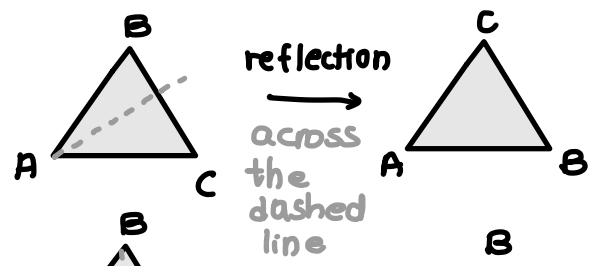
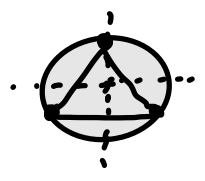
$$P_1 = \begin{pmatrix} A & B & C \\ B & C & A \end{pmatrix}$$

120° in the clockwise direction

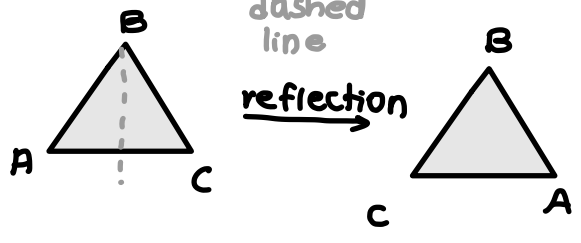
To denote the permutation of the vertices of an equilateral triangle that sends A to B, B to C, and C to A we write the array above



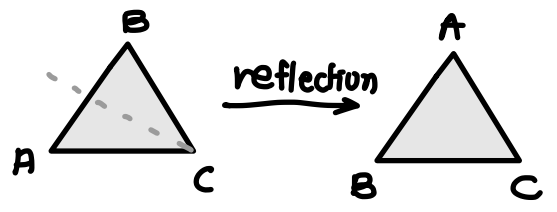
$$P_2 = \begin{pmatrix} A & B & C \\ C & A & B \end{pmatrix}$$



$$\mu_1 = \begin{pmatrix} A & B & C \\ A & C & B \end{pmatrix}$$



$$\mu_2 = \begin{pmatrix} A & B & C \\ C & B & A \end{pmatrix}$$



$$\mu_3 = \begin{pmatrix} A & B & C \\ B & A & C \end{pmatrix}$$

A permutation of a set  $S$  is a one-to-one and onto map  $\pi: S \rightarrow S$   
The three vertices have  $3! = 3 \cdot 2 \cdot 1 = 6$  permutations

- 3 different possibilities for the 1st vertex
- 2 remaining " for the 2nd vertex
- 1 " possibility for the 3rd vertex

$\Rightarrow$  the triangle has at most 6 symmetries.

Every permutation gives rise to a symmetry of the triangle

Q What happens if one motion of the triangle is followed by another?

Notation:  $\mu_1 \rho_1 \rightarrow$  first do permutation  $\rho_1$ ,  
example then apply permutation  $\mu_1$

This is composition of functions so we go right to left

$\swarrow$  vertex  
 $(\mu_1 \rho_1)(A) = \mu_1(\rho_1(A)) = \mu_1(B) = C$

$$(\mu_1 \rho_1)(B) = \mu_1(\rho_1(B)) = \mu_1(C) = B$$

$$(\mu_1 \rho_1)(C) = \mu_1(\rho_1(C)) = \mu_1(A) = A$$

see where  $\mu_1 \rho_1$  sends each of the vertices

$$\mu_1 \rho_1 = \begin{pmatrix} A & B & C \\ C & B & A \end{pmatrix} = \mu_2$$

Now let's do the opposite and consider instead the symmetry  $\rho_1 \mu_1$

$$(\rho_1 \mu_1)(A) = \rho_1(\mu_1(A)) = \rho_1(A) = B$$

$$(\rho_1 \mu_1)(B) = \rho_1(\mu_1(B)) = \rho_1(C) = A$$

$$(\rho_1 \mu_1)(C) = \rho_1(\mu_1(C)) = \rho_1(B) = C$$

$$\rho_1 \mu_1 = \begin{pmatrix} A & B & C \\ B & A & C \end{pmatrix} = \mu_3$$

Thus,  $\mu_1 \rho_1 \neq \rho_1 \mu_1$

If you continue this exercise for all 6 permutation combinations you can fill in a multiplication table for the symmetries of an equilateral triangle as follows

$o$	id	$p_1$	$p_2$	$\mu_1$	$\mu_2$	$\mu_3$
id	id	$p_1$	$p_2$	$\mu_1$	$\mu_2$	$\mu_3$
$p_1$	$p_1$	$p_2$	id	$\mu_3$	$\mu_1$	$\mu_2$
$p_2$	$p_2$	id	$p_1$	$\mu_2$	$\mu_3$	$\mu_1$
$\mu_1$	$\mu_1$	$\mu_2$	$\mu_3$	id	$p_1$	$p_2$
$\mu_2$	$\mu_2$	$\mu_3$	$\mu_1$	$p_2$	id	$p_1$
$\mu_3$	$\mu_3$	$\mu_1$	$\mu_2$	$p_1$	$p_2$	id

Notice how orderly it looks!  
NOT A COINCIDENCE

1. It has been completely filled w/o introducing new motions

This is because any sequence of motions turns out to be the same as one of these 6.

Algebraically this says that if  $A$  and  $B$  are in this "group" then so is  $AB$ . This property is called closure

2. If  $A$  is any element of this group then  $A \circ \text{id} = \text{id} \circ A = A$

Thus combining any element on either side with  $\text{id}$  yields  $A$  back again.

An element  $\text{id}$  with this property is called an identity, and every group must have one

3. For each element  $A$  in the group, there is one element  $B$  in the same group such that  $AB = BA = \text{id}$

$B$  is said to be the inverse of  $A$  and vice versa

4. Every element in the table appears exactly once in each row and each column.

5. Observe that  $AB$  may or may not be the same as  $BA$

If it happens that  $AB = BA$  for (all) choices of group elements  $A$  and  $B$  we say the group is commutative or Abelian.

Otherwise we say the group is non-Abelian

The integers mod  $n$  ( $\mathbb{Z}_n$ ) and the symmetries of a rectangle or a group are all examples of groups.

A **binary operation** or **law of composition** on a set  $G$  is a function  $G \times G \rightarrow G$  that assigns to each pair  $(a, b) \in G \times G$  a unique element  $a \circ b$  or  $ab$  in  $G$ , called the composition of  $a$  and  $b$

A **group**  $(G, \circ)$  is a set  $G$  together with a law of composition  $(a, b) \mapsto a \circ b$  that satisfies the following axioms.

- The law of composition is **associative**


$$(a \circ b) \circ c = a \circ (b \circ c) \quad \text{for all } a, b, c \in G$$

- There exists an element  $e \in G$ , the **identity element**, s.t.

$$e \circ a = a \circ e = a \quad \text{for all } a \in G$$

- For each  $a \in G$ ,  $\exists$  an **inverse element** in  $G$  denoted by  $a^{-1}$ , s.t.

$$a \circ a^{-1} = a^{-1} \circ a = e$$

The concept of closure says that any pair of elements can be combined w/o going outside the set.  Be sure to verify closure when testing for a group

A group  $G$  w/ the property that  $a \circ b = b \circ a \quad \forall a, b \in G$  is called **abelian** or **commutative**. Otherwise they are said to be **nonabelian** or **noncommutative**

Example. The integers  $\mathbb{Z} = \{\dots, -1, 0, 1, 2, \dots\}$  form a group under the operation of addition.

Binary operation on two integers  $m, n \in \mathbb{Z}$  is just their sum

Identity = 0

Inverse of  $n \in \mathbb{Z}$  is  $-n$

Note that the set of integers under addition satisfies  $m+n = n+m$  and so it is an abelian group.

- Sometimes it's convenient to describe a group in terms of an addition or multiplication table which we call a **Cayley table**

Proposition 3.4 Let  $\mathbb{Z}_n$  be the set of equivalence classes of the integers mod  $n$  and  $a, b, c \in \mathbb{Z}_n$ .

(1) Addition and multiplication are **commutative**:

$$\begin{aligned} a+b &\equiv b+a \pmod{n} \\ ab &\equiv ba \pmod{n} \end{aligned}$$

(2) They are both **associative**

$$\begin{aligned} (a+b)+c &\equiv a+(b+c) \pmod{n} \\ (ab)c &\equiv a(bc) \pmod{n} \end{aligned}$$

(3) There are both **additive** and **multiplicative identities**

$$\begin{aligned} a+0 &\equiv a \pmod{n} \\ a \cdot 1 &\equiv a \pmod{n} \end{aligned}$$

(4) Multiplication distributes over addition:

$$a(b+c) \equiv ab+ac \pmod{n}$$

(5) For every integer  $a$  there is an additive inverse  $-a$

$$a+(-a) \equiv 0 \pmod{n}$$

(6) Let  $a$  be a nonzero integer. Then  $\gcd(a, n) = 1$  if and only if there exists a multiplicative inverse  $b$  for  $a \pmod{n}$ . I.e. a nonzero integer  $b$  such that

$$ab \equiv 1 \pmod{n}$$

*a and n are relatively prime or coprime*

Proof (6) ( $\Rightarrow$ )

Suppose that  $\gcd(a, n) = 1$  Then  $\exists$  integers  $r$  and  $s$  s.t

$$ar + ns = 1$$

by theorem 2.10

$$\Rightarrow ns = 1 - ar$$

Then  $ar \equiv 1 \pmod{n}$

$\hookrightarrow n$  divides  $1-ar$   
thus  $ar \equiv 1 \pmod{n}$

Letting  $b$  be the equivalence class of  $r$ ,  $ab \equiv 1 \pmod{n}$ .



( $\Leftarrow$ ) Suppose  $\exists$  an integer  $b$  s.t.  $ab \equiv 1 \pmod{n}$

$\Rightarrow n$  divides  $ab - 1$

Thus there is an integer  $k$  s.t.  $ab - 1 = nk$

$\Rightarrow ab - nk = 1$

Let  $\gcd(a, n) = d$ . Since  $d$  divides  $ab - nk$ ,  $d$  must also divide 1. Therefore  $d = 1$

□

Example Not every set with a binary operation is a group.

If the binary operation on  $\mathbb{Z}_n$  is the modular multiplication, then  $\mathbb{Z}_n$  is not a group.

Group identity : 1

since  $1 \cdot k = k \cdot 1 = k$  for any  $k \in \mathbb{Z}_n$

\* A multiplicative inverse for 0 does not exist since  $0 \cdot k = k \cdot 0 = 0$  for every  $k \in \mathbb{Z}_n$

Even the set  $\mathbb{Z}_n \setminus \{0\}$  is not a group.

e.g. let  $2 \in \mathbb{Z}_6$

Then 2 has no multiplicative inverse since

$0 \cdot 2 = 0$ ,  $1 \cdot 2 = 2$ ,  $2 \cdot 2 = 4$ ,  $3 \cdot 2 = 0$ ,  $4 \cdot 2 = 2$ ,  $5 \cdot 2 = 4$

.	0	1	2	3	4	5
0						
1						
2			...			
3						
4						
5						

By proposition 3.4, every nonzero  $k$  has an inverse in  $\mathbb{Z}_n$  if  $k$  is relatively prime to  $n$   
 $\gcd(k, n) = 1$

Denote the set of all such nonzero elements in  $\mathbb{Z}_n$  by  $U(n)$

↳ group of units of  $\mathbb{Z}_n$

Cayley table for  $U(8)$

.	1	3	5	7
1	1	3	5	7
3	3	1	7	5
5	5	7	1	3
7	7	5	3	1

Example The subset  $\{1, -1, i, -i\}$  of  $\mathbb{C}$  is a group under complex multiplication

Inverse of  $i$ :  $-i$       Identity is  $1$

Inverse of  $-1$ :  $-1$

//  $-i$ :  $i$

//  $i$ :  $-i$

Example The set  $S$  of positive irrational numbers together with  $1$ , under multiplication satisfies the three properties given in the definition of a group but it is not a group

Take  $\sqrt{3} \cdot \sqrt{3} = 3$  for example. So  $S$  is not closed under multiplication.

fails the closure criterion!

Example We denote the set of all  $2 \times 2$  matrices by  $M_2(\mathbb{R})$ .

Let  $GL_2(\mathbb{R})$  to be the subset of  $M_2(\mathbb{R})$  consisting of invertible matrices

i.e. A matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\mathbb{R})$  if  $\exists$  a matrix  $A^{-1}$  s.t.  $AA^{-1} = A^{-1}A = I$

$\uparrow$   
 $2 \times 2$   
identity  
matrix

For  $A$  to have an inverse it's equivalent to requiring that  $\det(A) \neq 0$   
 $\Leftrightarrow ad - bc \neq 0$

The set of invertible matrices forms a group called the general linear group

Identity:  $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

Inverse of  $A \in GL_2(\mathbb{R})$ :  $A^{-1} = \frac{1}{ad-bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$

The product of two invertible matrices is also invertible.  $\det(AB) = \det(A) \det(B) \neq 0$   
Matrix multiplication is associative.

Note In general  $AB \neq BA$  so  $GL_2(\mathbb{R})$  is a nonabelian group.

$GL_2(\mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{R} \text{ and } ad - bc \neq 0 \right\}$



Definition A group is **finite** (or has finite order) if it contains a finite number of elements otherwise it's said to be infinite.

Definition The **order** of a finite group is the number of elements that it contains. If the # of elements it contains is  $n$ , then we write  $|G| = n$

e.g.  $\mathbb{Z}_5$  is a finite group of order 5

The integers  $\mathbb{Z}$  form an infinite group under addition and we write  $|\mathbb{Z}| = \infty$ .

Note

We can use exponential notation for groups

If  $G$  is a group and  $g \in G$  then we define  $g^0 = e$

For any  $n \in \mathbb{N}$  we define  $g^n = \underbrace{g \cdot g \cdot \dots \cdot g}_{n \text{ times}}$  and  $g^{-n} = \underbrace{g^{-1} \cdot g^{-1} \cdot \dots \cdot g^{-1}}_{n \text{ times}}$

Definition The **order of an element**  $g$  in a group  $G$  is the smallest positive integer  $n$  such that  $g^n = e$ . If no such integer exists, we say  $g$  has infinite order.

The order of an element  $g$  is denoted by  $|g|$ .

So to find the order of a group element  $g$ , you need only compute the sequence of products  $g, g^2, g^3, \dots$  until you reach the identity for the 1st time. The exponent of this product is the order of  $g$

Example Consider  $U(15) = \{1, 2, 4, 7, 8, 11, 13, 14\}$  under multiplication modulo 15. This group has order 8.

To find the order of element 7, say, we compute the sequence

$7^1 = 7, 7^2 = 4, 7^3 = 13, 7^4 = 1$  so  $|7| = 4$

To find the order of 11, we compute

$11^1 = 11, 11^2 = 1$ , so  $|11| = 2$

Similar computations show that  $|1|=1$ ,  $|2|=4$ ,  $|4|=2$ ,  $|8|=4$ ,  
 $|13|=4$  and  $|14|=2$ .

Do you see a trick that makes these calculations easier?

Rather than computing the sequence  $13^1, 13^2, 13^3, \dots$  we may observe that

$$13 \equiv -2 \pmod{15}$$

$$\text{Thus } 13^2 \equiv (-2)^2 \equiv 4 \pmod{15}$$

$$13^3 \equiv (-2)(4) \equiv -8 \pmod{15}$$

$$13^4 \equiv (-2)(-8) \equiv -16 \equiv 1 \pmod{15}$$



## Properties of groups

Prop. 3.17 The identity element in a group  $G$  is unique.

i.e. In a group  $G$  there is only one element  $e \in G$  s.t.  $eg = ge = g$  for all  $G$

Proof Suppose  $e$  and  $e'$  are both identities

$$\Rightarrow eg = ge = g$$

$$\text{and } e'g = ge' = g$$

To show that  $e$  is unique we must show that  $e = e'$ .

If  $e$  is the identity then  $ee' = e'$ , and if  $e'$  is also the identity then  $ee' = e$

Together this gives us  $e = e'$ .

□

Prop 3.18

If  $g$  is any element in a group  $G$  then the inverse of  $g$ , written as  $g^{-1}$  is unique.

Proof Similar to the previous proof, assume that  $g'$  and  $g''$  are both inverses of an element  $g \in G$ , then

$$\left. \begin{array}{l} (+) \quad gg' = g'g = e \\ \text{and } (\neq) \quad gg'' = g''g = e \end{array} \right\} \text{from the def}^n \text{ of an inverse}$$

We wish to show that  $g' = g''$ . We know that

$$\begin{aligned} g' &= g'e \\ &= g'(gg') \quad \text{from } (+) \\ &= (g'g)g'' \\ &= eg'' \\ &= g'' \end{aligned}$$

□

Prop. 3.19

Let  $G$  be a group. If  $a, b \in G$  then  $(ab)^{-1} = b^{-1}a^{-1}$

Def<sup>n</sup> of inverse is  $c$  s.t.  $dc = e$   
 $cd = e$

Proof Let  $a, b \in G$ . Then  $abb^{-1}a^{-1} = aea^{-1} = aa^{-1} = e$

$$\begin{aligned} ab(b^{-1}a^{-1}) &= e \\ (b^{-1}a^{-1})ab &= e. \end{aligned}$$

Also  $b^{-1}a^{-1}ab = b^{-1}eb = b^{-1}b = e$

Since inverses are unique by prop. 3.18 we have that  $(ab)^{-1} = b^{-1}a^{-1}$

□

Prop. 3.20

Let  $G$  be a group. For any  $a \in G$ ,  $(a^{-1})^{-1} = a$

Proof left as an exercise.

Prop. 3.22 Cancellation

In a group  $G$ , the right and left cancellation laws hold, that is

$$\begin{aligned} ba = ca &\Rightarrow b = c \\ \text{and } ab = ac &\Rightarrow b = c \end{aligned}$$

Proof Suppose  $ba = ca$

Let  $a'$  be the inverse of  $a$ . Then multiplying on the right by  $a'$  gives

$$(ba)a' = (ca)a'$$

$$b(aa') = c(aa')$$

$$be = ce$$

$$b = c$$

by associativity

by def<sup>n</sup> of inverse

by def<sup>n</sup> of identity

Similarly, one can prove that  $ab = ac \Rightarrow b = c$  by multiplying by  $a'$  on the left.

Note A consequence of the cancellation property is that in a Cayley table for a group each group element occurs exactly once in each row and column. (search "Latin square")

—

Theorem 3.23 For all  $g, h \in G$

1.  $g^m g^n = g^{m+n} \quad \forall m, n \in \mathbb{Z}$

2.  $(g^m)^n = g^{mn} \quad \forall m, n \in \mathbb{Z}$

3.  $(gh)^n = (h^{-1}g^{-1})^{-n} \quad \forall n \in \mathbb{Z}$ . If  $G$  is abelian then  $(gh)^n = g^n h^n$ .

### Section 3.3 SUBGROUPS

Def<sup>n</sup> If a subset  $H$  of a group  $G$  is itself a group under the operation of  $G$ , we say that  $H$  is a subgroup of  $G$ .

Notation:  $H \leq G$  means  $H$  is a subgroup of  $G$ .

If we want to indicate that  $H$  is a subgroup of  $G$  but it's not equal to  $G$  itself, we write  $H < G$  and we call it a proper subgroup

Note The subgroup  $\{e\}$  is called the trivial subgroup of  $G$

$\mathbb{Z}_n$  under addition modulo  $n$  is not a subgroup of  $\mathbb{Z}$  under addition since addition mod  $n$  is not the operation of  $\mathbb{Z}$ .

Subgroup tests

Prop. 3.30 A subset  $H$  of  $G$  is a subgroup if and only if it satisfies the following 3 conditions:

- ① The identity  $e$  of  $G$  is in  $H$
- ② If  $h_1, h_2 \in H$  then  $h_1 h_2 \in H$ .
- ③ If  $h \in H$  then  $h^{-1} \in H$ .

Proof ( $\Rightarrow$ ) Suppose that  $H$  is a Subgroup of  $G$

We want to show that the 3 conditions hold.

① Since  $H$  is a group, it must have an identity,  $e_H$ . But we must show that  $e_H = e$ , with  $e =$  identity of  $G$

Since they are both identities we have

$$e_H e_H = e_H \quad (e_H \text{ is an identity})$$

$$e e_H = e_H e = e_H \quad (e \text{ is an identity})$$

Thus, equating them gives

$$e_H e_H = e e_H$$

$$\Rightarrow e = e_H \quad (\text{by the right-hand cancellation})$$

② The second condition holds since a subgroup  $H$  is a group. (closure property)

③ To prove the 3<sup>rd</sup> condition let  $h \in H$ . Since  $H$  is a group, there is an element  $h' \in H$  such that  $hh' = h'h = e$ .

Since the inverse in  $G$  is unique,  $h' = h^{-1}$ .

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( $\Leftarrow$ ) If the 3 conditions hold, we must show that  $H$  is a group under the same operation as  $G$ . These conditions and the associativity of the binary operation are the axioms stated in the definition of a group  $\square$

Prop 3.31 Let  $H$  be a subset of a group  $G$ . Then  $H$  is a subgroup of  $G$  if and only if  $H \neq \emptyset$  and when  $g, h \in H$  then  $gh^{-1} \in H$ .

Proof ( $\Rightarrow$ ) Assume  $H$  is a subgroup of  $G$ .

We want to show that  $gh^{-1} \in H$  when  $g, h \in H$ .

Since  $h \in H$ ,  $h^{-1} \in H$  from property ③ of prop. 3.30

By the closure property of the group operation we have  $gh^{-1} \in H$ .

( $\Leftarrow$ ) Suppose  $H$  is a subset of  $G$  s.t.  $H \neq \emptyset$  and  $gh^{-1} \in H$  when  $g, h \in H$ .

We want to show that  $H$  is a subgroup (i.e. show ①-③ of prop. 3.30 hold)

**We must show  $e \in H$**  Since  $H$  is nonempty, we may pick some  $x \in H$ .

Then letting  $g = x$  and  $h = x$  also (in the hypothesis) we have

$$\begin{aligned} gh^{-1} \in H &\Rightarrow xx^{-1} \in H \\ &\Rightarrow e \in H \end{aligned}$$

**We must show  $x^{-1} \in H$  whenever  $x \in H$ .** Choose  $g = e$  and  $h = x$  in the statement

Then  $gh^{-1} = ex^{-1} = x^{-1} \in H$

**We must show that  $H$  is closed, i.e. if  $x, y \in H$  then  $xy \in H$**

We already showed that  $h_2^{-1} \in H$  whenever  $h_2 \in H$

So letting  $g = h_1$  and  $h = h_2^{-1}$  we have  $gh^{-1} = h_1(h_2^{-1})^{-1} = h_1h_2 \in H$

Thus,  $H$  is a subgroup of  $G$

$\square$



Example

Consider the set of nonzero real numbers  $\mathbb{R}^*$  with the group operation of multiplication.

- Identity is 1
- Inverse of any element  $a \in \mathbb{R}^*$  is  $\frac{1}{a}$

We will show that  $\mathbb{Q}^* = \{ \frac{p}{q} : p \text{ and } q \text{ are nonzero integers} \}$  is a subgroup of  $\mathbb{R}^*$

- The identity of  $\mathbb{R}^*$  is in  $\mathbb{Q}^*$ .
- Given two elements in  $\mathbb{Q}^*$ , e.g.  $\frac{p}{q}, \frac{r}{s} \in \mathbb{Q}^*$ , their product  $\frac{pr}{qs} \in \mathbb{Q}^*$  also
- The inverse of any element  $\frac{p}{q} \in \mathbb{Q}^*$  is again in  $\mathbb{Q}^*$  since  $(\frac{p}{q})^{-1} = \frac{q}{p}$ .
- Since multiplication in  $\mathbb{R}^*$  is associative, multiplication in  $\mathbb{Q}^*$  is associative

Example let  $SL_2(\mathbb{R})$  be the subset of  $GL_2(\mathbb{R})$  consisting of matrices of determinant 1.

That is, a matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{R})$  exactly when  $ad - bc = 1$ .

To show that  $SL_2(\mathbb{R})$  is a subgroup of  $GL_2(\mathbb{R})$  we must show that it is a group under matrix multiplication.

$I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \in SL_2(\mathbb{R})$  since  $\det(I) = 1$

$$A^{-1} = \frac{1}{ad - bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} \in SL_2(\mathbb{R}) \text{ since } \det(A^{-1}) = da - (-c)(-b) = ad - bc = 1$$

Finally, we must show that multiplication is closed. I.e., the product of two matrices of determinat 1 also has det 1.

$\det(AB) = \det(A)\det(B) = 1 \cdot 1 = 1 \quad \checkmark$

The group  $SL_2(\mathbb{R})$  is called the special linear group.

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Note A subset  $H$  of a group  $G$  can be a group without being a subgroup of  $G$   
For  $H$  to be a subgroup of  $G$  it must have  $G$ 's binary operation

Example The set of all  $2 \times 2$  matrices  $M_2(\mathbb{R})$  is a group under addition  
 $GL_2(\mathbb{R})$  is a subset of  $M_2(\mathbb{R})$  and is a group under matrix multiplication but it is not  
a subgroup of  $M_2(\mathbb{R})$ .

If we add two invertible matrices, we do not necessarily get another invertible matrix

e.g. 
$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \neq GL_2(\mathbb{R}).$$

## CHAPTER 4 : Cyclic groups

### Section 4.1. Cyclic subgroups

Sometimes a subgroup will depend on a single element of the group.

i.e. knowing that particular element will allow us to compute any other element in the subgroup

Example Consider  $3 \in \mathbb{Z}$  and look at all multiples of 3 (both +ve and -ve)

This set is  $3\mathbb{Z} = \{ \dots, -6, -3, 0, 3, 6, \dots \}$

Let's check that  $3\mathbb{Z}$  is a subgroup of  $\mathbb{Z}$ .

Identity : +0

Inverse :  $a \in 3\mathbb{Z} \Rightarrow -a$  is the inverse

Closure  $\checkmark$

This subgroup is completely determined by the element 3 since we can obtain all of other elements of the group by taking multiples of 3.

Every element in the subgroup is "generated" by 3.

Theorem 4.3 let  $G$  be a group and  $a$  be any element in  $G$ . Then the set

$$\langle a \rangle = \{a^k \cdot k \in \mathbb{Z}\}$$

is a subgroup of  $G$ .

Proof. The identity is in  $\langle a \rangle$  since  $a^0 = e$

. The set  $\langle a \rangle$  is closed under multiplication since if  $a^m, a^n \in \langle a \rangle$ , for  $m, n \in \mathbb{Z}$ , then  $a^m a^n = a^{m+n} \in \langle a \rangle$ .

. If  $g = a^n \in \langle a \rangle$  then the inverse  $g^{-1} = (a^n)^{-1} = a^{-n} \in \langle a \rangle$

Any subgroup  $H$  of  $G$  containing  $a$  must contain all the powers of  $a$  by closure. Thus  $H$  contains  $\langle a \rangle$ . □

Note If we are using addition, as in the case of the integers under addition, we write  $\langle a \rangle = \{na : n \in \mathbb{Z}\}$ .

The subgroup  $\langle a \rangle$  is called the cyclic subgroup of  $G$  generated by  $a$ . In the case that  $G = \langle a \rangle$ , we say that  $G$  is cyclic and that  $a$  is a generator of  $G$ .

Note that a cyclic group may have many generators.

Also, since  $a^i a^j = a^{i+j} = a^{j+i} = a^j a^i$ , every cyclic group is abelian.

Example In  $U(10)$  we have the elements  $\{1, 3, 7, 9\}$   $a \in \mathbb{Z}_n$  s.t.  
 $\gcd(a, n) = 1$

This is also  $\langle 3 \rangle$ . 3 is a generator of  $U(10)$

$$3^1 = 3, \quad 3^2 = 9, \quad 3^3 = 7, \quad 3^4 = 1, \quad 3^5 = 3^4 \cdot 3 = 1 \cdot 3, \quad 3^6 = 3^5 \cdot 3 = 3 \cdot 3 = 9, \dots$$

Example  $\mathbb{Z}$  is cyclic

Consider the group  $\mathbb{Z}$ , using the standard operation of addition of integers. Since the operation is denoted additively rather than multiplicatively, we must consider multiples rather than powers. Thus  $\mathbb{Z}$  is cyclic if and only if  $\exists$  an integer  $a$  s.t.  $\mathbb{Z} = \{ na : n \in \mathbb{Z} \}$  Either  $a = 1$  or  $a = -1$  will satisfy the condition. So  $\mathbb{Z}$  is cyclic with generators  $1$  or  $-1$ .

Example.  $\mathbb{Z}_n$  is cyclic

The additive group  $\mathbb{Z}_n$  of integers modulo  $n$  is also cyclic generated by  $[1]$ , since each congruence class can be expressed as a finite sum of  $[1]$ 's. Precisely,  $[k] = k[1]$ .

It is interesting to determine all possible generators of  $\mathbb{Z}_n$ .

If  $[a]$  is a generator of  $\mathbb{Z}_n$ , then in particular  $[1]$  must be a multiple of  $[a]$ . On the other hand, if  $[1]$  is a multiple of  $[a]$ , then certainly every other congruence class mod  $n$  is also a multiple of  $[a]$ . Thus, to determine all of the generators of  $\mathbb{Z}_n$  we only need to determine the integers  $a$  s.t. some multiple of  $a$  is congruent to  $1$ . These are precisely the integers that are relatively prime to  $n$ ,  $\gcd(a, n) = 1$ .

The elements of  $\mathbb{Z}_6$  are  $\{0, 1, 2, 3, 4, 5\}$ .  $\mathbb{Z}_6$  is a group under addition.

Is  $5$  a generator of  $\mathbb{Z}_6$ ?  $\langle 5 \rangle = \{ k5 : k \in \mathbb{Z} \}$

$$5(1) = 5, 5(2) = 4, 5(3) = 3, 5(4) = 2, 5(5) = 1 \pmod 6$$

Is  $3$  a generator of  $\mathbb{Z}_6$ ?  $3(1) = 3, 3(2) = 0, 3(3) = 3, 3(4) = 0, \dots$   $\langle 3 \rangle = \{0, 3\}$

The cyclic subgroup generated by  $3$  is  $\langle 3 \rangle = \{0, 3\}$  No!

Example Sometimes  $(\mathbb{Z}_n, \times) = U(n)$  is cyclic sometimes not.

First consider  $(\mathbb{Z}_5, \times)$ . We have  $[2]^1 = [2], [2]^2 = [4], [2]^3 = [3], [2]^4 = [1]$   
Thus, each element of  $(\mathbb{Z}_5, \times)$  is generated from  $[2]$  (i.e. each element of  $U(5)$  is a power of  $[2]$ ) showing that the group is cyclic. We write  $U(5) = \langle [2] \rangle$ .

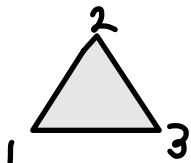
You can also show that  $[3]$  is a generator

But note that  $[4]$  is not a generator, since  $[4]^1 = [4], [4]^2 = [1], [4]^3 = [4], \dots$   
Thus  $\langle [4] \rangle = \{ [1], [4] \} \neq \mathbb{Z}_5^\times$ .

Next, consider  $\mathbb{Z}_8^\times = \{ [1], [3], [5], [7] \} = U(8)$

The square of each element is the identity, so we have  $\langle [3] \rangle = \{ [1], [3] \}$   
 $\langle [5] \rangle = \{ [1], [5] \}$  and  $\langle [7] \rangle = \{ [1], [7] \}$ . So  $U(8)$  is not cyclic

Example  $S_3$  — the group of symmetries of an equilateral triangle — is not cyclic



Let's recall the symmetries, there are 6 of them.

- id = (1)
- $\rho_1 = (1, 2, 3)$
- $\rho_2 = (1, 3, 2)$
- $\mu_1 = (2, 3)$
- $\mu_2 = (1, 3)$
- $\mu_3 = (1, 2)$ .

The subgroups are

- $\langle (1) \rangle = \{ (1) \}$
- $\langle (1, 2, 3) \rangle = \{ (1), (1, 2, 3), (1, 3, 2) \} = \langle (1, 3, 2) \rangle$
- $\langle (2, 3) \rangle = \{ (1), (2, 3) \}$
- $\langle (1, 3) \rangle = \{ (1), (1, 3) \}$
- $\langle (1, 2) \rangle = \{ (1), (1, 2) \}$ .

Since no cyclic subgroup is equal to all of  $S_3$ , it is not cyclic.

That is, we have shown that there is no permutation  $\sigma$  in  $S_3$  s.t.  $S_3 = \langle \sigma \rangle$ .

Proposition Let  $G$  be a group and let  $a \in G$ .

If  $K$  is any subgroup of  $G$  s.t.  $a \in K$ , then  $\langle a \rangle \subseteq K$ .

Proof If  $K$  is any subgroup that contains  $a$ , then it must contain all positive powers of  $a$  since it is closed under multiplication.

It also contains  $a^0 = e$  and if  $n < 0$  then  $a^n \in K$  since  $a^n = (a^{-n})^{-1}$ .

Thus  $\langle a \rangle \subseteq K$ .  $\square$

Example In the multiplicative group  $(\mathbb{C}, \times)$ , consider the powers of  $i$ .

We have  $i^2 = -1$ ,  $i^3 = -i$ ,  $i^4 = 1$ .

From this point on, the powers repeat, since  $i^5 = ii^4 = i$ ,  $i^6 = ii^5 = -1$ , etc

For negative powers we have  $i^{-1} = \frac{1}{i} \cdot \frac{i}{i} = -i$ ,  $i^{-2} = -1$ , and  $i^{-3} = i$ . Again, from this point on the powers repeat.

Thus, we have  $\langle i \rangle = \{1, i, -1, -i\}$

The situation changes when we consider  $\langle 2i \rangle$  In this case the powers of  $2i$  are all distinct, and the subgroup generated by  $2i$  is infinite

$$\langle 2i \rangle = \left\{ \dots, \frac{1}{16}, \frac{1}{8}i, -\frac{1}{4}, -\frac{1}{2}i, 1, 2i, -4, -8i, 16, 32i, \dots \right\}$$

Theorem 4.10 Every subgroup of a cyclic group is cyclic.

Proof We'll use the division algorithm & the Principle of well-ordering

Let  $G$  be a cyclic group generated by  $a$ . So  $G = \langle a \rangle$ .

Suppose also that  $H$  is a subgroup of  $G$ . If  $H = \{e\}$ , then  $H$  is cyclic trivially,  $H = \langle e \rangle$

Suppose that  $H$  contains some element  $g$ ,  $g \neq e$ . Then it can be written as  $g = a^n$  for  $n \in \mathbb{Z}$ . Since  $H$  is a subgroup,  $g^{-1} = (a^n)^{-1} = a^{-n} \in H$ , also. ( $n \neq 0$ )

Since  $H$  contains both  $a^n$  and  $a^{-n}$ , we can assume that  $H$  contains some power

$a^k$  with  $k > 0$ . Let  $m$  be the smallest natural number s.t.  $a^m \in H$ .

[We know by the Well-ordering principle that such an  $m$  exists.]

Well Ordering Principle: Every nonempty set of positive integers contains a smallest member.

We claim that  $h = a^m$  is a generator for  $H$ .

Thus we must show that every  $h' \in H$  can be written as a power of  $h$ .

Since  $h' \in H$  and  $H$  is a subgroup of  $G$ ,  $h' = a^k$  for  $k \in \mathbb{Z}$ .  
since  $G = \langle a \rangle$

Using the division algorithm, we can find numbers  $q$  and  $r$  s.t.

$$k = mq + r \quad \text{where } 0 \leq r < m$$

Thus

$$\begin{aligned} a^k &= a^{mq+r} \\ &= a^{mq} a^r \\ &= (a^m)^q a^r \\ &= h^q a^r \end{aligned}$$

Thus  $a^k = h^q a^r \Rightarrow a^r = a^k h^{-q}$

Since  $a^k$  and  $h^{-q}$  are in  $H$ ,  $a^r$  must also be in  $H$ . This contradicts the definition of  $a^m$  as the smallest positive power of  $a$  in  $H$  unless  $r=0$ .

Thus,  $k = mq \Rightarrow h' = a^k = a^{mq} = (a^m)^q = h^q \in \langle a^m \rangle$ . ↳ from  $0 \leq r < m$

Thus  $H = \langle a^m \rangle$  and so  $H$  is cyclic. □

Prop 4.12 Let  $G$  be a cyclic group of order  $n$  and suppose that  $a$  is a generator of  $G$ . Then  $a^k = e \Leftrightarrow n | k$ .

Proof ( $\Rightarrow$ ) Suppose that  $a^k = e$ . By the division algorithm,

$$k = nq + r \quad \text{where } 0 \leq r < n$$

Thus

$$e = a^k = a^{nq+r} = a^{nq} a^r = e a^r = a^r$$

↑  
since  $G$  is of order  $n$ ,  $a^n = e$

Recall. If  $a$  is a generator of the cyclic group  $G$  then we define the order of  $a$  to be the smallest positive integer  $n$  s.t.  $a^n = e$ .

Since the smallest positive integer  $n$  s.t.  $a^n = e$  is  $n$ ,  $r = 0$ .

( $\Leftarrow$ ) If  $n$  divides  $k$ , then  $k = ns$  for some  $s \in \mathbb{Z}$ .

$$\text{Thus } a^k = a^{ns} = (a^n)^s = e^s = e$$

□

### Multiplicative group of complex numbers

The complex numbers are  $\mathbb{C} = \{a + ib : a, b \in \mathbb{R}\}$ , where  $i^2 = -1$ .

If  $z = a + ib$ ,  $a = \text{Re}(z)$ ,  $b = \text{Im}(z)$ .

Prop 4.20 let  $z = r(\cos \theta + i \sin \theta)$ ,  $w = s(\cos \phi + i \sin \phi)$  be two nonzero complex numbers. Then  $zw = rs(\cos(\theta + \phi) + i \sin(\theta + \phi))$ .

### Theorem 4.22 (De Moivre)

Let  $z = r(\cos \theta + i \sin \theta)$  be a nonzero complex number. Then

$$[r(\cos \theta + i \sin \theta)]^n = r^n (\cos(n\theta) + i \sin(n\theta)),$$

for  $n = 1, 2, \dots$

### The circle group and the roots of unity

The multiplicative group of the complex numbers denoted as  $\mathbb{C}^*$  has some interesting subgroups of finite order.

Consider the **circle group**  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$

Prop. 4.24 The circle group is a subgroup of  $\mathbb{C}^*$ .

← This is a direct result of prop. 4.20 above



Example Suppose that  $H = \{1, -1, i, -i\}$ . Then  $H$  is a subgroup of the circle group.

Identity: 1

Inverse  $z\bar{z} = 1 \Rightarrow z^{-1} = \bar{z}$ . So eq. inverse of  $i$  is  $-i$ .

Also,  $1, -1, i, -i$  are exactly the complex numbers that satisfy  $z^4 = 1$ .

The complex numbers satisfying the equation  $z^n = 1$  are called the  $n^{\text{th}}$  roots of unity

Theorem 4.25. If  $z^n = 1$ , then the  $n^{\text{th}}$  roots of unity are

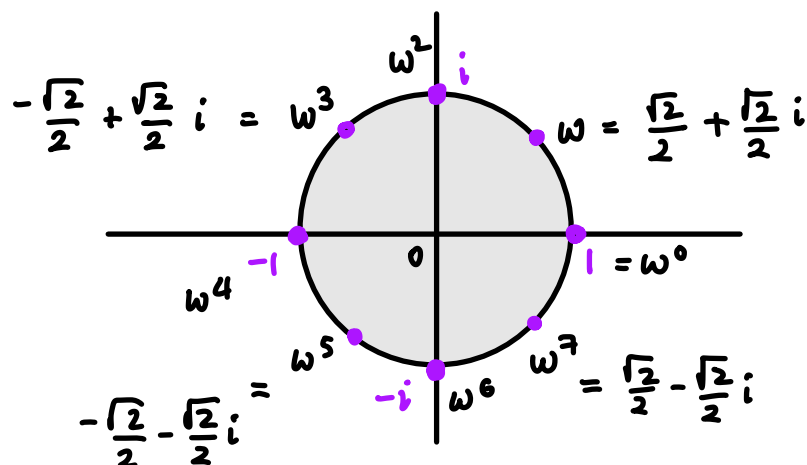
$$z = \cos\left(\frac{2k\pi}{n}\right) + i \sin\left(\frac{2k\pi}{n}\right)$$

for  $k = 0, 1, \dots, n-1$ .

Also, the  $n^{\text{th}}$  roots of unity form a cyclic subgroup of  $\mathbb{T}$  of order  $n$ .

A generator for the group of the  $n^{\text{th}}$  roots of unity is called a **primitive  $n^{\text{th}}$  root of unity**.

Example The 8<sup>th</sup> roots of unity can be represented as 8 equally spaced points on the unit circle.



## Chapter 5 : PERMUTATION GROUPS

Definition A **permutation** of a set  $A$  is a function from  $A$  to  $A$  that is both one-to-one and onto.

A **permutation group** of a set  $A$  is a set of permutations of  $A$  that forms a group under function composition.

Eg. We define a permutation  $\alpha$  of the set  $\{1, 2, 3, 4\}$  by specifying  $\alpha(1)=2$ ,  $\alpha(2)=3$ ,  $\alpha(3)=1$ ,  $\alpha(4)=4$ .

A convenient way to write  $\alpha$  is in array form as:  $\alpha = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 3 & 1 & 4 \end{bmatrix}$ .

Here  $\alpha(j)$  is placed directly below  $j$  for each  $j$ .

e.g. the permutation  $\beta$  of the set  $\{1, 2, 3, 4, 5, 6\}$  given by

$$\beta(1)=5, \beta(2)=3, \beta(3)=1, \beta(4)=6, \beta(5)=2, \beta(6)=4$$

can be expressed in array form as  $\beta = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 5 & 3 & 1 & 6 & 2 & 4 \end{pmatrix}$

As we saw earlier in the course, composition of permutations expressed in array notation is carried out from right to left by going from top to bottom, then again from top to bottom.

e.g. let  $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 4 & 3 & 5 & 1 \end{pmatrix}$  and  $\tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 4 & 1 & 2 & 3 \end{pmatrix}$ . Then

$$\begin{aligned} \tau\sigma &= \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 4 & 1 & 2 & 3 \end{pmatrix} \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 4 & 3 & 5 & 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 4 & 2 & 1 & 3 & 5 \end{pmatrix} \end{aligned}$$

### Example Symmetric Group $S_3$

Let  $S_3$  denote the set of all one-to-one functions from  $\{1, 2, 3\}$  to itself.

Then  $S_3$  under function composition is a group with six elements:

identity

$$e = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix}, \quad \alpha = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}, \quad \alpha^2 = \alpha \circ \alpha = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix}, \quad \beta = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix},$$

$$\alpha\beta = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix}, \quad \alpha^2\beta = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}.$$

If  $f : S_3 \rightarrow S_3$  is a permutation, then  $f^{-1}$  exists since  $f$  is one-to-one and onto; hence every permutation has an inverse.

Note that  $\beta\alpha = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} = \alpha^2\beta \neq \alpha\beta$ , so  $S_3$  is nonabelian.

□

Note also that the relation  $\beta\alpha = \alpha^2\beta$  can be used to compute other products in  $S_3$  without resorting to the arrays. For instance,

$$\beta\alpha^2 = (\beta\alpha)\alpha = (\alpha^2\beta)\alpha = \alpha^2(\beta\alpha) = \alpha^2(\alpha^2\beta) = \alpha^4\beta = \alpha\beta.$$

This example can be generalized to the symmetric group  $S_n$ .

Let  $A = \{1, 2, \dots, n\}$ . The set of all permutations of  $A$  is called the symmetric group of degree  $n$  and is denoted by  $S_n$ . Elements of  $S_n$  have the form

$$\alpha = \begin{bmatrix} 1 & 2 & \dots & n \\ \alpha(1) & \alpha(2) & \dots & \alpha(n) \end{bmatrix}$$

We can also compute the order of  $S_n$ . There are  $n$  choices for  $\alpha(1)$

Once  $\alpha(1)$  has been determined, we have  $n-1$  possibilities for  $\alpha(2)$

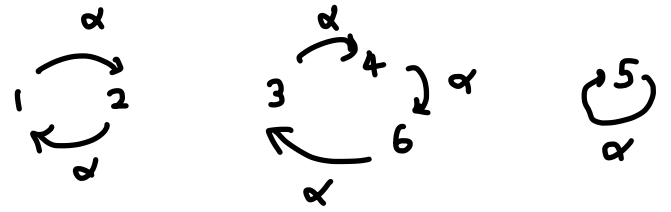
(note that since  $\alpha$  is one-to-one, we must have  $\alpha(1) \neq \alpha(2)$ )

After choosing  $\alpha(2)$ , there are exactly  $n-2$  possibilities for  $\alpha(3)$

Continuing like this, we see that  $S_n$  has  $n(n-1) \cdot \dots \cdot 3 \cdot 2 \cdot 1 = \textcircled{n!}$  elements.

Cycle notation As we've already briefly seen, there is another notation commonly used to specify permutations. It is called cycle notation and was introduced by Cauchy in 1815.

eg Consider the permutation  $\alpha = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 2 & 1 & 4 & 6 & 5 & 3 \end{pmatrix}$ . Schematically this is



We leave out the arrows and instead simply write  $\alpha = (1\ 2)(3\ 4\ 6)(5)$ .

An expression of the form  $(a_1, a_2, \dots, a_m)$  is called a cycle of length m or an m-cycle.

A multiplication of cycles can be introduced by thinking of a cycle as a permutation that fixes any symbol not appearing in the cycle.

Thus  $(4, 6)$  can be thought of as representing  $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 2 & 3 & 6 & 5 & 4 \end{pmatrix}$ .

e.g. Consider the following example from  $S_8$  let  $\alpha = (1\ 3)(2\ 7)(4\ 5\ 6)(8)$  and  $\beta = (1\ 2\ 3\ 7)(6\ 4\ 8)(5)$ .

What is the cycle form of  $\alpha\beta$ ?

Going from right to left:  $(5)$  fixes 1 } in  $\beta$   
 $(6\ 4\ 8)$  fixes 1 }  
 $(1\ 2\ 3\ 7)$  sends 1 to 2 }  
  
 $(8)$  fixes 2 } in  $\alpha$   
 $(4\ 5\ 6)$  fixes 2 }  
 $(2\ 7)$  sends 2 to 7 }

as soon as you encounter within a cycle a diff. element go to the next cycle.

Thus we begin  $\alpha\beta = (1\ 7\ \dots)\dots$



For definiteness, let us say that  $\alpha$  and  $\beta$  are permutations of the set

$$S = \{a_1, a_2, \dots, a_k, b_1, b_2, \dots, b_m, c_1, c_2, \dots, c_l\}$$

where the  $c$ 's are the members of  $S$  left fixed by both  $\sigma$  and  $\tau$  (there may not be any  $c$ 's).

To prove that  $\sigma\tau = \tau\sigma$ , we must show that  $(\sigma\tau)(x) = (\tau\sigma)(x) \quad \forall x \in S$ .

If  $x$  is one of the  $a$  elements, say  $a_i$ , then

$$(\sigma\tau)(a_i) = \sigma(\tau(a_i)) = \sigma(a_i) = a_{i+1}$$

↑  
since  $\tau$  fixes all  $a$  elements.

(Note. We interpret  $a_{i+1}$  as  $a_1$  if  $i=k$ )

For the same reason  $(\tau\sigma)(a_i) = \tau(\sigma(a_i)) = \tau(a_{i+1}) = a_{i+1}$ .

Therefore, the functions  $\sigma\tau$  and  $\tau\sigma$  agree on the  $a$  elements. A similar argument shows that  $\sigma\tau$  and  $\tau\sigma$  agree on the  $b$  elements as well.

Now, suppose that  $x$  is a  $c$  element, say  $c_i$ . Then, since both  $\sigma$  and  $\tau$  fix  $c$  elements, we have

$$(\sigma\tau)(c_i) = \sigma(\tau(c_i)) = \sigma(c_i) = c_i$$

and  $(\tau\sigma)(c_i) = \tau(\sigma(c_i)) = \tau(c_i) = c_i$

This completes the proof.



Theorem 5.9 Every permutation of a finite set can be written as a cycle or as a product of disjoint cycles.

Proof. Let  $\sigma$  be a permutation on  $A = \{1, 2, \dots, n\}$ . To write  $\sigma$  in disjoint cycle form, we start by choosing any member of  $A$ , say  $a_1$ , and let

$$a_2 = \sigma(a_1), \quad a_3 = \sigma(a_2) = \sigma(\sigma(a_1)) = \sigma^2(a_1)$$

and so on, until we arrive at  $a_1 = \sigma^m(a_1)$  for some  $m$ .

We know that such an  $m$  exists because the sequence  $a_1, \sigma(a_1), \sigma^2(a_1), \dots$  must be finite, so there must be a repetition, say  $\sigma^i(a_1) = \sigma^j(a_1)$  for some  $i$  and  $j$  with  $i < j$ .

Then  $a_1 = \sigma^m(a_1)$  where  $m = j - i$ . We express this relationship as

$$\sigma = (a_1 a_2 a_3 \dots a_m) \dots$$

↳ this indicates the possibility that we may not have exhausted the set  $A$  in the process.

We now choose any element  $b_1$  of set  $A$  not appearing in the first cycle and proceed to create a new cycle as before. Thus, we let

$$b_2 = \sigma(b_1), \quad b_3 = \sigma(b_2) = \sigma(\sigma(b_1)) = \sigma^2(b_1) \quad \text{etc}$$

until we reach  $b_1 = \sigma^k(b_1)$  for some  $k$ . This new cycle will have no elements in common with the previously constructed cycle. For, if so, then  $\sigma^i(a_1) = \sigma^j(b_1)$  for some  $i$  and  $j$ . But then  $\sigma^{i-j}(a_1) = b_1$ , and thus  $b_1 = a_t$  for some  $t$ .

This contradicts the way  $b_1$  was chosen.

Continuing this process until we run out of elements of  $A$ , our permutation will appear as

$$\sigma = (a_1 a_2 \dots a_m)(b_1 b_2 \dots b_k) \dots (c_1 c_2 \dots c_s)$$

Thus, every permutation can be written as a product of disjoint cycles.

□

Example. let  $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 6 & 4 & 3 & 1 & 5 & 2 \end{pmatrix}$  and  $\tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 2 & 1 & 5 & 6 & 4 \end{pmatrix}$

$$\sigma = (1 6 2 4)(3)(5) = (1 6 2 4)$$

$$\tau = (1 3)(2)(4 5 6) = (1 3)(4 5 6)$$

$$\sigma\tau = (1 3 6)(2 4 5)$$

$$\tau\sigma = (1 4 3)(2 5 6)$$

# Transpositions

Definition The simplest permutation is a cycle of length 2. Such cycles are called transpositions.

Prop. 5.12 Any permutation of a finite set containing at least two elements can be written as the product of transpositions.

Proof First note that the identity can be expressed as  $(1\ 2)(1\ 2)$  and so it is a product of 2-cycles. By thm 5.9, we know that every permutation can be written in the form

$$(a_1\ a_2 \dots a_m)(b_1\ b_2 \dots b_k) \dots (c_1\ c_2 \dots c_s)$$

A direct computation shows that this is the same as

$$(a_1\ a_m)(a_1\ a_{m-1}) \dots (a_1\ a_2)(b_1\ b_k)(b_1\ b_{k-1}) \dots (b_1\ b_2) \\ \dots (c_1\ c_s)(c_1\ c_{s-1}) \dots (c_1\ c_2)$$

□

Example  $(1\ 2\ 3\ 4\ 5) = (1\ 5)(1\ 4)(1\ 3)(1\ 2)$  on the right-most 2-cycle write the 2<sup>nd</sup> element & then proceed accordingly

$$(1\ 6\ 3\ 2)(4\ 5\ 7) = (1\ 2)(1\ 3)(1\ 6)(4\ 7)(4\ 5)$$

↑  
start w/ first element of the cycle on the right

Lemma 5.14 If the identity is written as the product of  $r$  transpositions,

$$e = \tau_1 \tau_2 \dots \tau_r$$

then  $r$  is an even number.

Proof. Left as an exercise. Use proof by induction



### Finding inverses of permutations

Given  $\sigma = \begin{pmatrix} 1 & 2 & \dots & n \\ \sigma(1) & \sigma(2) & \dots & \sigma(n) \end{pmatrix}$  in  $S_n$  it is easy to compute  $\sigma^{-1}$ .

To find  $\sigma^{-1}(j)$  we find  $j$  in the second row of  $\sigma$ , say  $j = \sigma(i)$ . The inverse of  $\sigma$  must reverse this assignment and so under  $j$  we write  $i$ , giving  $\sigma^{-1}(j) = i$ . This can be accomplished by turning the two rows of  $\sigma$  upside down and then rearranging terms.

eg If  $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 4 & 3 & 1 & 2 \end{pmatrix}$  then  $\sigma^{-1} = \begin{pmatrix} 4 & 3 & 1 & 2 \\ 1 & 2 & 3 & 4 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 3 & 4 & 2 & 1 \end{pmatrix}$

In cycle notation  $\sigma = (1\ 4\ 2\ 3)$  and  $\sigma^{-1} = (1\ 3\ 2\ 4) = (3\ 2\ 4\ 1)$

Thus to compute the inverse of a cycle, we just reverse the order of the cycle, since  $(\sigma_1\ \sigma_2\ \dots\ \sigma_m)(\sigma_m\ \sigma_{m-1}\ \dots\ \sigma_1) = (i)$ .

Theorem 5.15. If a permutation  $\sigma$  can be expressed as the product of an even number of transpositions, then any other product of transpositions equaling  $\sigma$  must also contain an even number of transpositions. Similarly for the odd case.

Proof Suppose that

$$\sigma = \sigma_1 \sigma_2 \dots \sigma_m = \tau_1 \tau_2 \dots \tau_n$$

where  $m$  is even. We must show that  $n$  is also an even number.

The inverse of  $\sigma$  is  $\sigma_m \dots \sigma_1$ . Since

$$e = \sigma \sigma_m \dots \sigma_1 = \tau_1 \dots \tau_n \sigma_m \dots \sigma_1$$

$n$  must be even by Lemma 5.14.

for  $n+m = \text{even}$  when  $m = \text{even}$   
 $\Rightarrow n$  has to be even.

Definition A permutation that can be expressed as a product of an even number of 2-cycles is called an **even** permutation.

A permutation that can be expressed as a product of an odd number of 2-cycles is called an **odd** permutation

Definition The group of even permutations of  $n$  symbols is denoted by  $A_n$  and is called the **alternating group of degree  $n$**

Theorem 5.16 The set  $A_n$  is a subgroup of  $S_n$

Proof Since the product of two even permutations must also be even,  $A_n$  is closed. The identity is an even permutation by lemma 5.14 and so the identity is in  $A_n$ .

If  $\sigma$  is an even permutation, then  $\sigma = \sigma_1 \sigma_2 \dots \sigma_r$  where  $\sigma_i$  is a transposition and  $r$  is even. Since  $\sigma^{-1} = \sigma_r \sigma_{r-1} \dots \sigma_1$  [with the inverse of any transposition being itself] we have  $\sigma^{-1} \in A_n$ . □

The next result shows that exactly half of the elements of  $S_n$  ( $n > 1$ ) are even permutations.

Prop 5.17 For  $n \geq 2$ ,  $A_n$  has order  $\frac{n!}{2}$ .

This statement is the same as: The number of even permutations in  $S_n$ ,  $n \geq 2$  is equal to the number of odd permutations.

Proof let  $A_n$  be the set of even permutations in  $S_n$  and  
let  $B_n$  // odd //

If we show that there is a bijection between these sets, they must contain

the same number of elements.

Fix a transposition  $\sigma$  in  $S_n$ . Since  $n \geq 2$  such a  $\sigma$  exists.

Now define  $\lambda_\sigma : A_n \rightarrow B_n$  by  $\lambda_\sigma(\tau) = \sigma\tau$ .

Suppose that  $\lambda_\sigma(\tau) = \lambda_\sigma(\mu)$ . Then by the def<sup>n</sup> of  $\lambda_\sigma$  we have

$\sigma\tau = \sigma\mu$  and so  $\tau = \underbrace{\sigma^{-1}\sigma}_e \tau = \sigma^{-1} \sigma\tau = \underbrace{\sigma^{-1}\sigma}_e \mu = \mu$

since  $\sigma \in S_n$   
its inverse  $\sigma^{-1}$  is also in  $S_n$

Thus  $\lambda_\sigma$  is one-to-one.

Now we show that  $\lambda_\sigma$  is surjective. Let  $\beta \in B_n$ . Then  $\sigma^{-1}\beta$  is an even permutation since  $\sigma \in B_n$ .

↑  
set of odd permut.

↑  
odd permutation

( ) ( ) ( ) ( ) ( ) ( )  
odd odd  $\Rightarrow$  even

Thus  $\lambda_\sigma(\sigma^{-1}\beta) = \sigma\sigma^{-1}\beta = \beta$  ( $\sigma^{-1}\beta$  acts as  $\tau$  in  $\lambda_\sigma(\tau) = \sigma\tau$  above)

which proves that  $\lambda_\sigma$  is surjective.

Example The group  $A_4$  is the subgroup of  $S_4$  consisting of even permutations. □

There are 12 elements in  $A_4$ . ( $|A_4| = \frac{4!}{2} = 12$ )

As an exercise try to write these elements down.

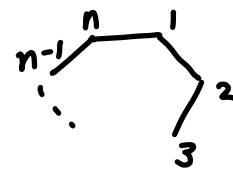
### Dihedral groups

Dihedral groups are special types of permutation groups.

Definition The  $n^{\text{th}}$  dihedral group is the group of rigid motions of a regular  $n$ -gon. (i.e.  $n$ -sided polygon). We denote this group by  $D_n$ .

We number the vertices of a regular  $n$ -gon by  $1, 2, \dots, n$

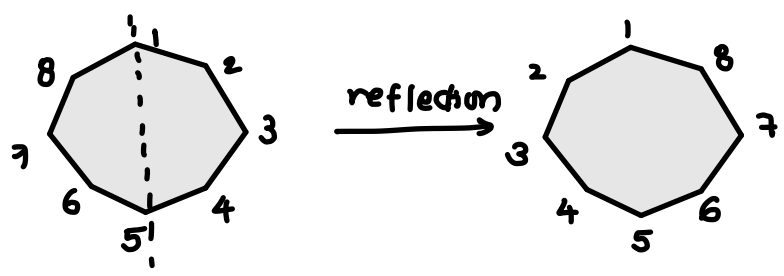
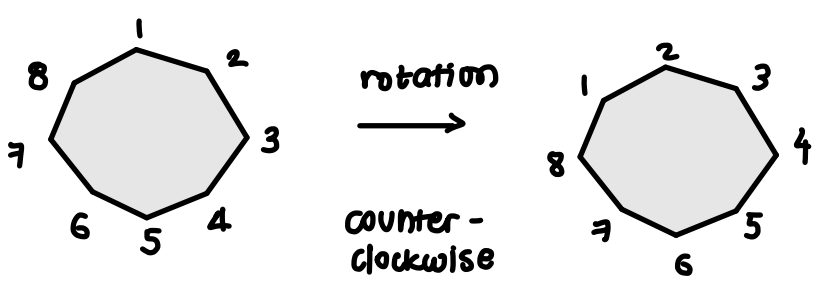
Note that there are exactly  $n$  choices to replace the first vertex. If we replace the 1st vertex by  $k$ , then the 2nd vertex must be replaced by either  $k-1$  or  $k+1$ . Hence there are  $2n$  possible rigid motions of the  $n$ -gon.



Remark. A rigid motion preserves the side lengths & angle measures of the polygon

Theorem 5.20 The dihedral group  $D_n$  is a subgroup of  $S_n$  of order  $2n$ .

Example



Theorem 5.23 The group  $D_n$ ,  $n \geq 3$  consists of all products of the two elements  $r$  and  $s$ , satisfying the relations

$$\begin{matrix} r^n = 1 \\ s^2 = 1 \\ srs = r^{-1} \end{matrix}$$

Proof The possible motions of a regular  $n$ -gon are either reflections or rotations. There are exactly  $n$  possible rotations:

$$e, \frac{360^\circ}{n}, 2\left(\frac{360^\circ}{n}\right), \dots, (n-1)\left(\frac{360^\circ}{n}\right)$$

We will denote the rotation  $\frac{360^\circ}{n}$  by  $r$ . We note that the rotation  $r$

generates all of the other rotations. In other words

$$r^k = k \left( \frac{360^\circ}{n} \right)$$

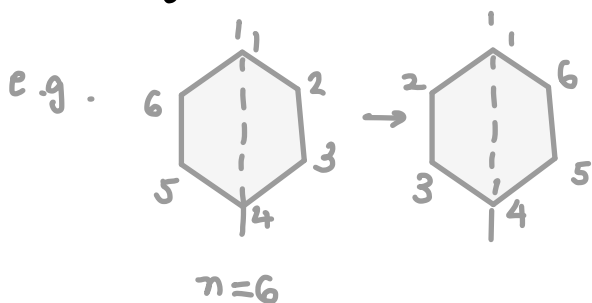
We label the  $n$  reflections  $s_1, s_2, \dots, s_n$ , where  $s_k$  is the reflection that leaves vertex  $k$  fixed. There are 2 cases of reflections depending on whether

$n$  is even

or

$n$  is odd

If there are an even number of vertices then two vertices are left fixed by a reflection

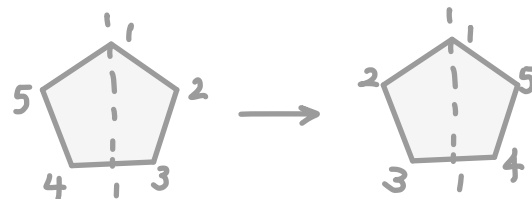


and  $s_1 = s_{\left(\frac{n}{2}+1\right)}, s_2 = s_{\left(\frac{n}{2}+2\right)}, \dots, s_m = s_n$

↑  
this leaves vertex 1 and  $\frac{n}{2}+1=4$  fixed

↑  
this leaves vertex 3 and 6 fixed.

If there are an odd number of vertices then only a single vertex is left fixed by a reflection and  $s_1, s_2, \dots, s_n$  are distinct



there are also reflections through the edges that are combinations of reflections from the vertices w/ rotations

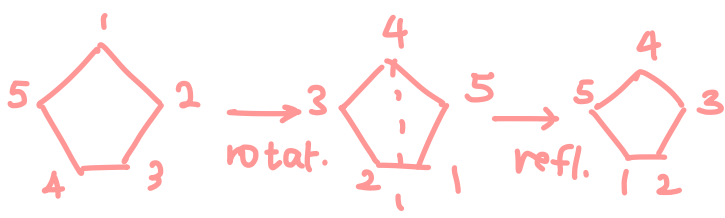
In either case, the order of each  $s_k$  is two

How many times we need to iterate this operation to go back to the identity? 2

let  $s = s$ , Then  $s^2 = 1$  and  $r^n = 1$

Since any rigid motion  $t$  of the  $n$ -gon replaces the first vertex by vertex  $k$ , the 2nd vertex must be replaced by  $k-1$  or  $k+1$

If the 2nd vertex is replaced by  $k-1$  then  $t = sr^k$  rotation & then refl.  
 //  $k+1$  then  $t = r^k$  just rotation

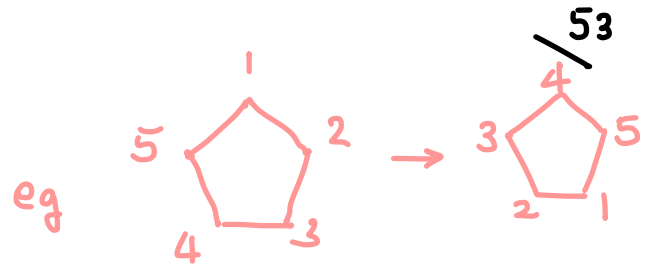


$$(1\ 4)(2\ 3)(5)$$

1 replaced by 4  $(k)$

2 replaced by 3  $(k-1)$

Other examples also exist.



rotation:  $(1\ 3\ 5\ 2\ 4)$

1 replaced by 4  $(k)$

2 replaced by 5  $(k+1)$

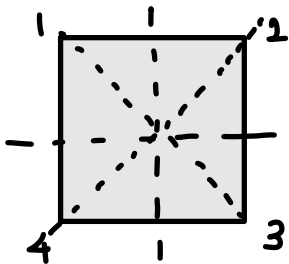
Thus  $r$  and  $s$  generate  $D_n$ .

i.e.  $D_n$  consists of all finite products of  $r$  and  $s$

$$D_n = \{1, r, r^2, \dots, r^{n-1}, s, sr, sr^2, \dots, sr^{n-1}\}.$$

Think of how  $D_4$  is different than  $S_4$ .

Example The group of rigid motions of a square  $D_4$  consists of eight elements.



The group  $D_4$

The rotations are

$$\begin{aligned} r &= (1\ 2\ 3\ 4) && : 90^\circ \\ r^2 &= (1\ 3)(2\ 4) && : 180^\circ \\ r^3 &= (1\ 4\ 3\ 2) && : 270^\circ \\ r^4 &= (1) \end{aligned}$$

and the reflections are

$$\begin{aligned} s_1 &= (2\ 4) \\ s_2 &= (1\ 3) \end{aligned}$$

reflections through vertices

But since  $|D_4| = 2(4) = 8$ , there are still two elements.

Those are  $rs_1 = (1\ 2\ 3\ 4)(2\ 4) = (1\ 2)(3\ 4)$

and  $r^3s_1 = (1\ 4\ 3\ 2)(2\ 4) = (1\ 4)(2\ 3)$

all the reflections that pass from the edges rather than the vertices are combinations of  $s_1, s_2$  and rotations

# CHAPTER 6 Cosets and Lagrange's theorem

Definitions Let  $G$  be a group and  $H$  a subgroup of  $G$ . We define a **left coset** of  $H$  with **representative**  $g \in G$  to be the set

$$gH = \{gh : h \in H\}$$

and similarly, **right cosets** as

$$Hg = \{hg : h \in H\}.$$

If left and right cosets coincide we will use "coset" w/o specifying left or right.

Example. Let  $H$  be the subgroup of  $\mathbb{Z}_6$  under addition consisting of the elements 0 and 3. We recall that the elements of  $(\mathbb{Z}_6, +)$  are  $\{0, 1, 2, 3, 4, 5\}$ .  
*these are the h's* *these are the g's*  
Thus the left cosets are

$0+H,$	$1+H,$	$2+H,$	$3+H,$	$4+H,$	$5+H$
$= \{0, 3\}$	$= \{1, 4\}$	$= \{2, 5\}$	$= \{3, 6\}$	$= \{4, 1\}$	$= \{5, 2\}$
<i>since</i> $H = \{0, 3\}$			$= \{3, 0\}$		
			<i>since</i> mod 6		
				$H = \{0, 3\}$	

$$G = \{0, 1, 2, 3, 4, 5\}$$

Thus

$$0+H = 3+H = \{0, 3\}$$

$$1+H = 4+H = \{1, 4\}$$

$$2+H = 5+H = \{2, 5\}$$

Example Let  $H$  be the subgroup of  $S_3$  defined by the permutations  $\{(1), (1\ 2\ 3), (1\ 3\ 2)\}$ . The elements of  $S_3$  are  $\{(1), (1\ 2), (1\ 3), (2\ 3), (1\ 2\ 3), (1\ 3\ 2)\}$

$$H = \{(1), (1\ 2\ 3), (1\ 3\ 2)\}$$

$$|S_3| = 3! = 6 \checkmark$$

Thus the left cosets of  $H$  are

$$g \in S_3.$$

$$(1)H = \{(1), (1\ 2\ 3), (1\ 3\ 2)\}$$

$$(1\ 2)H = \{(1\ 2), (1)(2\ 3), (1\ 3)\}$$

*so we take each element  $g \in S_3$  and perform  $gH$ .*

Continuing like this we can show that

$$\begin{aligned}
& (123)H \\
& (123)(1) = (123) \\
& (123)(123) = (132) \\
& (123)(132) = (1)(2)(3) = (1)
\end{aligned}$$

$$(1)H = (123)H = (132)H = \{ (1), (123), (132) \}$$

$$\text{and } (12)H = (13)H = (23)H = \{ (12), (13), (23) \}$$

We can also show that the right cosets of  $H$  are exactly the same as the left cosets

$$H(13) = \{ (13), (1)(23), (12) \} \text{ etc.}$$

\* However, it's not always the case that a left coset is the same as a right coset.

Let  $K$  be a subgroup of  $S_3$  defined by the permutations  $\{ (1), (12) \}$ . The left cosets of  $K$  are

$$\begin{aligned}
(1)K &= (12)K = \{ (1), (12) \} \\
(13)K &= (123)K = \{ (13), (123) \} \\
(23)K &= (132)K = \{ (23), (132) \}
\end{aligned}$$

However, the right cosets are different.

$$\begin{aligned}
K(1) &= K(12) = \{ (1), (12) \} \\
K(13) &= K(132) = \{ (13), (132) \} \\
K(23) &= K(123) = \{ (23), (123) \}
\end{aligned}$$

Properties of cosets

Let  $H$  be a subgroup of  $G$  and let  $g_1$  and  $g_2$  belong to  $G$ . Then,

1.  $g_i \in g_i H$
2.  $g_1 H = H$  if and only if  $g_1 \in H$ .
3.  $g_1 H = g_2 H$  if and only if  $g_1 \in g_2 H$
4.  $g_1 H = g_2 H$  or  $g_1 H \cap g_2 H = \emptyset$
5.  $g_1 H = g_2 H$  if and only if  $g_1^{-1} g_2 \in H$



$$6. |g_1 H| = |g_2 H|$$

$$7. g_1 H = H g_1 \text{ if and only if } H = g_1 H g_1^{-1}$$

$$8. g_1 H \text{ is a subgroup of } G \text{ if and only if } g_1 \in H$$

Proof

$$1. g_1 \in g_1 H$$

$$1. g_1 = g_1 e \in g_1 H \quad e \in H.$$

$$2. g_1 H = H \text{ if and only if } g_1 \in H.$$

$$2. (\Rightarrow) \text{ We suppose that } g_1 H = H. \text{ Then } g_1 = g_1 e \in g_1 H = H$$

$$(\Leftarrow) \text{ Next, we assume that } g_1 \in H \text{ and show that } g_1 H \subseteq H \text{ and that}$$

$$H \subseteq g_1 H, \text{ which would imply that } g_1 H = H$$

If  $h \in H$  &  
 $g_1 \in H$  (by ass.)

The first inclusion follows directly from the closure of  $H$ .

To show that  $H \subseteq g_1 H$ , let  $h \in H$ . Then since  $g_1 \in H$  and  $h \in H$ ,

we know that  $g_1^{-1} \in H$ , and by closure  $g_1^{-1} h \in H$   $\hookrightarrow$  by assumption

$$\text{Thus } h = eh = g_1 g_1^{-1} h = g_1 (g_1^{-1} h) \in g_1 H$$

$$3. g_1 H = g_2 H \text{ if and only if } g_1 \in g_2 H$$

$$3. (\Rightarrow) \text{ If } g_1 H = g_2 H, \text{ then } g_1 = g_1 e \in g_1 H = g_2 H$$

$$(\Leftarrow) \text{ If } g_1 \in g_2 H \text{ we have } g_1 = g_2 h \text{ with } h \in H, \text{ and thus}$$

$$g_1 H = (g_2 h) H = g_2 (h H) = g_2 H$$

$$h H = \{ h h : h \in H \} \\ = H \quad \text{check!}$$

$$4. g_1 H = g_2 H \text{ or } g_1 H \cap g_2 H = \emptyset \quad \curvearrowright$$

Theorem 6.4 Let  $H$  be a subgroup of a group  $G$

Then the left cosets of  $H$  in  $G$  partition  $G$ . That is, the group  $G$  is the disjoint union of the left cosets of  $H$  in  $G$

4. This follows directly from property 3, for if there is an element

$$c \in g_1 H \cap g_2 H, \text{ then } cH = g_1 H \text{ and } cH = g_2 H$$

5.  $g_1H = g_2H$  if and only if  $g_1^{-1}g_2 \in H$

5. Check that it's true using property 2.

6.  $|g_1H| = |g_2H|$

6. To prove that  $|g_1H| = |g_2H|$ , it suffices to define a one-to-one mapping from  $g_1H$  onto  $g_2H$ .

Obviously, the correspondence  $g_1h \rightarrow g_2h$  maps  $g_1H$  onto  $g_2H$ . That it is one-to-one follows directly from the cancellation property.

7.  $g_1H = Hg_1$  if and only if  $H = g_1Hg_1^{-1}$

7. Note that  $g_1H = Hg_1$  if and only if  $(g_1H)g_1^{-1} = (Hg_1)g_1^{-1} = H(g_1g_1^{-1}) = H(e) = H$  if and only if  $g_1Hg_1^{-1} = H$ .

8.  $g_1H$  is a subgroup of  $G$  if and only if  $g_1 \in H$

8. If  $g_1H$  is a subgroup, then it contains the identity  $e$ .

Thus  $g_1H \cap eH \neq \emptyset$  and by property 4, we have  $g_1H = eH = H$

Therefore, from property 2, we have  $g_1 \in H$

Conversely, if  $g_1 \in H$ , then, again by property 2,  $g_1H = H$ .



Definition Let  $G$  be a group &  $H$  be a subgroup of  $G$ . The **index** of  $H$  in  $G$  is the **number of left cosets of  $H$  in  $G$** . We denote the index by  $[G:H]$ .

Example. Recall from before that for  $G = \mathbb{Z}_6^+$  =  $\{0, 1, 2, 3, 4, 5\}$  and  $H = \{0, 3\}$ ,

we found that the cosets are  
 $0+H = 3+H = \{0, 3\}$   
 $1+H = 4+H = \{1, 4\}$   
 $2+H = 5+H = \{2, 5\}$ .

Thus  $[G:H] = 3$  (# of left cosets)

Example. Also from before if  $G = S_3$ ,  $H = \{(1), (123), (132)\}$  and  $K = \{(1), (12)\}$ , then  $[G:H] = 2$  and  $[G:K] = 3$

Proposition 6.9 Let  $H$  be a subgroup of  $G$  with  $g \in G$  and define a map  $\phi: H \rightarrow gH$  by  $\boxed{\phi(h) = gh}$ . The map  $\phi$  is bijjective; thus the number of elements in  $H$  is the same as the number of elements in  $gH$

Proof. We first show  $\phi$  is one-to-one.

Suppose  $\phi(h_1) = \phi(h_2)$  for  $h_1, h_2 \in H$ . We must show  $h_1 = h_2$ . But  $\phi(h_1) = gh_1$  (by defn of  $\phi(h)$ ) and  $\phi(h_2) = gh_2$ .

Thus  $\phi(h_1) = \phi(h_2) \Rightarrow gh_1 = gh_2$

By the left cancellation property (i.e.  $ab = ac \Rightarrow b = c$ ) we have  $h_1 = h_2$ .

$\phi: H \rightarrow gH$

We now also show that  $\phi$  is onto. ( $\forall y \in gH \exists x \in H$  s.t.  $\phi(x) = y$ )

By definition of  $gH$ , every element of  $gH$  is of the form  $gh$  for some  $h \in H$ , and  $\phi(h) = gh$ . ✓

Theorem 6.10 **LAGRANGE** □

Let  $G$  be a finite group and let  $H$  be a subgroup of  $G$ .

Then  $\frac{|G|}{|H|} = [G:H]$  is the number of distinct left cosets of  $H$  in  $G$ .

In particular, the number of elements in  $H$  must divide the number of elements in  $G$ .

(i.e. the order of the subgroup  $H$  must divide the order of the group  $G$ )

Proof Since all the left cosets form a partition of  $G$  we only need to show that all the cosets have  $|H|$  elements. By the definition of index, there are  $[G:H]$  left cosets in total, so we finish the proof.

$$|G| = \underbrace{[G:H]}_{\text{index}} \cdot |H|$$

= # of left cosets  $H$  in  $G$

Corollary 6.11. Suppose that  $G$  is a finite group and  $g \in G$ .

Then the order of  $g$  must divide the number of elements in  $G$

Corollary 6.12. If  $|G| = p$  with  $p$  a prime number then  $G$  is cyclic and any  $g \in G$  s.t.  $g \neq e$  is a generator

Proof Let  $g \in G$  s.t.  $g \neq e$ . Consider the subgroup  $\langle g \rangle \leq G$ . Its size divides  $|G| = p$  by Lagrange's theorem, so it is 1 or  $p$ . But it's larger than 1 as it contains  $e$  and  $g$ . So  $|\langle g \rangle| = p$ . So  $|\langle g \rangle| = |G|$ . Thus the cyclic subgroup generated by  $g$  is equal to the group  $G$  itself. Hence  $G$  is generated by a single element  $g$  and is thus cyclic.

Recall  $\langle g \rangle = \{ng : n \in \mathbb{Z}\}$

Corollary 6.13 Let  $H$  and  $K$  be subgroups of a finite group  $G$  s.t.

$K \subset H \subset G$ . Then

$$[G:K] = [G:H][H:K]$$

Proof  $[G:K] = \frac{|G|}{|K|} = \frac{|G|}{|H|} \cdot \frac{|H|}{|K|} = [G:H][H:K]$

□

Note The converse of Lagrange's theorem is false.

The alternating group  $A_4$  has order  $|A_4| = \frac{4!}{2} = 12$ .

However it can be shown that it does not have a subgroup of order 6.

Lagrange's theorem implies that subgroups of a group of order 12 can have order 1, 2, 3, 4, 6.

However, we are not guaranteed that subgroups of every possible order exist.

To prove that  $A_4$  has no subgroup of order 6, we'll assume that it actually has such a subgroup and show that a contradiction must occur.

Recall that  $A_4$  is the set of all even permutations of  $S_4$ .

The 12 elements are

- (1), (12)(34), (13)(24), (14)(23), (123), (132), (124), (142), (134), (143), (234), (243)

every 3-cycle can be written as 2 2-cycles

↓  
e.g. (23)(24)

if we take a 3-cycle & combine it w/ any other 3-cycle we'll get a 3-cycle

Since  $A_4$  contains 8 3-cycles, we know that  $H$  must contain a 3-cycle.

We'll show that if  $H$  contains one 3-cycle then it must contain more than 6 elements

Prop 6.15. The group  $A_4$  has no subgroup of order 6

Proof We assume  $A_4$  has a subgroup  $H$  of order 6.

Then  $[A_4 : H] = \frac{12}{6} = 2$ , and so there are only two cosets of  $H$  in  $A_4$ .

One of the cosets is  $H$  itself. The right and left cosets must coincide.

Thus  $gH = Hg$ , which is equivalent to  $gHg^{-1} = H$  for every  $g \in A_4$ .

Since there are 8 3-cycles in  $A_4$ , at least one 3-cycle must be in  $H$

Wlog assume  $(123) \in H$ .

Then  $(123)^{-1} = (321) = (132) \in H$  also  
↑  
also rewritten as

Since  $ghg^{-1} \in H \quad \forall g \in A_4$  and all  $h \in H$

If we use  $h := (123) \in H$  and  $g = (124)$  for example, then we get

$$\begin{aligned}
 ghg^{-1} &= (124)(123)(124)^{-1} \\
 &= (124)(123)(421) \\
 &= (1)(243) \\
 &= (243)
 \end{aligned}$$

Similarly, if we use  $h = (123)$  still but pick  $g = (243)$  we get

$$\begin{aligned}
 ghg^{-1} &= (243)(123)(243)^{-1} \\
 &= (243)(123)(342) \\
 &= (142)
 \end{aligned}$$

We conclude that  $H$  must have at least 7 elements. Namely,

$$\begin{array}{cccc}
 (1), & (123), & (132), & (243), & (243)^{-1} = (324) = (234), \\
 \uparrow & \uparrow & \uparrow & \uparrow & \\
 e & h & h^{-1} & ghg^{-1} &
 \end{array}$$

$$\begin{array}{c}
 (142), \quad (142)^{-1} = (241) = (124) \\
 \uparrow \\
 ghg^{-1}
 \end{array}$$

 Contradiction

Thus,  $A_4$  has no subgroup of order 6

# CHAPTER 9: Isomorphisms

It turns out that many groups that appear to be different are actually the same by simply renaming the group elements. Specifically if we demonstrate a one-to-one correspondence between the elements of the two groups and between the group operations then we say that the groups are isomorphic.

*we use 2 different symbols here to show that the 2 groups can have different binary operations*

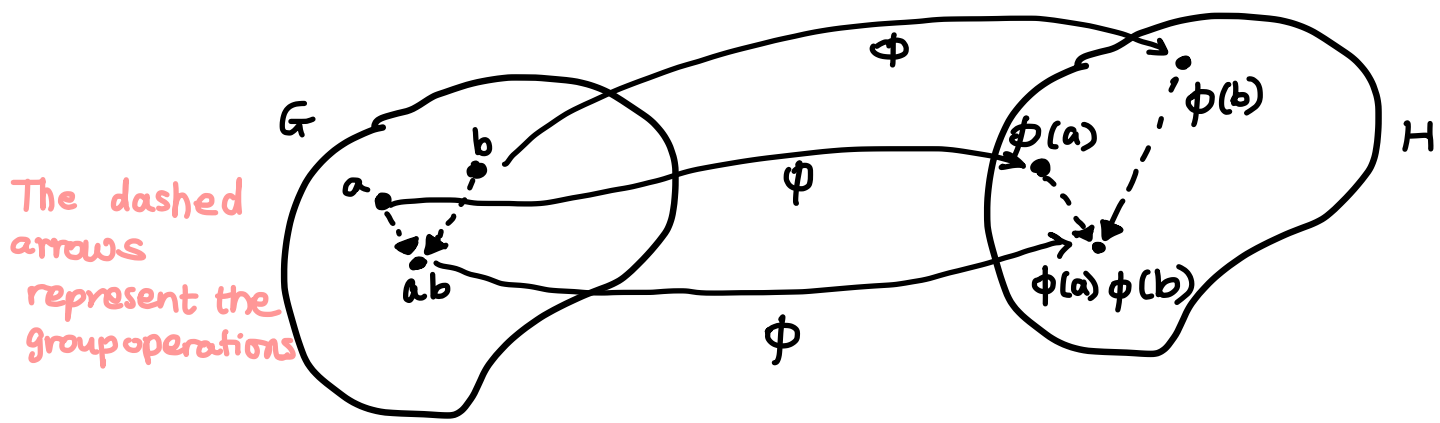
Definition. Two groups  $(G, \cdot)$  and  $(H, \circ)$  are **isomorphic** if there exists a one-to-one and onto map  $\phi : G \rightarrow H$  such that the group operation is preserved :

$$\phi(a \cdot b) = \phi(a) \circ \phi(b) \quad \forall a, b \in G.$$

*The name comes from Greek. ίσος = equal  
μορφή = form*

If  $G$  is isomorphic to  $H$ , we write  $G \cong H$ .

The map  $\phi$  is called an **isomorphism**.



It is implicit in the definition of isomorphism that isomorphic groups have the same order.

It is also implicit that the operation on the left hand side of the equality sign is that of  $G$  & the operation on the RHS is that of  $H$ .

We next show the four cases involving  $\cdot$  and  $+$ .

G operation	H operation	Operation Preservation
.	.	$\phi(a \cdot b) = \phi(a) \cdot \phi(b)$
.	+	$\phi(a \cdot b) = \phi(a) + \phi(b)$
+	.	$\phi(a + b) = \phi(a) \cdot \phi(b)$
+	+	$\phi(a + b) = \phi(a) + \phi(b)$

★ To prove that a group G is isomorphic to a group H, we must follow 4 ★ separate steps.

STEP 1. "Mapping" Define a candidate for the isomorphism. I.e. define a function  $\phi$  from G to H

STEP 2. "1-1" Prove that  $\phi$  is one-to-one. I.e. Assume  $\phi(a) = \phi(b)$  and prove that  $a = b$ .

STEP 3. "Onto" Prove  $\phi$  is onto. I.e. For any  $h \in H$ , find an element  $g \in G$  s.t.  $\phi(g) = h$ .

STEP 4. "Operation-preserving" Prove that  $\phi$  is operation-preserving. I.e. show that  $\phi(ab) = \phi(a)\phi(b) \forall a, b \in G$ .

In other words, this requires that one be able to obtain the same result by combining 2 elements & then mapping, or by mapping 2 elements and then combining them.

e.g. In calculus  $\int_a^b (f+g) dx = \int_a^b f dx + \int_a^b g dx$

circle group  $\overline{\pi}$  generated by  $i = \{1, -1, i, -i\}$

Example. To show that  $\mathbb{Z}_4 \cong \langle i \rangle$

We define a map  $\phi: \mathbb{Z}_4 \rightarrow \langle i \rangle$  by  $\phi(n) = i^n$ . We must show that  $\phi$  is bijective and preserves the group operation.

$(\mathbb{Z}_4, +) = \{0, 1, 2, 3\}$

The map  $\phi$  is one-to-one and onto because

$\phi(0) = i^0 = 1$   
 $\phi(1) = i^1 = i$   
 $\phi(2) = i^2 = -1$   
 $\phi(3) = i^3 = -i$



Since  $\phi(m+n) = i^{m+n} = i^m i^n = \phi(m)\phi(n)$ , the group operation is preserved.

$\uparrow$   
 group operation of  $G$   
 is  $+$

$\uparrow$   
 group operation of  $\langle i \rangle$   
 is  $\times$

Example. We can define an isomorphism  $\phi$  from the additive group of real numbers  $(\mathbb{R}, +)$  to be the multiplicative group of positive real numbers  $(\mathbb{R}^+, \times)$  with the exponential map. i.e.

$$\phi(x+y) = e^{x+y} = e^x e^y = \phi(x)\phi(y)$$

Show that  $\phi$  is bijective as an exercise.

Example. The integers are isomorphic to the subgroup of  $\mathbb{Q}^*$  that consists of elements of the form  $2^n$ .

We define a map  $\phi: \mathbb{Z} \rightarrow \mathbb{Q}^*$  by  $\phi(n) = 2^n$ . Then

$$\phi(m+n) = 2^{m+n} = 2^m 2^n = \phi(m)\phi(n)$$

$\forall 2^n \in \mathbb{Q}^* \exists n \in \mathbb{Z}$  s.t.  $\phi(n) = 2^n$  by definition of the map. Thus the map  $\phi$  is onto the subset  $\{2^n : n \in \mathbb{Z}\}$  of  $\mathbb{Q}^*$ .

Now we must show that  $\phi$  is also one-to-one.

We assume that  $m \neq n$ . So we must show that  $\phi(m) \neq \phi(n)$ . Suppose that  $m > n$  and assume that  $\phi(m) = \phi(n)$  [then we want to arrive at a contradiction]

Then  $\phi(m) = \phi(n)$  gives  $2^m = 2^n \Rightarrow 2^{m-n} = 1$ .

Since by assumption  $m > n \Rightarrow m-n > 0$ ,  $2^{m-n} = 1$  is impossible

Thus, if  $m \neq n$ , then  $\phi(m) \neq \phi(n)$  and  $\phi$  is one-to-one. ↙

□

Example: The groups  $(\mathbb{Z}_8, +)$  and  $(\mathbb{Z}_{12}, +)$  cannot be isomorphic because they have different orders.

However  $U(8) \cong U(12)$ .

Recall that  $U(8)$  is  $(\mathbb{Z}_8, +)$  but with  $a \in U(8)$  satisfying  $\gcd(a, 8) = 1$ .

Thus  $U(8) = \{1, 3, 5, 7\}$ .

Similarly,  $U(12) = \{1, 5, 7, 11\}$ .

We must find an isomorphism  $\phi : U(8) \rightarrow U(12)$ . One is given by

$$\begin{aligned} 1 &\mapsto 1 \\ 3 &\mapsto 5 \\ 5 &\mapsto 7 \\ 7 &\mapsto 11. \end{aligned}$$

Other possibilities also exist. Say  $\psi$  s.t.

$$\begin{aligned} 1 &\mapsto 1 \\ 3 &\mapsto 11 \\ 5 &\mapsto 5 \\ 7 &\mapsto 7 \end{aligned}$$

Example The symmetric group  $S_3$  and  $\mathbb{Z}_6$  have the same number of elements but  $\mathbb{Z}_6$  is abelian whereas  $S_3$  is nonabelian

Thus, one might suspect that the two groups are not isomorphic.

To show this is actually the case, we suppose that  $\phi : \mathbb{Z}_6 \rightarrow S_3$  is an isomorphism.

Let  $\boxed{a, b \in S_3}$  be two elements s.t.  $\boxed{ab \neq ba}$ .

Since  $\phi$  is an isomorphism,  $\exists m, n \in \mathbb{Z}_6$  s.t.

$$\phi(m) = a \quad \text{and} \quad \phi(n) = b$$

Then  $ab = \phi(m)\phi(n) = \phi(m+n) = \phi(n+m) = \phi(n)\phi(m) = ba$   
↑ by def<sup>n</sup> of isomorphism  
↙ since  $\mathbb{Z}_6$  is abelian



However, this contradicts the fact that  $a$  and  $b$  do not commute  $\square$

Example There is no isomorphism from  $(\mathbb{Q}, +)$  to  $\mathbb{Q}^*$ , the group of nonzero rational numbers under multiplication.

If  $\phi$  were such a mapping there would be a rational number  $a$ , s.t.

$$\phi(a) = -1 \quad (\text{since } \phi \text{ is onto})$$

But then

$$-1 = \phi(a) = \phi\left(\frac{1}{2}a + \frac{1}{2}a\right) = \phi\left(\frac{1}{2}a\right)\phi\left(\frac{1}{2}a\right) = \left(\phi\left(\frac{1}{2}a\right)\right)^2$$

↙ operation of group G is +  
↑ if  $\phi$  were an isomorphism

However, no rational number squared is equal to -1.

Example Let  $G = SL(2, \mathbb{R})$ , the group of  $2 \times 2$  matrices with determinant equal to 1. Let  $M$  be any  $2 \times 2$  real matrix w/ det. 1.

Then we can define a mapping from  $G$  to  $G$  itself by

$$\phi_M(A) = MAM^{-1}$$

(since  $M$  has det 1 its inverse  $M^{-1}$  exists)

$\forall$  matrices  $A \in G$ .

To verify that  $\phi_M$  is an isomorphism we follow the 4 steps outlined above.

STEP 1.  $\phi_M$  is a fun from  $G$  to  $G$  We must show that  $\phi_M(A)$  is indeed an element of  $G$  whenever  $A$  is.

From the properties of determinants we have

$$\begin{aligned} \det(MAM^{-1}) &= \det(M) \cdot \det(A) \cdot \det(M^{-1}) \\ &= 1 \cdot 1 \cdot \frac{1}{1} \\ &= 1 \end{aligned}$$

$\underbrace{\det(M^{-1})}_{= \frac{1}{\det(M)}}$

Thus  $MAM^{-1} \in G$ .

STEP 2  $\phi_M$  is one-to-one.

Suppose that  $\phi_M(A) = \phi_M(B)$ . Then  $MAM^{-1} = MBM^{-1}$ .

By left and right cancellation we obtain  $A = B$ .

STEP 3.  $\phi_M$  is onto.

Let  $B \in G$ . We must find a matrix  $A \in G$  s.t.  $\phi_M(A) = B$ .

If such a matrix  $A$  is to exist, it must satisfy that  $MAM^{-1} = B$ .

But this tells us what  $A$  should be.

We can solve for  $A$  to obtain  $A = M^{-1}BM$  and verify that

$$\phi_M(A) = MAM^{-1} = M(M^{-1}BM)M^{-1} = B.$$

STEP 4.  $\phi_M$  is operation-preserving.

Let  $A, B \in G$ . Then

$$\begin{aligned} \phi_M(AB) &= M(AB)M^{-1} = MA \underbrace{M^{-1}M}_{\text{equal to identity}} B M^{-1} \\ &= (MAM^{-1})(MBM^{-1}) \\ &= \phi_M(A)\phi_M(B) \end{aligned}$$

The mapping  $\phi_M$  is called conjugation by  $M$ .

Theorem 9.6 Let  $\phi: G \rightarrow H$  be an isomorphism of two groups. Then

the following statements are true.

- 1)  $\phi^{-1}: H \rightarrow G$  is an isomorphism.
- 2)  $|G| = |H|$
- 3) If  $G$  is abelian, then  $H$  is abelian
- 4) If  $G$  is cyclic, then  $H$  is cyclic
- 5) If  $G$  is a subgroup of order  $n$ , then  $H$  has a subgroup of order  $n$ .

Proof. 1) Since  $\phi$  is a bijection,  $\phi^{-1}$  exists and it maps from  $H$  to  $G$ .

2) Since  $\phi$  is bijective,  $|G| = |H|$ .

3) Suppose that  $h_1, h_2 \in H$ . Since  $\phi$  is onto,

$$\exists g_1, g_2 \in G \text{ s.t. } \phi(g_1) = h_1 \text{ and } \phi(g_2) = h_2. \quad (*)$$

Thus  $h_1 h_2 = \phi(g_1) \phi(g_2) = \phi(g_1 g_2) = \phi(g_2 g_1) = \phi(g_2) \phi(g_1) = h_2 h_1$

Annotations:  
 -  $\phi(g_1) \phi(g_2) = \phi(g_1 g_2)$ : by (\*)  
 -  $\phi(g_1 g_2) = \phi(g_2 g_1)$ : by the fact that  $\phi$  is an isomorphism  
 -  $\phi(g_2 g_1) = \phi(g_2) \phi(g_1)$ : since  $G$  is abelian  $\exists g_2 = g_2 g_1$   
 -  $\phi(g_2) \phi(g_1) = h_2 h_1$ : by the fact that  $\phi$  is an isomorphism

Theorem 9.7 All cyclic groups of infinite order are isomorphic to  $\mathbb{Z}$

Proof Let  $G$  be a cyclic group with infinite order and suppose that  $a$  is a generator of  $G$ . Define a map  $\phi: \mathbb{Z} \rightarrow G$  by  $\phi: n \rightarrow a^n$ .

Then  $\phi(m+n) = a^{m+n} = a^m a^n = \phi(m) \phi(n)$

Annotations:  
 -  $a^{m+n}$ : operation of  $\mathbb{Z}$  is addition  
 -  $a^m a^n$ : by def<sup>n</sup> of  $\phi$   
 -  $\phi(m) \phi(n)$ : operation of  $G$

To show that  $\phi$  is injective, suppose that  $m, n \in \mathbb{Z}$  where  $m \neq n$ .

We assume  $m > n$ . We must show that  $\phi(m) \neq \phi(n)$ , i.e.  $a^m \neq a^n$ .

Let's suppose instead that  $a^m = a^n$ .

This gives  $a^{m-n} = e$  where  $m > n \Rightarrow m-n > 0$  which contradicts the fact that  $a$  has infinite order.

Thus  $a^m \neq a^n$  and  $\phi$  is therefore injective

The map  $\phi$  is onto since any element in  $G$  can be written as  $a^n$  for  $n \in \mathbb{Z}$  and  $\phi(n) = a^n$ .

□

Theorem 9.8 If  $G$  is a cyclic group of order  $n$ , then  $G$  is isomorphic to  $\mathbb{Z}_n$ .

Proof Let  $G$  be a cyclic group of order  $n$  generated by  $a$  and define a map  $\phi: \mathbb{Z}_n \rightarrow G$  by  $\phi(k) = a^k$  where  $0 \leq k < n$ .

The proof that  $\phi$  is an isomorphism is similar to the proof of thm 9.7 but for showing  $\phi$  is 1-1,  $\phi(m) = \phi(k) \Rightarrow a^m = a^k \Rightarrow a^{m-k} = e$   
implies  $n \mid (m-k)$

↑  
order of  $G$

This implies that  $m=k$  because  $m, k \in \mathbb{Z}_n$ .

- In group theory, the main goal is to classify all groups.

Instead of classifying all groups, we want to classify all groups up to isomorphism

That is, we consider two groups to be the same if they are isomorphic.

Theorem 9.10 The isomorphism of groups determines an equivalence relation on the class of all groups.

CAYLEY'S THEOREM If  $G$  is a group, it is isomorphic to a group of permutations on some set. Hence, every group is a permutation group

This is what we call a representation theorem

The goal of representation theory is to find an isomorphism of some group  $G$  that we wish to study into a group that we know a lot about, eg a group of permutations or matrices.

Proof Let  $G$  be a group.

We must find a group of permutations  $\bar{G}$  that is isomorphic to  $G$ .

For any  $g \in G$ , define a function  $\lambda_g: G \rightarrow G$  by  $\lambda_g(a) = ga \quad \forall a \in G$ .

We claim that  $\lambda_g$  is a permutation of  $G$ .

We first show that the map  $\lambda_g$  is one-to-one. Suppose that  $\lambda_g(a) = \lambda_g(b)$ . Then  $ga = gb$ , which implies  $a = b$  by the left cancellation property.

To show that  $\lambda_g$  is onto we must show that for each  $a \in G \exists$  a  $b$  s.t.

$$\lambda_g(b) = a. \quad \text{We use } gb = a$$

$$\boxed{b = g^{-1}a}$$

Now we define the group  $\bar{G}$ . Let  $\bar{G} = \{\lambda_g : g \in G\}$ .

We must show that  $\bar{G}$  is a group under composition of functions and find an isomorphism between  $G$  and  $\bar{G}$ .

We have closure under composition of functions. For  $a \in G$

$$\begin{aligned} (\lambda_g \circ \lambda_h)(a) &= \lambda_g(\lambda_h(a)) \\ &= \lambda_g(ha) && \text{by def}^n \text{ of } \lambda_g: G \rightarrow G \text{ above} \\ &= g(ha) && \lambda_g(a) = ga \quad \forall a \in G \\ &= (gh)a && \text{by associativity} \\ &= \lambda_{gh}(a) && \text{closure} \end{aligned}$$

We also have  $\lambda_e(a) = ea = a$  **identity**

and

$$\begin{aligned} (\lambda_{g^{-1}} \circ \lambda_g)(a) &= \lambda_{g^{-1}}(\lambda_g(a)) \\ &= \lambda_{g^{-1}}(ga) \\ &= g^{-1}(ga) \\ &= (g^{-1}g)a \\ &= ea \\ &= a \\ &= \lambda_e(a) && \text{inverse} \end{aligned}$$

We define an isomorphism from  $G$  to  $\bar{G}$  by  $\phi: g \rightarrow \lambda_g$ .

•  $\phi$  is one-to-one because if  $\phi(g)(a) = \phi(h)(a)$

then  $\lambda_g(a) = \lambda_h(a)$

$ga = ha$

$g = h$  by the right cancellation property

$\phi: G \rightarrow \bar{G}$

•  $\phi$  is onto because  $\phi(g) = \lambda_g$  for any  $\lambda_g \in \bar{G}$ .

• The group operation is preserved since for  $g, h \in G$

$\phi(gh) = \lambda_{gh} = gh = \lambda_g \lambda_h = \phi(g)\phi(h)$   
by def<sup>n</sup> of  $\phi$  (twice)

□

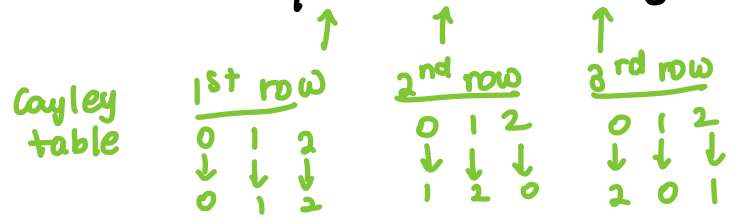
The isomorphism  $g \mapsto \lambda_g$  is known as the left regular representation of  $G$ .

Example Consider  $\mathbb{Z}_3$ . The Cayley table for  $(\mathbb{Z}_3, +)$  is

+	0	1	2
0	0	1	2
1	1	2	0
2	2	0	1

This suggests that it's the same as the permutation group

$G = \{ (0), (0\ 1\ 2), (0\ 2\ 1) \}$



The isomorphism is

$0 \mapsto \begin{pmatrix} 0 & 1 & 2 \\ 0 & 1 & 2 \end{pmatrix} = (0)$      $1 \mapsto \begin{pmatrix} 0 & 1 & 2 \\ 1 & 2 & 0 \end{pmatrix} = (0\ 1\ 2)$  ,     $2 \mapsto \begin{pmatrix} 0 & 1 & 2 \\ 2 & 0 & 1 \end{pmatrix} = (0\ 2\ 1)$



Example let's compute the left regular representation  $\overline{U(12)}$  for  $U(12) = \{1, 5, 7, 11\}$

$(\mathbb{Z}_{12}, +)$  with  $\gcd(n, 12) = 1$

Writing the permutations of  $U(12)$  in array form, we have

$$\lambda_1 = \begin{pmatrix} 1 & 5 & 7 & 11 \\ 1 & 5 & 7 & 11 \end{pmatrix}, \lambda_5 = \begin{pmatrix} 1 & 5 & 7 & 11 \\ 5 & 1 & 11 & 7 \end{pmatrix}, \lambda_7 = \begin{pmatrix} 1 & 5 & 7 & 11 \\ 7 & 11 & 1 & 5 \end{pmatrix}, \lambda_{11} = \begin{pmatrix} 1 & 5 & 7 & 11 \\ 11 & 7 & 5 & 1 \end{pmatrix}$$

recall that  $\lambda_x$  is just multiplication by  $x$

$$\lambda_g(a) = ga \quad \forall a \in G$$

$$\begin{aligned} \lambda_5(1) &= 5 \pmod{12} \\ \lambda_5(5) &= 5(5) = 1 \pmod{12} \\ \lambda_5(7) &= 5(7) = 11 \pmod{12} \\ \lambda_5(11) &= 5(11) = 7 \pmod{12} \end{aligned}$$

where  $\lambda_5(g) = 5g$  with  $g \in G$

We next compare the Cayley table for  $U(12)$  and its left regular representation  $\overline{U(12)}$

remember it uses addition

$$\begin{array}{c|cccc} \downarrow U(12) & 1 & 5 & 7 & 11 \\ \hline 1 & 1 & 5 & 7 & 11 \\ 5 & 5 & 1 & 11 & 7 \\ 7 & 7 & 11 & 1 & 5 \\ 11 & 11 & 7 & 5 & 1 \end{array}$$

$$\begin{array}{c|cccc} \overline{U(12)} & \lambda_1 & \lambda_5 & \lambda_7 & \lambda_{11} \\ \hline \lambda_1 & \lambda_1 & \lambda_5 & \lambda_7 & \lambda_{11} \\ \lambda_5 & \lambda_5 & \lambda_1 & \lambda_{11} & \lambda_7 \\ \lambda_7 & \lambda_7 & \lambda_{11} & \lambda_1 & \lambda_5 \\ \lambda_{11} & \lambda_{11} & \lambda_7 & \lambda_5 & \lambda_1 \end{array}$$

The tables show that  $U(12)$  and  $\overline{U(12)}$  are only notationally different.

### Section 9.2 DIRECT PRODUCTS

Given two groups  $G$  and  $H$ , it is possible to construct a new group from the

Cartesian product of  $G$  and  $H$ ,  $G \times H$

Conversely, given a large group it is sometimes possible to decompose the group. i.e A group is sometimes isomorphic to the direct product of two smaller groups.

## External direct products

If  $(G, \cdot)$  and  $(H, \circ)$  are groups, then we can make the Cartesian product of  $G$  and  $H$  into a new group. As a set,  $G \times H$  is just the ordered pairs  $(g, h) \in G \times H$  where  $g \in G$  and  $h \in H$

We define a binary operation on  $G \times H$  by

$$(g_1, h_1) (g_2, h_2) = (g_1 \cdot g_2, h_1 \circ h_2)$$

↑ operation in G
↑ operation in H

We will usually denote it simply as  $(g_1 g_2, h_1 h_2)$  but it implied that we multiply elements in the 1<sup>st</sup> word as we do in  $G$  & elements in the 2<sup>nd</sup> word as we do in  $H$ .

Prop. 9.13 Let  $G$  and  $H$  be groups. The set  $G \times H$  is a group under the operation  $(g_1, h_1) (g_2, h_2) = (g_1 g_2, h_1 h_2)$  where  $g_1, g_2 \in G$  and  $h_1, h_2 \in H$ .

Proof. The operation defined above is closed

Identity: If  $e_G \in G$  and  $e_H \in H$  are the identities of each group  $(e_G, e_H)$  is the identity of  $G \times H$ .

Inverse: The inverse of  $(g, h) \in G$  is  $(g^{-1}, h^{-1})$ .

The operation is associative since  $G$  &  $H$  are associative. □

Example Let  $\mathbb{R}$  be the group of real numbers under addition.

The Cartesian product  $\mathbb{R} \times \mathbb{R} = \mathbb{R}^2$  is also a group.

The group operation is addition in each coordinate, i.e.

$$(a, b) + (c, d) = (a+c, b+d) \quad \text{closure}$$

The identity is (0,0)

The inverse of (a,b) is (-a,-b).

Example Consider  $\mathbb{Z}_2 \times \mathbb{Z}_2 = \{(0,0), (0,1), (1,0), (1,1)\}$

and  $(\mathbb{Z}_4, +) = \{0,1,2,3\}$ .  $\hookrightarrow \mathbb{Z}_2 = \{0,1\}$  and so  $\mathbb{Z}_2 \times \mathbb{Z}_2 = \{(a,a), (a,b), (b,a), (b,b)\}$

They both have order 4 but they are not isomorphic.

Every element  $(a,b) \in \mathbb{Z}_2 \times \mathbb{Z}_2$  has order 2 since  $(a,b) + (a,b) = (0,0)$

But  $\mathbb{Z}_4$  is cyclic and so one of its elements has order 4

- $3+3 = 6 = 2 \pmod 4$
- $3+3+3 = 9 = 1 \pmod 4$
- $3+3+3+3 = 12 = 0 \pmod 4$

- $(0,1) + (0,1) = (0,2) = (0,0) \pmod 2$
- etc
- $(1,0) + (1,0) = (2,0) = (0,0) \pmod 2$

NB The identity is (0,0)

Example  $U(8) \times U(10) = \{(1,1), (1,3), (1,7), (1,9),$   
 $(3,1), (3,3), (3,5), (3,7), (3,9)$   
 $(5,1), (5,3), (5,5), (5,7), (5,9)$   
 $(7,1), (7,3), (7,5), (7,7), (7,9)\}$

$U(8) = \{1, 3, 5, 7\}$

$U(10) = \{1, 3, 5, 7, 9\}$

since the first components are combined by multiplication mod 8 whereas the 2nd comp. are combined by mult. mod 10

Example CLASSIFICATION OF GROUPS OF ORDER 4

A group of order 4 is isomorphic to  $\mathbb{Z}_4$  or  $\mathbb{Z}_2 \times \mathbb{Z}_2$  both are abelian and of order 4

To verify this, let  $G = \{e, a, b, ab\}$ .  $\uparrow$  cyclic by closure

A key difference between the two groups is that the cyclic group  $\mathbb{Z}_4$  has an element of order 4 but  $\mathbb{Z}_2 \times \mathbb{Z}_2$  only has elements of order 2

If  $G$  is not cyclic, then from Lagrange's theorem  $|a| = |b| = |ab| = 2$

Then the mapping  $e \rightarrow (0,0)$ ,  $a \rightarrow (1,0)$ ,  $b \rightarrow (0,1)$ , and  $ab \rightarrow (1,1)$  is an isomorphism from  $G$  onto  $\mathbb{Z}_2 \times \mathbb{Z}_2$   $\square$

CHECK as an exercise

The group  $G \times H$  is called the **external direct product** of  $G$  and  $H$ . We could also have more groups :  $G_1, G_2, \dots, G_n$  and then their external direct product would be defined in the same manner

$$\prod_{i=1}^n G_i = G_1 \times G_2 \times \dots \times G_n$$

$$g^r = e \text{ and } h^s = e$$

Theorem 9.17 Let  $(g, h) \in G \times H$ . If  $g$  and  $h$  have finite orders  $r$  and  $s$ , respectively then the order of  $(g, h) \in G \times H$  is the least common multiple of  $r$  and  $s$

Proof Suppose that  $m$  is the least common multiple of  $r$  and  $s$  and let

$n = |(g, h)|$  ← the order of the element  $(g, h) = n$   
 $(g, h)^m = (g, h)(g, h) \dots (g, h) = (g^m, h^m)$ . Recall the binary operation for  $G \times H$  is  $(g_1, h_1)(g_2, h_2) = (g_1 g_2, h_1 h_2)$  for  $g_1, g_2 \in G$  &  $h_1, h_2 \in H$

Then  $(g, h)^m = (g^m, h^m) = (e_G, e_H)$  ← since  $m = \text{lcm}(r, s)$   
 $(g^n, h^n) = (g, h)^n = (e_G, e_H)$  hence  $n$  must divide  $m$  and  $n \leq m$   
by def<sup>n</sup> of the order of an element (smallest  $\neq$ )

However since  $r$  and  $s$  are the orders of elements  $g$  and  $h$ , respectively, we have

$$\left. \begin{matrix} g^r = e_G \\ g^s = e_H \end{matrix} \right\} \Rightarrow \begin{matrix} r \text{ must divide } n \\ \& s \text{ must divide } n \text{ as well} \end{matrix}$$

So  $n$  is a common multiple of  $r$  and  $s$ .  
Since  $m$  is the least common multiple of  $r$  and  $s$ ,  $m \leq n$ .  
Thus  $m$  must equal  $n$

□



Corollary 9.18 Let  $(g_1, \dots, g_n) \in \prod_{i=1}^n G_i$   
If  $g_i$  has finite order  $r_i$  in  $G_i$ , then the order of  $(g_1, \dots, g_n) \in \prod G_i$  is the least common multiple of  $r_1, \dots, r_n$ .

if  $\gcd(n, a) \neq 1$  then  $\gcd(n, a) = d$  and  $\text{order}(a) \text{ w/ } \frac{n}{d}$  75

Example 9.19 Let  $(8, 56) \in \mathbb{Z}_{12} \times \mathbb{Z}_{60}$

$a \in \mathbb{Z}_n$  is  $\frac{n}{\gcd(n, a)} = \frac{n}{d}$

Since  $\gcd(8, 12) = 4$ , the order of 8 is  $\frac{12}{4} = 3$  in  $\mathbb{Z}_{12}$   $\frac{n}{\gcd(a, n)}$  = order of element  $a$  in  $\mathbb{Z}_n$

Similarly,  $\gcd(56, 60) = 4$ . The order of 56 is  $\frac{60}{4} = 15$  in  $\mathbb{Z}_{60}$

Thus, the least common multiple is 15, which implies by theorem 9.17 that  $(8, 56)$  has order 15 in  $\mathbb{Z}_{12} \times \mathbb{Z}_{60}$ .

Example. Consider  $\mathbb{Z}_2 = \{0, 1\}$  and  $\mathbb{Z}_3 = \{0, 1, 2\}$ . Then

$\mathbb{Z}_2 \times \mathbb{Z}_3 = \{ (0, 0), (0, 1), (0, 2), (1, 0), (1, 1), (1, 2) \}$  order is 6.

In this case,  $\mathbb{Z}_2 \times \mathbb{Z}_3 \cong \mathbb{Z}_6$  (unlike that of  $\mathbb{Z}_2 \times \mathbb{Z}_2$  not being isomorphic to  $\mathbb{Z}_4$ )  
↑  
isomorphic

Here we have to show that  $\mathbb{Z}_2 \times \mathbb{Z}_3$  is cyclic.

Let's consider the element  $(1, 1)$ .

$2(1, 1) = (1, 1) + (1, 1) = (2, 2) = (0, 2)$   
↑      ↑  
mod 2   mod 3

$3(1, 1) = (1, 1) + (1, 1) + (1, 1) = (0, 2) + (1, 1) = (1, 3) = (1, 0)$   
↑      ↑  
mod 2   mod 3

$4(1, 1) = (1, 1) + (1, 1) + (1, 1) + (1, 1) = (1, 0) + (1, 1) = (2, 1) = (0, 1)$

$5(1, 1) = (0, 1) + (1, 1) = (1, 2)$

$6(1, 1) = (1, 2) + (1, 1) = (2, 0) = (0, 0)$

order of  $(1, 1)$  is 6. ✓  
 least common multiple of 2 and 3

$\mathbb{Z}_2 \times \mathbb{Z}_3$  is cyclic  
 $(1, 1)$  is a generator!

The next theorem tells us exactly when the direct product of two cyclic groups is cyclic.

Theorem 9.21 The group  $\mathbb{Z}_m \times \mathbb{Z}_n$  is isomorphic to  $\mathbb{Z}_{mn}$  if and only if  $\gcd(m, n) = 1$ .

Proof ( $\Rightarrow$ ) We want to show that if  $\mathbb{Z}_m \times \mathbb{Z}_n \cong \mathbb{Z}_{mn}$  then  $\gcd(m, n) = 1$

We prove the contrapositive i. e. if  $\gcd(m, n) = d > 1$  then

$\mathbb{Z}_m \times \mathbb{Z}_n$  cannot be cyclic

Note that  $\frac{mn}{d}$  is divisible by both  $m$  and  $n$ , hence for any element

$(a, b) \in \mathbb{Z}_m \times \mathbb{Z}_n$

operation of  $\mathbb{Z}_m \times \mathbb{Z}_n$  is addition

$$\underbrace{(a, b) + (a, b) + \dots + (a, b)}_{\frac{mn}{d} \text{ times}} = (0, 0)$$

identity

Thus no  $(a, b)$  can generate all of  $\mathbb{Z}_m \times \mathbb{Z}_n$

( $\Leftarrow$ ) This follows directly from theorem 9.17 since  $\text{lcm}(m, n) = mn$  if and only if  $\gcd(m, n) = 1$

## CHAPTER 10: Normal subgroups & factor groups

We already saw that if  $H$  is a subgroup of a group  $G$ , then right cosets are **not** always the same as left cosets. i.e. it's not always the case that  $gH = Hg$   $\forall g \in G$ .

The subgroups for which this property is true allow for the construction of a new class of groups called **factor or quotient groups**

Definition A subgroup  $H$  of a group  $G$  is **normal** in  $G$  if  $gH = Hg$   $\forall g \in G$ .

A normal subgroup of a group  $G$  is one in which the right and left cosets are the same. Sometimes we denote this by  $H \triangleleft G$ .

Example Let  $G$  be an **abelian group**. **Every subgroup  $H$  of  $G$  is a normal subgroup.** Since  $gh = hg$  for all  $g \in G$  and  $h \in H$ , it will always be that  $gH = Hg$ .

Example Let  $H$  be the subgroup of  $S_3$  that is  $\{(1), (12)\}$ . **not normal in  $S_3$**

$$S_3 = \{(1), (12), (23), (13), (123), (132)\}$$

$$\begin{aligned} \text{Since } (123)H &= (123)\{(1), (12)\} & \text{and } H(123) &= \{(1), (12)\}(123) \\ &= \{(123), (13)\} & &= \{(123), (23)\} \end{aligned}$$

$H$  cannot be a normal subgroup of  $S_3$ .

However, the subgroup  $N$ , consisting of the permutations  $(1), (123),$  and  $(132)$ , is normal since the cosets of  $N$  are

$$\begin{aligned} N &= \{(1), (123), (132)\} \quad \text{normal in } S_3 \\ (12)N &= \{(12), (13), (23)\} = N(12) \\ (23)N &= \{(23), (13), (12)\} = N(23) \end{aligned}$$

etc...

The next example shows a way to use a normal subgroup to create new subgroups from existing ones

Example Let  $H$  be a normal subgroup of a group  $G$  and  $K$  be any subgroup of  $G$ . Then  $HK = \{hk \mid h \in H \text{ and } k \in K\}$  is a subgroup of  $G$ .

To verify this, note that  $e = ee$  is in  $HK$ .

Then for any  $a = h_1 k_1$  and  $b = h_2 k_2$  where  $h_1, h_2 \in H$  and  $k_1, k_2 \in K$

$$\begin{aligned}
 \text{there is an element } h' \in H \text{ s.t. } ab^{-1} &= h_1 k_1 (h_2 k_2)^{-1} \\
 &= h_1 k_1 k_2^{-1} h_2^{-1} \\
 &= h_1 (k_1 k_2^{-1}) h_2^{-1} \\
 &= \underbrace{(h_1 h')}_{\in H} \underbrace{(k_1 k_2^{-1})}_{\in K}
 \end{aligned}$$

which makes

So  $ab^{-1} \in HK$ .

$$ab^{-1} \in HK = \{hk \mid h \in H \ \& \ k \in K\}$$

Theorem 10.3 Normal subgroup test

A subgroup  $H$  of  $G$  is normal in  $G$  if and only if  $gHg^{-1} \subseteq H \quad \forall g \in G$

Proof ( $\Rightarrow$ ) If  $H$  is normal in  $G$ , then for any  $g \in G$  and  $h \in H \exists h' \in H$

s.t.  $gh = h'g$ . (since by def<sup>n</sup> of normal subgroup  $gH = Hg$  &  $gH = \{gh : h \in H\}$   
 $Hg = \{hg : h \in H\}$ )

Thus  $ghg^{-1} = h' \Rightarrow gHg^{-1} \subseteq H$

( $\Leftarrow$ ) If  $gHg^{-1} \subseteq H \quad \forall g \in G$  then letting  $g = a$ , we have  $aHa^{-1} \subseteq H$  or  $aH \subseteq Ha$ .

On the other hand, letting  $g = a^{-1}$ , we have  $gHg^{-1} = a^{-1}H(a^{-1})^{-1}$   
 $= a^{-1}Ha \subseteq H$

$\Rightarrow Ha \subseteq aH$ .

This implies that  $aH = Ha$  and so  $H$  is normal in  $G$ .

□



Definition If  $N$  is a normal subgroup of a group  $G$ , then the cosets of

$N$  in  $G$  form a group  $G/N = \{gN : g \in G\}$  under the operation  $(aN)(bN) = abN$

This group is called the **factor** or **quotient group** of  $G$  and  $N$ .

read as " $G \bmod N$ "

Theorem 10.4 Let  $N$  be a normal subgroup of a group  $G$ . The cosets of  $N$  in  $G$  form a group  $G/N$  of order  $[G:N]$ .

index = # of left cosets

Proof The group operation on  $G/N$  is  $(aN)(bN) = abN$ .

We must show that the group multiplication is independent of the choice of coset representative. ← this shows that the operation is well defined, i.e. the correspondence above from  $G/N \times G/N$  into

let  $aN = bN$  and  $cN = dN$ .  $G/N$  is actually a function.

We must show that  $(aN)(cN) = acN = bdN = (bN)(dN)$

since  $aN = bN$

since  $cN = dN$

left coset def:  $cN = \{cn : n \in N\}$

Then  $a = bn_1$  and  $c = dn_2$  for some  $n_1, n_2 \in N$

Thus  $acN = (bn_1)(dn_2)N$

$$= bn_1(dN)$$

$$= bn_1(Nd) \leftarrow \text{since } N \text{ is a normal subgroup } dN = Nd$$

$$= bNd$$

$$= bdN$$

$$aN = \{an : n \in N\}$$

$$bN = \{bn : n \in N\}$$

$n_1N = N$  since  $n_1$  "gets absorbed" in  $N$

← here we used associativity a lot

Note

We also used one of the properties of cosets;

that  $gH = H$  iff  $g \in H$ .

$$(gN)(g^{-1}N) = (gg^{-1})N = eN = N \leftarrow \text{identity } \checkmark$$

The identity is  $eN = N$

The inverse of  $gN$  is  $g^{-1}N$ .

The order of  $G/N$  is the number of cosets of  $N$  in  $G$  which is the definition of index  $[G:N]$ .

Example Consider the normal subgroup of  $S_3$ ,  $N = \{(1), (123), (132)\}$

The cosets of  $N$  in  $S_3$  are  $N$  and  $(12)N$ .

$$S_3 = \{(1), (12), (13), (23), (123), (132)\}$$

The factor group  $S_3/N$  has the following multiplication table

	$N$	$(12)N$
$N$	$N$	$(12)N$
$(12)N$	$(12)N$	$N$

Note that if you compute  $(13)N$  you will get that it's equal to  $(12)N$ . So the distinct cosets of  $N$  in  $S_3$  are  $N$  &  $(12)N$ .

$$\text{index } [G:N] = \frac{6}{3} = 2 \text{ cosets}$$

$$\begin{aligned} \text{where } (12)N &= (12)\{(1), (123), (132)\} \\ &= \{(12), (23), (13)\} = (13)N \end{aligned}$$

and indeed  $(12)N(12)N$  is  $(12)\{(12), (23), (13)\}$   
 $= \{(1), (123), (132)\}$  etc

but also you get this from  $(12)N(12)N = (12)(12)N = (1)N = N$  ✓

This group is isomorphic to  $\mathbb{Z}_2 = \{0, 1\}$  ( $S_3/N \simeq \mathbb{Z}_2$ )

Consider  $\phi: S_3/N \rightarrow \mathbb{Z}_2$  defined by  $\phi(N) = 0$  and  $\phi((12)N) = 1$ .  
 $\phi$  is bijective.

How about operation-preserving?

$$\phi(NN) = \phi(N) = 0 = 0 + 0 = \phi(N) + \phi(N)$$

operation in  $S_3/N$       from multipl. table      operation in  $\mathbb{Z}_2$

$$\text{Also, } \phi((12)NN) = \phi((12)N) = 1 = 1 + 0 = \phi((12)N) + \phi(N)$$

$$\text{and } \phi((12)N(12)N) = \phi(N) = 0 = 1 + 1 = \phi((12)N) + \phi((12)N)$$

$\uparrow$   
 $\not\equiv \text{ mod } 2$

Note also that  $S_3/N$  is abelian and cyclic,  $S_3/N = \langle (12)N \rangle$ .

Notice that  $S_3/N$  is a smaller group than  $S_3$ .

We note that  $N = A_3$  ← alternating group, i.e. the group of even permutations

and  $(12)N = (12) \{ (1), (123), (132) \}$

$= \{ (12), (23), (13) \}$  is the set of odd permutations.

product of odd number of 2-cycles

So the information captured in  $G/N$  is parity ↗ odd vs ↘ even

→ multiplying two even or two odd permutations results in an even permutation

→ multiplying an odd permutation by an even permutation yields an odd permutation. (12)N

Example Consider the normal subgroup  $3\mathbb{Z}$  of  $\mathbb{Z}$ . ( $3\mathbb{Z} \triangleleft \mathbb{Z}$ )

$3\mathbb{Z} = \{0, \pm 3, \pm 6, \dots\} = \langle 3 \rangle$  w/ addition

We note  $\mathbb{Z}/3\mathbb{Z} \cong \mathbb{Z}_3 = \{0, 1, 2\}$ . We have  $|\mathbb{Z}/3\mathbb{Z}| = [\mathbb{Z} : 3\mathbb{Z}] = 3$   
order of group (distinct cosets)

The cosets of  $3\mathbb{Z}$  in  $\mathbb{Z}$  are

$g_1 N = 0 + 3\mathbb{Z} = \{ \dots, -3, 0, 3, 6, \dots \}$

$g_2 N = 1 + 3\mathbb{Z} = \{ \dots, -2, 1, 4, 7, \dots \}$

$g_3 N = 2 + 3\mathbb{Z} = \{ \dots, -1, 2, 5, 8, \dots \}$

$g_4 N = 3 + 3\mathbb{Z} = \{ \dots, 0, 3, 6, \dots \} = 0 + 3\mathbb{Z}$  and it keeps repeating

$gN = \{gn : n \in N\}$  where  $G = \mathbb{Z}$  and  $N = 3\mathbb{Z}$

The group  $\mathbb{Z}/3\mathbb{Z}$  is given by

$+$	$0+3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$
$0+3\mathbb{Z}$	$0+3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$
$1+3\mathbb{Z}$	$1+3\mathbb{Z}$	$2+3\mathbb{Z}$	$0+3\mathbb{Z}$
$2+3\mathbb{Z}$	$2+3\mathbb{Z}$	$0+3\mathbb{Z}$	$1+3\mathbb{Z}$

e.g.  $(2+3\mathbb{Z}) + (2+3\mathbb{Z})$   
 $= 4+3\mathbb{Z} = 1+(3+3\mathbb{Z})$   
 $= 1+3\mathbb{Z}$

Note,  $\mathbb{Z}/3\mathbb{Z}$  is cyclic. Consider for example  $\mathbb{Z}/3\mathbb{Z} = \langle 1+3\mathbb{Z} \rangle$ .

Generally, the subgroup  $n\mathbb{Z}$  of  $\mathbb{Z}$  is normal. Elements of  $\mathbb{Z}/n\mathbb{Z}$  are cosets:

$n\mathbb{Z}, 1+n\mathbb{Z}, 2+n\mathbb{Z}, \dots, (n-1)+n\mathbb{Z}$

and  $\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$

multiplicative group  $\mathbb{Z}_{32}$

Example let  $G = U(32) = \{1, 3, 5, 7, 9, 11, 13, 15, 17, 19, 21, 23, 25, 27, 29, 31\}$

and  $H = \{1, 17\}$ . Then  $H \triangleleft G$  since  $G$  is abelian. (on pg 78 we show that when  $G$  is abelian all subgroups are normal).

$|G/H| = [G:H] = \frac{|G|}{|H|} = \frac{16}{2} = 8$ . So we have 8 distinct cosets of  $H$  in  $G$ .

Elements of the group  $U(32)/H$  are:

$1H = H = \{1, 17\}$

$3H = \{3, 19\}$  ← to compute this  $3H = 3\{1, 17\} = \{3, 51\} = \{3, 19\}$   
↑  
mod 32

$5H = \{5, 21\}$

$7H = \{7, 23\}$

$$9H = \{9, 25\}$$

$$11H = \{11, 27\}$$

$$13H = \{13, 29\}$$

$$15H = \{15, 31\}$$

by closure all combinations of elements should give other elements in the group

Note: The operation is  $aH bH = abH$ . So, for example

$$\begin{aligned} 11H 13H &= (11)(13)H = 143H = \{143, 2431\} = \{4(32) + 15, 75(32) + 31\} \\ &= \{15, 31\} = 15H \end{aligned}$$

Note

Sometimes we use the terminology " $G \text{ mod } H$ " for  $G/H$ . This arises from the analogy w/ modular arithmetic. When we work in  $\mathbb{Z} \text{ mod } 5$ , we say

$8 = 3 \text{ mod } 5$  because  $8 = 3 + 5 = 3 \text{ mod } 5$  because the 5 "gets absorbed" into the modulus. That is,  $8 \text{ mod } 5 = (3+5) \text{ mod } 5 = 3 + (5 \text{ mod } 5) = 3 \text{ mod } 5$

Similarly, if we look at  $gH$  and if  $g = g'h$  then  $gH = g'hH = g'H$  because the  $h$  "gets absorbed" by the  $H$ .

CHAPTER 11 HOMOMORPHISMS

This is a **generalization** of an isomorphism.

If we relax the requirement that an isomorphism of groups be bijective, we have a homomorphism.

Section 11.1: Group homomorphisms

Definition: A **homomorphism** between groups  $(G, \cdot)$  and  $(H, \circ)$  is a map  $\phi: G \rightarrow H$

such that  $\phi(g_1 g_2) = \phi(g_1) \circ \phi(g_2)$  for  $g_1, g_2 \in G$ .

The range of  $\phi$  in  $H$  is called the **homomorphic image** of  $\phi$

Note: This suggests that two groups are strongly related if they are isomorphic but a weaker relationship can exist between two groups.

Example. Let  $G$  be a group and  $g \in G$ . Define a map  $\phi: \mathbb{Z} \rightarrow G$  by  $\phi(n) = g^n$ . Then  $\phi$  is a group homomorphism since

$$\phi(m+n) = g^{m+n} = g^m g^n = \phi(m)\phi(n).$$

This homomorphism maps  $\mathbb{Z}$  onto the cyclic subgroup of  $G$  generated by  $g$ .

Example. Let  $G = GL_2(\mathbb{R})$ . If  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is in  $G$ , then the determinant is nonzero  $\det(A) = ad - bc \neq 0$ . For any  $A, B \in G$ ,  $\det(AB) = \det(A)\det(B)$ .

Using the determinant, we define a homomorphism  $\phi: GL_2(\mathbb{R}) \rightarrow \mathbb{R}^*$  by  $A \mapsto \det(A)$ .

Example We define a homomorphism  $\phi$  from  $(\mathbb{R}, +)$  to  $\mathbb{T}$  (the circle group consisting of all complex numbers  $z$  s.t.  $|z|=1$ ), as

$$\phi: \theta \mapsto \cos \theta + i \sin \theta$$

$$\phi(\alpha + \beta) = \cos(\alpha + \beta) + i \sin(\alpha + \beta) \quad \text{using the addition formulae of } \cos \text{ \& } \sin$$

$$= (\cos \alpha \cos \beta - \sin \alpha \sin \beta) + i(\sin \alpha \cos \beta + \cos \alpha \sin \beta)$$

binary operation of  $(\mathbb{R}, +)$

$$= (\cos \alpha + i \sin \alpha) (\cos \beta + i \sin \beta)$$

$$= \phi(\alpha) \phi(\beta)$$

would also use  $e^{i\theta}$ .

binary operation of  $\mathbb{T}$

Example The map  $\phi(x) = x^2$  from  $\mathbb{R}^*$ , the nonzero real numbers under multiplication to itself is a homomorphism since

$$\phi(ab) = (ab)^2 = a^2 b^2 = \phi(a) \phi(b) \quad \forall a, b \in \mathbb{R}^*$$

Example The map  $\phi(x) = x^2$  from  $(\mathbb{R}, +)$  to itself is not a homomorphism since  $\phi(a+b) = (a+b)^2 = a^2 + 2ab + b^2 \neq \phi(a) + \phi(b) = a^2 + b^2$ .

When defining a homomorphism from a group in which there are several ways to represent the elements, we must ensure that the correspondence is a function. (i.e. a well-defined mapping)

e.g. since  $3(x+y) = 3x + 3y$  in  $7\mathbb{Z}_6$ , one might believe that the correspondence  $x + \langle 3 \rangle \rightarrow 3x$  from  $\mathbb{Z} / \langle 3 \rangle$  to  $7\mathbb{Z}_6$  is a homomorphism.

But it is not a function, since  $0 + \langle 3 \rangle = 3 + \langle 3 \rangle$  in  $\mathbb{Z} / \langle 3 \rangle$  but  $3 \cdot 0 \neq 3 \cdot 3$  in  $7\mathbb{Z}_6$ .

The following proposition lists some basic properties of group homomorphisms

Prop. 11.4 Let  $\phi : G_1 \rightarrow G_2$  be a homomorphism of groups. Then

- ① If  $e$  is the identity of  $G_1$ , then  $\phi(e)$  is the identity of  $G_2$
- ② For any element  $g \in G_1$ ,  $\phi(g^{-1}) = [\phi(g)]^{-1}$
- ③ If  $H_1$  is a subgroup of  $G_1$ , then  $\phi(H_1)$  is a subgroup of  $G_2$
- ④ If  $H_2$  is a subgroup of  $G_2$ , then  $\phi^{-1}(H_2) = \{g \in G_1 : \phi(g) \in H_2\}$  is a subgroup of  $G_1$ .  
Also, if  $H_2 \triangleleft G_2$ , then  $\phi^{-1}(H_2) \triangleleft G_1$  (normal)

Proof ① Suppose  $e$  and  $e'$  are the identities of  $G_1$  and  $G_2$ , respectively.

Then  $e' \phi(e) = \phi(e) = \phi(ee) = \phi(e)\phi(e)$

By right cancellation  $e' = \phi(e)$ . ↑ since  $\phi$  is a homomorphism

$\phi(g_1 \cdot g_2) = \phi(g_1) \cdot \phi(g_2)$   
B.P. of  $G_1$                       B.P. of  $G_2$   
 $\phi(ee) = \phi(e)$

② For any  $g \in G_1$ ,  $\phi(g)\phi(g^{-1}) = \phi(gg^{-1}) = \phi(e) = e'$   
↓ since  $\phi$  is a hom.  
↑ from property ①

$$\text{Thus } \phi(g^{-1}) = \frac{1}{\phi(g)} e' = (\phi(g))^{-1} e' = (\phi(g))^{-1}$$

↑ since  $e'$  is the identity of  $G_2$

③  $\phi(H_1)$  is a nonempty set since the identity of  $G_2$  is in  $\phi(H_1)$ . from prop. ①

Suppose that  $H_1$  is a subgroup of  $G_1$ , and let  $x, y \in \phi(H_1)$ .

$\exists a, b \in H_1$  s.t.  $\phi(a) = x$  and  $\phi(b) = y$ .

$$\begin{aligned} \text{Since } xy^{-1} &= \phi(a)(\phi(b))^{-1} \\ &= \phi(a)\phi(b^{-1}) \quad \text{by property ②} \\ &= \phi(ab^{-1}) \quad \text{since } \phi \text{ is a homomorphism.} \\ &\in \phi(H_1) \quad \text{since } a, b \in H_1 \text{ and } H_1 \text{ is a subgroup, } ab^{-1} \in H_1. \end{aligned}$$

Thus  $\phi(H_1)$  is a subgroup of  $G_2$  by prop. 3.31.

i.e. Let  $H$  be a subset of a group  $G$ . Then  $H$  is a subgroup of  $G$  if and only if  $H \neq \emptyset$  and whenever  $g, h \in H$  then  $gh^{-1}$  is in  $H$ .

④ Let  $H_2$  be a subgroup of  $G_2$  and define  $H_1$  to be  $\phi^{-1}(H_2)$

That is,  $H_1 = \{g \in G_1 : \phi(g) \in H_2\}$

• The identity  $e$  is in  $H_1$ , since  $\phi(e) = e' \in H_2$

• If  $a, b \in H_1$ , then  $\phi(ab^{-1}) = \phi(a)\phi(b^{-1}) = \phi(a)(\phi(b))^{-1} \in H_2$  since  $H_2$  is a subgroup of  $G_2$ .  
 $\rightarrow \phi(ab^{-1}) \in H_2 \Rightarrow ab^{-1} \in \phi^{-1}(H_2)$

Thus  $ab^{-1} \in H_1$  and  $H_1$  is a subgroup of  $G_1$ ,  
 since by def<sup>n</sup> of  $H_1$ ,  $ab^{-1} \in H_1 : \phi(ab^{-1}) \in H_2$

this implies  $\phi^{-1}(H_2)$  is a subgroup but this is the def<sup>n</sup> of  $H_1$ , so  $H_1$  is a subgroup

• If  $H_2$  is normal in  $G_2$ , then we must show that  $g^{-1}hg \in H_1$ , for  $h \in H_1$  and  $g \in G_1$ .

### Theorem 10.3 Normal subgroup test

A subgroup  $H$  of  $G$  is normal in  $G$  if and only if  $gHg^{-1} \subseteq H \quad \forall g \in G$

Recall



$$\begin{aligned} \text{But } \phi(g^{-1}hg) &= \phi(g^{-1})\phi(h)\phi(g) \\ &= (\phi(g))^{-1}\phi(h)\phi(g) \in H_2 \end{aligned}$$

since  $H_2$  is a normal subgroup of  $G_2$ . Thus  $g^{-1}hg \in H_2$ . ↙ by def<sup>n</sup> of  $H_1$   
=  $\{g \in G_1 : \phi(g) \in H_2\}$   
□

Let  $\phi : G \rightarrow H$  be a group homomorphism and suppose that  $e$  is the identity of  $H$ .

From Prop. 11.4 (A) we know that if  $H_2$  is a subgroup of  $G_2$  then  $\phi^{-1}(H_2)$  is a subgroup of  $G_1$  (where  $\phi : G_1 \rightarrow G_2$ ). Thus, in this case,  $\phi^{-1}(\{e\})$  is a subgroup of  $G$ . This subgroup of  $G$  is called the kernel of  $\phi$ , denoted by  $\ker \phi$ . Equivalently:  $\ker \phi = \{g \in G : \phi(g) = e\}$

Theorem 11.5 Let  $\phi : G \rightarrow H$  be a group homomorphism. Then  $\ker \phi$  is a normal subgroup of  $G$ .

Note This says that with every homomorphism of groups we can naturally associate a normal subgroup.

Example Let  $\phi : GL_2(\mathbb{R}) \rightarrow \mathbb{R}^*$  defined by  $\phi(A) = \det(A)$  be a homomorphism.

Identity of  $\mathbb{R}^*$  is 1.

Thus  $\ker \phi$  is all  $2 \times 2$  matrices having determinant 1.

i.e.  $\ker \phi = \phi^{-1}(\{e\}) = \{g \in G : \phi(g) = \{e\}\}$

This implies that  $\ker \phi = SL_2(\mathbb{R})$

Example The kernel of the group homomorphism  $\phi: \mathbb{R} \rightarrow \mathbb{C}^*$  defined by  $\phi(\theta) = \cos \theta + i \sin \theta$  is  $\{2\pi n : n \in \mathbb{Z}\}$ .

This is because:

$$\phi(2\pi n) = \cos(2\pi n) + i \sin(2\pi n) = 1 \text{ and } 1 \text{ is the identity of } \mathbb{C}^*$$

We note that since  $\ker \phi = \{2\pi n : n \in \mathbb{Z}\}$  we have that  $\ker \phi$  is isomorphic to  $\mathbb{Z}$ .

$$\ker \phi \cong \mathbb{Z}$$

Example How do we find all possible homomorphisms  $\phi: \mathbb{Z}_7 \rightarrow \mathbb{Z}_{12}$ ?

Since  $\ker \phi$  must be a subgroup of  $\mathbb{Z}_7$ , there are only two possible kernels:  $\{0\}$  and all of  $\mathbb{Z}_7$

The image of a subgroup of  $\mathbb{Z}_7$  must be a subgroup of  $\mathbb{Z}_{12}$ .

This implies that there is no injective homomorphism.

Otherwise  $\mathbb{Z}_{12}$  would have a subgroup of order 7 which is not possible.

Therefore, the only possible homomorphism  $\phi: \mathbb{Z}_7 \rightarrow \mathbb{Z}_{12}$  is the one that maps all elements to 0.

Example. Let  $G$  be a group. Suppose  $g \in G$  and  $\phi: \mathbb{Z} \rightarrow G$ , given by  $\phi(n) = g^n$  is a homomorphism

- If the order of  $g$  is infinite, then the kernel of this homomorphism is  $\{0\}$  since  $\phi$  maps  $\mathbb{Z}$  onto the cyclic subgroup of  $G$  generated by  $g$ .
- If  $g$  has finite order, say  $n$ , then  $\ker \phi = n\mathbb{Z}$ .

## Section 11.2 : THE ISOMORPHISM THEOREMS

Factor groups correspond to homomorphic images and we use factor groups to study homomorphisms.

We just learned in theorem 11.5 that with every group homomorphism  $\phi: G \rightarrow H$  we can associate a normal subgroup of  $G$ ,  $\ker \phi$ .

The converse is also true: every normal subgroup of a group  $G$  gives rise to homomorphism of groups.

Definition: Let  $H$  be a normal subgroup of  $G$ . The **natural** or **canonical homomorphism**  $\phi: G \rightarrow G/H$  is defined as  $\phi(g) = gH$ .

This is indeed a homomorphism, since

$$\phi(g_1 g_2) = g_1 g_2 H = g_1 H g_2 H = \phi(g_1) \phi(g_2)$$

↑  
by defn  
of  $\phi$ 
↑  
since  
 $H$  is a normal  
group this is the  
binary operation
↑  
by defn  
of  $\phi$

(Recall that if  $N$  is a normal subgroup then the cosets of  $N$  in  $G$  form a group  $G/N$  under  $(aN)(bN) = abN$ )

$$\ker \phi = H.$$

### Theorem 11.10 First isomorphism theorem

If  $\psi: G \rightarrow H$  is a group homomorphism with  $K = \ker \psi$ , then  $K$  is normal in  $G$ .

Let  $\phi: G \rightarrow G/K$  be the canonical homomorphism  $\phi(g) = gK$ . Then  $\exists$  a unique isomorphism  $\eta: G/K \rightarrow \psi(G)$  such that  $\psi = \eta \phi$ .

Proof One of the assumptions is that  $K$  is normal in  $G$ .

Define  $\eta: G/K \rightarrow \psi(G)$  by  $\eta(gK) = \psi(g)$

We first show that  $\eta$  is a well-defined map.

If  $g_1K = g_2K$  then for some  $k \in K$ ,  $g_1k = g_2$ .

$g_1kK = g_2K \Rightarrow g_1K = g_2K$

Thus  $\eta(g_1K) = \psi(g_1) = \psi(g_1)e = \psi(g_1)\psi(k) = \psi(g_1k) = \psi(g_2) = \eta(g_2K)$

$\uparrow$  since  $\psi$  is a homomorphism  
 $\uparrow$  since  $K = \ker \psi \Rightarrow \psi(k) = e$   
 $\uparrow$  since  $g_1k = g_2$

This shows that  $\eta$  does not depend on the choice of coset representatives and the map  $\eta: G/K \rightarrow \psi(G)$  is uniquely defined since  $\psi = \eta\phi$ .

How do we know  $\psi = \eta\phi$ ? Because  $\phi: G \rightarrow G/K$  is the canonical homomorphism

we know that  $\phi(g) = gK$  by def<sup>n</sup> of canonical hom.

Thus since  $\eta(gK) = \psi(g)$ , we have  $\eta\phi(g) = \psi(g) \Rightarrow \eta\phi = \psi$

We must also show that  $\eta$  is a homomorphism, but

$$\begin{aligned}
 \eta(g_1K g_2K) &= \eta(g_1g_2K) && \text{operation of normal group } K \\
 &= \psi(g_1g_2) && \text{by def}^n \text{ of } \eta \\
 &= \psi(g_1)\psi(g_2) && \text{by } \psi \text{ being a homomorphism} \\
 &= \eta(g_1K)\eta(g_2K) && \text{by def}^n \text{ of } \eta
 \end{aligned}$$

operation preserving

We have that  $\eta$  is onto  $\psi(G)$  since  $\forall \psi(g) \in \psi(G) \exists gK \in G/K$  s.t

$\eta(gK) = \psi(g)$  (by definition)

To show that  $\eta$  is one-to-one, suppose that  $\eta(g_1K) = \eta(g_2K)$ . Then  $\psi(g_1) = \psi(g_2)$

This implies that  $\psi(g_1) (\psi(g_2))^{-1} = e \Rightarrow \psi(g_1) \psi(g_2^{-1}) = e$   
 $\Rightarrow \psi(g_1 g_2^{-1}) = e$

or  $g_1^{-1} g_2 \in \ker \psi$

Hence  $g_1^{-1} g_2 K = K$

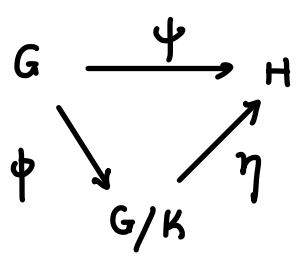
This implies  $g_2 K = g_1 K$ .

Therefore  $\eta$  is an isomorphism

□

Note We use diagrams called **commutative diagrams** to describe such theorems

The following diagram "commutes" since  $\psi = \eta \phi$ .



The first isomorphism theorem, intuitively

(Based on the website [Math3ma](#))

Suppose  $\psi: G \rightarrow H$  is a homomorphism of groups (let's assume it's not the map that sends everything to the identity, otherwise there's nothing interesting to say) and recall that  $\ker \psi \subset G$  means "You belong to  $\ker \psi$  if and only if you map the identity  $e_H$  in  $H$ ".

Now we want to understand why it's helpful to think of the quotient  $G/\ker \psi$  as consisting of all the stuff in  $G$  that doesn't map to  $e_H$

$G/\ker \psi \rightsquigarrow$  "things in  $G$  that don't map to the identity"

First notice that every element of  $G$  is either

- ① in  $\ker \psi$
- ② not in  $\ker \psi$

There's only one way to satisfy ① — you are simply in the kernel

This is why we have exactly one "trivial" coset,  $\ker \psi$ .

On the other hand, there may be many ways to satisfy ② and it's why there may be many "nontrivial" cosets.

But just how might an element  $g \in G$  satisfy ②?

$$\psi(g) \neq e_H$$

But notice there could be many elements besides  $g$  who also map to the same  $\psi(g)$  under  $\psi$ . (after all, we haven't required that  $\psi$  is injective)

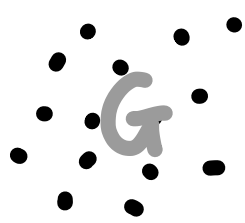
For instance, every element of the form  $gg'$  where  $g' \in \ker \psi$  works.

So we group all those elements together in one pile, one coset, and denote it  $g\ker \psi$ . The notation for this is quite good: the little  $g$  reminds us

"These are all the elements that map to the value of  $\psi$  at that  $g$ "

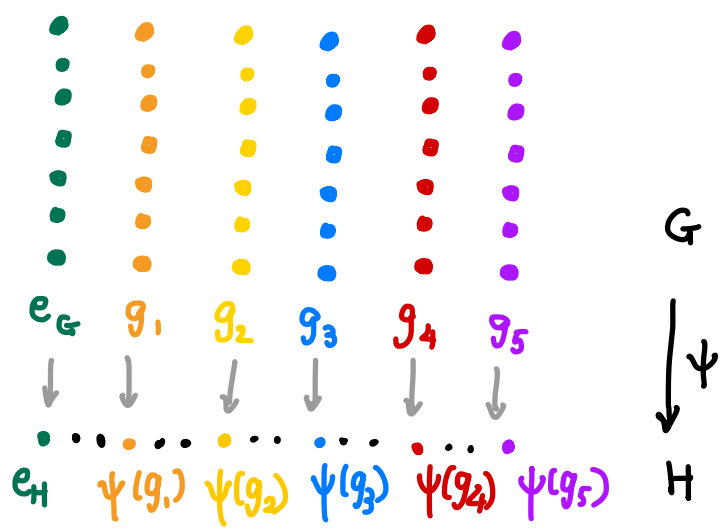
And multiplying  $g$  by  $\ker \psi$  on the right is suggestive of what we just observed: we can obtain other elements with the same image  $\psi(g)$  by multiplying  $g$  on the right by things in  $\ker \psi$ .

Let's imagine the elements of  $G$  as starting off as dots scattered everywhere



which we can organize into little piles according to their image under  $\psi$

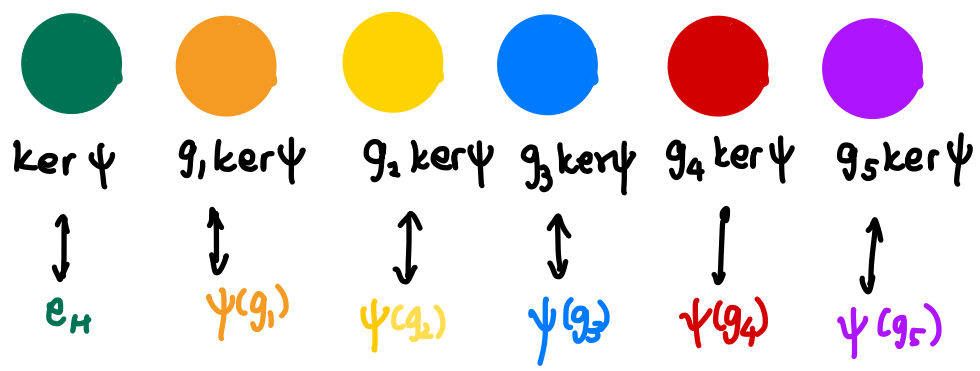
We color-code them as follows



Note that  $\psi$  isn't necessarily surjective. Now here's the key observation.

We get one such pile for every element in the set  $\psi(G) = \{h \in H \mid \psi(g) = h \text{ for some } g \in G\}$

The idea then behind forming the quotient  $G/\ker\psi$  is that we might as well consider the collection of green dots as a single green dot and call it the coset  $\ker\psi$ . And we might as well consider the collection of orange dots as a single orange dot and call it the coset  $g_1\ker\psi$ , and so on. So we get this picture:



Intuitively, then, we should expect a one-to-one correspondence between the cosets of  $G/\ker\psi$  and the elements of  $\psi(G)$ . That's exactly what the first isomorphism theorem means when it tells us there is a bijection

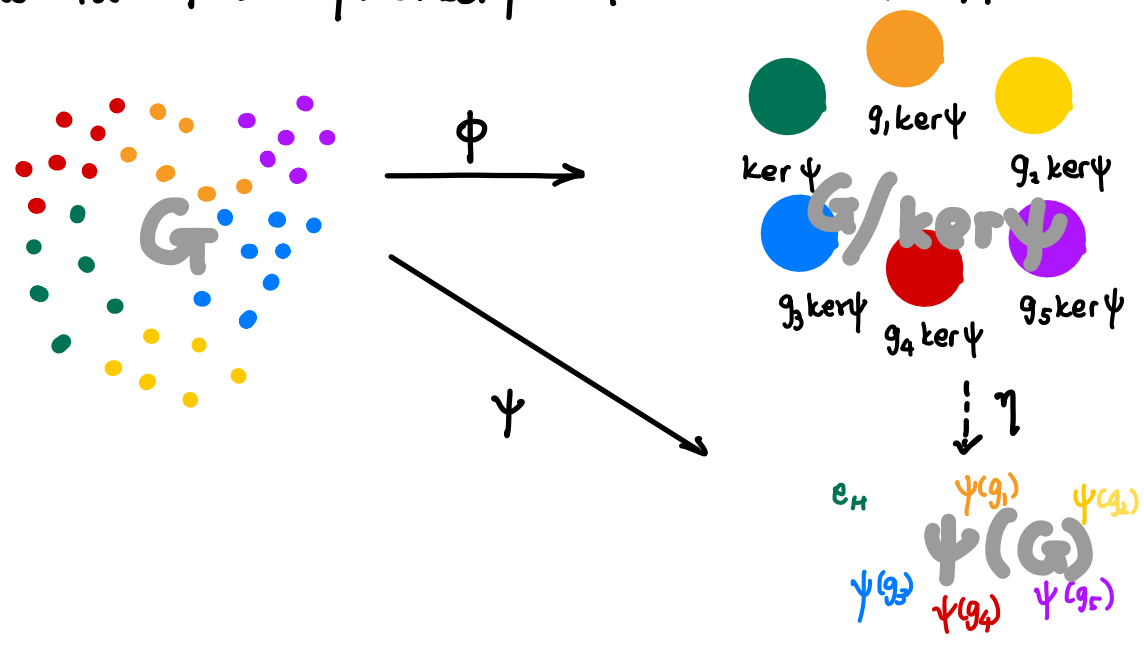
$$G/\ker\psi \cong \psi(G)$$

We should also notice that there are exactly  $|\psi(G) \setminus \{e_H\}|$  ways to "fail" to be in  $\ker \psi$  and exactly  $1 = |\{e_H\}|$  way to be in  $\ker \psi$

Typically  $|\psi(G) \setminus \{e_H\}| > 1$  and so the interesting part of the quotient  $G/\ker \psi$  lies in its subset of nontrivial cosets  $g_1 \ker \psi, g_2 \ker \psi, \dots$

The first isomorphic theorem implies that this is the same as viewing the interesting part of  $\psi(G)$  as lying in all the elements of  $g$  that don't map to the identity in  $H$ .

Closing remark: Theorem let  $\psi: G \rightarrow H$  be a group homomorphism and let  $\phi: G \rightarrow G/\ker \psi$  be the canonical (surjective) homomorphism  $g \mapsto g \ker \psi$ . Then  $\exists$  a unique isomorphism  $\eta: G/\ker \psi \rightarrow \psi(G)$  so that  $\psi = \eta \phi$ .



Example Let  $G$  be a cyclic group with generator  $g$ . We define the map  $\phi: \mathbb{Z} \rightarrow G$  by  $\phi(n) = g^n$ . This map is a homomorphism since  $\phi(m+n) = g^{m+n} = g^m g^n = \phi(m) \phi(n)$ .

It is actually a surjective homomorphism since  $\forall g^n \in G \exists n \in \mathbb{Z}$  s.t.  $\phi(n) = g^n$ .

If  $|g| = m$  then  $g^m = e$ . This implies that  $\ker \phi = g^m = m\mathbb{Z}$ .

Also  $\mathbb{Z} / \ker \phi = \mathbb{Z} / m\mathbb{Z} \cong G$  ← since in theorem 11.10 (1st isomorphism thm) we showed that  $\eta: G/k \rightarrow \psi(G) = H$  is an isomorphism  
↑ by def<sup>n</sup> of  $\ker \phi$  in the line above



If the order of  $g$  is infinite, then  $\ker \phi = 0$  and  $\phi$  is an isomorphism of  $G$  and  $\mathbb{Z}$ .

Therefore, two cyclic groups are isomorphic exactly when they have the same order

Up to isomorphism, the only cyclic groups are  $\mathbb{Z}$  and  $\mathbb{Z}_n$ .

### CHAPTER 13 The structure of groups

In group theory we already said we want to classify all groups up to an isomorphism. Given a particular group, we want to match it with a known one through an isomorphism.

e.g. We already saw that any finite cyclic group of order  $n$  is isomorphic to  $\mathbb{Z}_n$ .

Thus we "know" all finite cyclic groups.

#### Here we will characterize all finite abelian groups

If a group has a sequence of subgroups  $G = H_n \supset H_{n-1} \supset \dots \supset H_1 \supset H_0 = \{e\}$

where • each subgroup  $H_i$  is normal in  $H_{i+1}$

• each of the factor groups  $\frac{H_{i+1}}{H_i}$  is abelian

then  $G$  is a solvable group.

Solvable groups allow us to ① distinguish between certain classes of groups

② Study solutions to polynomial equations

### Section 13.1 : Finite Abelian Groups

Things we already determined:

① Every group of prime order is isomorphic to  $\mathbb{Z}_p$

②  $\mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n$  where  $\gcd(m,n) = 1$

But more things hold.

③ Every finite abelian group is isomorphic to a direct product of cyclic groups of prime power order. i.e. Every finite abelian group is isomorphic to a group  $\mathbb{Z}_{p_1^{a_1}} \times \dots \times \mathbb{Z}_{p_n^{a_n}}$  where each  $p_k$  is prime (and not necessarily distinct).

Slight generalization of finite abelian groups

Suppose  $G$  is a group and let  $\{g_i\}$  be the set of elements in  $G$  where  $i$  is in some index set  $I$  (not necessarily finite).

The smallest subgroup of  $G$  containing all of the  $g_i$ 's is the subgroup of  $G$  generated by the  $g_i$ 's. If this subgroup of  $G$  is in fact all of  $G$ , then  $G$  is generated by the set  $\{g_i : i \in I\}$ . The  $g_i$ 's are the generators of  $G$ .

If there is a finite set  $\{g_i : i \in I\}$  that generates  $G$ , then  $G$  is finitely generated.

Example All finite groups are finitely generated.  $G$  the groups  $S_3$  is generated by the permutations  $(1\ 2)$  and  $(1\ 2\ 3)$

Check:  $\sigma = \{(1\ 2), (1\ 2\ 3)\}$

$S_3 = \{(1), (1\ 2), (2\ 3), (1\ 3), (1\ 2\ 3), (1\ 3\ 2)\}$   
 $|S_3| = 3! = 6$

- $(1\ 2)(1\ 2) = (1)$
- $(1\ 2)(1\ 2\ 3) = (1)(2\ 3) = (2\ 3)$
- $(1\ 2\ 3)(1\ 2\ 3) = (1\ 3\ 2)$
- $(1\ 2\ 3)(1\ 2) = (1\ 3)$  ✓

thus  $S_3$  is finitely generated by the set  $\{(1\ 2), (1\ 2\ 3)\}$

Proposition 13.3 Let  $H$  be the subgroup of a group  $G$  that is generated by  $\{g_i : i \in I\}$ . Then  $h \in H$  exactly when it's a product of the form

$$h = g_{i_1}^{a_1} \dots g_{i_n}^{a_n}$$

where the  $g_{i_k}$ 's are not necessarily distinct.

Proof let  $K$  be the set of all products of the form  $g_{i_1}^{\alpha_1} \dots g_{i_n}^{\alpha_n}$ , where the  $g_{i_k}$ 's are not necessarily distinct.

This  $K$  is a subset of  $H$  (recall that  $H$  is generated by  $g_i \in G : i \in I$ )

Is  $K$  a subgroup of  $G$ ?

- If yes, then  $K=H$  since  $H$  is the smallest subgroup containing all the  $g_i$ 's.
- The set  $K$  is closed under the group operation since it's of the form  $g_{i_1}^{\alpha_1} \dots g_{i_n}^{\alpha_n}$
- Since  $g_i^0 = 1$  the identity is in  $K$
- $g^{-1} = (g_{i_1}^{k_1} \dots g_{i_n}^{k_n})^{-1} = (g_{i_1}^{-k_n} \dots g_{i_1}^{-k_1})$  is the inverse of  $g$

□

Note Powers of a fixed  $g_i$  may occur several times in the product if we have a nonabelian group.

If the group is abelian then the  $g_i$ 's need occur only once

e.g. A product  $a^{-3}b^5a^7$  in an abelian group could be simplified to  $a^4b^5$

### FINITE ABELIAN GROUPS

Any finite abelian group can be expressed as a finite direct product of cyclic groups

\* Letting  $p = \text{prime}$  we define a group  $G$  to be a  $p$ -group if every element in  $G$  has as its order a power of  $p$ .

e.g. Both  $\mathbb{Z}_2 \times \mathbb{Z}_2$  and  $\mathbb{Z}_4$  are 2-groups

elements of  $\mathbb{Z}_2 \times \mathbb{Z}_2 = \{ (0,0), (0,1), (1,0), (1,1) \}$   
 Every element  $(a,b)$  in  $\mathbb{Z}_2 \times \mathbb{Z}_2$  has order 2  
 since  $(a,b) + (a,b) = (0,0)$

identity = 0  
 elements of cyclic group  $\mathbb{Z}_4 = \{0,1,2,3\}$   
 order of 0 is 1  
 order of 1 is 4  
 order of 2 is 2  
 order of 3 is 4  
 ↑  
addition

Theorem 13.4 [FUNDAMENTAL THEOREM OF FINITE ABELIAN GROUPS]

Every finite abelian group  $G$  is isomorphic to a direct product of cyclic groups of the form

$$\mathbb{Z}_{p_1^{\alpha_1}} \times \mathbb{Z}_{p_2^{\alpha_2}} \times \dots \times \mathbb{Z}_{p_n^{\alpha_n}}$$

Writing a group in this form is called "determining the isomorphism class of  $G$ "

Here the  $p_i$ 's are primes that are not necessarily distinct

Let's look at a few examples to see how powerful the fundamental theorem is

Reminder: It can be used as an algorithm for constructing all abelian groups of any order

Let's look at groups whose orders have the form  $p^k$ , where  $p$  is prime and  $k \leq 4$ .

Generally there is one group of order  $p^k$  for each set of positive integers whose sum is  $k$  (such a set is called a partition of  $k$ ). That is, if  $k$  can be written as

$$k = n_1 + n_2 + \dots + n_t$$

where each  $n_i$  is a positive integer then

$$\mathbb{Z}_{p^{n_1}} \times \mathbb{Z}_{p^{n_2}} \times \dots \times \mathbb{Z}_{p^{n_t}}$$

is an abelian group of order  $p^k$

<u>Order of <math>G</math></u>	<u>Partitions of <math>k</math></u>	<u>Possible direct products for <math>G</math></u>
$p$	1	$\mathbb{Z}_p$
$p^2$	2 1+1	$\mathbb{Z}_{p^2}$ $\mathbb{Z}_p \times \mathbb{Z}_p$
$p^3$	3 2+1 1+1+1	$\mathbb{Z}_{p^3}$ $\mathbb{Z}_{p^2} \times \mathbb{Z}_p$ $\mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_p$

$p^4$

- 4
- 3+1
- 2+2
- 2+1+1
- 1+1+1+1

- $\mathbb{Z}_p^4$
- $\mathbb{Z}_p^3 \times \mathbb{Z}_p$
- $\mathbb{Z}_p^2 \times \mathbb{Z}_p^2$
- $\mathbb{Z}_p^2 \times \mathbb{Z}_p \times \mathbb{Z}_p$
- $\mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_p$

Note The number of terms in the product and the orders of the cyclic groups are uniquely determined by the group.

This guarantees that distinct partitions of  $k$  yield distinct isomorphism classes.

For example,  $\mathbb{Z}_9 \times \mathbb{Z}_3$  is not isomorphic to  $\mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_3$

A mnemonic for comparing external direct products is the cancellation property:

\* If  $A$  is finite then  $A \times B \cong A \times C$  if and only if  $B \cong C$

Thus  $\mathbb{Z}_4 \times \mathbb{Z}_4$  is not isomorphic to  $\mathbb{Z}_4 \times \mathbb{Z}_2 \times \mathbb{Z}_2$  because  $\mathbb{Z}_4$  is not isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

Example. Objective: Classify all abelian groups of order  $540!$

First we note that  $540 = 2^2 \cdot 3^3 \cdot 5$ .

The FUNDAMENTAL THEOREM OF FINITE ABELIAN GROUPS tells us we have 6 possibilities

$$1. \quad \underbrace{\mathbb{Z}_2 \times \mathbb{Z}_2}_2 \times \underbrace{\mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_3}_3 \times \underbrace{\mathbb{Z}_5}_1$$

$$540 = 2^2 \cdot 3^3 \cdot 5^1$$

2.  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_9 \times \mathbb{Z}_5$

3.  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_{27} \times \mathbb{Z}_5$

4.  $\mathbb{Z}_4 \times \mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_3 \times \mathbb{Z}_5$

5.  $\mathbb{Z}_4 \times \mathbb{Z}_3 \times \mathbb{Z}_9 \times \mathbb{Z}_5$

6.  $\mathbb{Z}_4 \times \mathbb{Z}_{27} \times \mathbb{Z}_5$



Constructing all abelian groups of a certain order  $n$  where  $n$  has 2 or more distinct prime divisors

STEP 1 Write  $n$  in the prime power decomposition form  $n = p_1^{n_1} p_2^{n_2} \dots p_k^{n_k}$

STEP 2 : Individually form all abelian groups of order  $p_1^{n_1}$ , then  $p_2^{n_2}, \dots$

STEP 3: Form all possible external direct products of these groups

Example Let  $n = 1176 = 2^3 \cdot 3 \cdot 7^2$

Then the complete list of the distinct isomorphism classes of abelian groups of order 1176 is

1.  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_7 \times \mathbb{Z}_7$
2.  $\mathbb{Z}_4 \times \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_7 \times \mathbb{Z}_7$
3.  $\mathbb{Z}_8 \times \mathbb{Z}_3 \times \mathbb{Z}_7 \times \mathbb{Z}_7$
4.  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_{49}$
5.  $\mathbb{Z}_4 \times \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_{49}$
6.  $\mathbb{Z}_8 \times \mathbb{Z}_3 \times \mathbb{Z}_{49}$

If we are given any particular abelian group  $G$  of order 1176, the question we want to answer about  $G$  is:

→ Which of the preceding six isomorphism classes represents the structure of  $G$ ?

We can answer this by comparing the orders of the elements of  $G$  with the orders of the elements in the six direct products, since it can be shown that two finite abelian groups are isomorphic if and only if they have the same number of elements of each order

We could determine whether  $G$  has any elements of order 8. If so, then  $G$

must be isomorphic to the 3<sup>rd</sup> and 6<sup>th</sup> groups above, since these are the only ones with elements of order 8.

To narrow  $G$  down to a single choice we now need only check whether or not  $G$  has an element of order 49, since the 6<sup>th</sup> group above has such an element whereas the 3<sup>rd</sup> not.

### CHAPTER 16 RINGS

So far we studied sets with a single binary operation satisfying certain axioms

Often, we are interested in working with sets that have two binary operations.

Eg. think of the integers with the operations of addition and multiplication.

These are related by the **distributive property**.

If we consider a set with two such related binary operations satisfying certain axioms, we have an algebraic structure called a ring.

#### Section 16.1: Rings

Definition: A nonempty set  $R$  is a **ring** if it has two closed binary operations, addition and multiplication, satisfying the following conditions:

a ring is an abelian group under addition

- 1.  $a+b = b+a$  for  $a, b \in R$
- 2.  $(a+b) + c = a + (b+c)$  for  $a, b, c \in R$
- 3. There is an element  $0$  in  $R$  such that  $a+0 = a$  for all  $a \in R$
- 4. For every element  $a \in R$ , there exists an element  $-a$  in  $R$  such that  $a+(-a) = 0$
- 5.  $(ab)c = a(bc)$  for  $a, b, c \in R$
- 6. For  $a, b, c \in R$

$$\left. \begin{aligned} a(b+c) &= ab+ac \\ (a+b)c &= ac+bc \end{aligned} \right\} \text{distributive axiom}$$

In 3. We have not assumed that  $0 \cdot a = a \cdot 0 = 0 \quad \forall a \in R$ . What 3. says is that 0 is an identity with respect to addition.

We do not assume that multiplication is commutative and we have not assumed that there is an identity for multiplication, much less that elements have inverses with respect to multiplication.

In 4.  $-a$  is the additive inverse of  $a$ . Subtraction in a ring is defined by the rule  $a - b = a + (-b) \quad \forall a, b \in R$ .

the multiplicative identity is not the additive identity

Def<sup>n</sup>: If there is an element  $1 \in R$  such that  $1 \neq 0$  and  $1a = a1 = a$  for each element  $a \in R$  we say that  $R$  is a ring with **unity or identity**.

Def<sup>n</sup>: A ring  $R$  for which  $ab = ba \quad \forall a, b \in R$  is called a **commutative ring**

Note that the addition in a ring is always commutative but the multiplication may not be commutative

Def<sup>n</sup>: A ring  $R$  is said to be an **integral domain** if the following conditions hold:

- 1.  $R$  is commutative
- 2.  $R$  contains an identity  $1 \neq 0$
- 3. If  $a, b \in R$  and  $ab = 0$ , then either  $a = 0$  or  $b = 0$

Def<sup>n</sup>: A **division ring** is a ring  $R$  with an identity, in which every nonzero element in  $R$  is a **unit**. That is, for each  $a \in R$  with  $a \neq 0$ ,  $\exists$  a unique element  $a^{-1}$  such that  $a^{-1}a = aa^{-1} = 1$

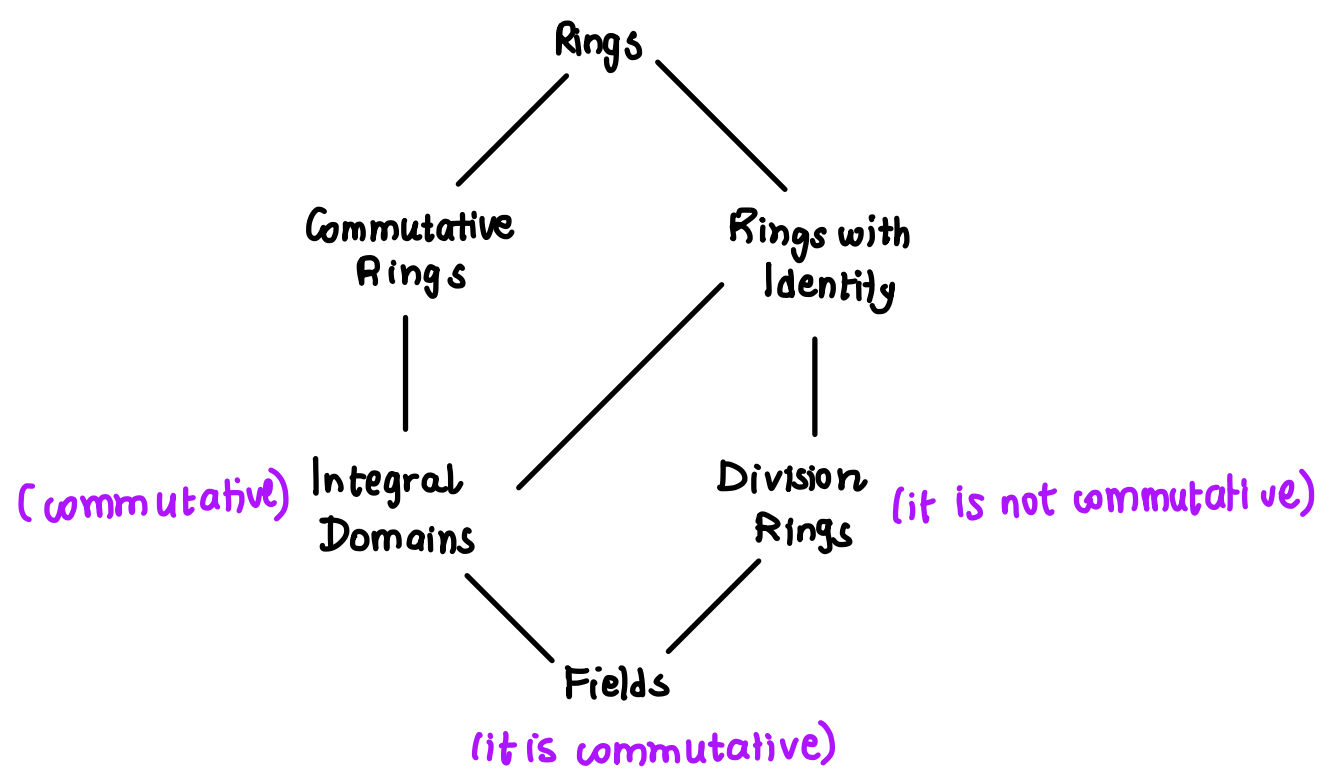
Def<sup>n</sup>: A ring  $R$  is said to be a **field** if it satisfies the following properties

- 1.  $R$  is commutative
- 2.  $R$  contains an identity  $1 \neq 0$
- 3. For each  $x \in R$  such that  $x \neq 0 \quad \exists y \in R$  such that  $xy = 1$ .

i.e. a field is a commutative division ring.



TYPES OF RINGS



Example The integers form a ring, since they satisfy axioms 1-6.  $\mathbb{Z}$  is also an integral domain. i.e. it is a commutative ring with identity. Recall that this means there is an element  $1 \in \mathbb{Z}$  such that  $1 \neq 0$  and  $1a = a1 = a$ , for each  $a \in \mathbb{Z}$ . (more succinctly for every  $a, b \in \mathbb{Z}$  such that  $ab=0$  either  $a=0$  or  $b=0$ ).

$\mathbb{Z}$  is not a field. There is no integer that is a multiplicative inverse of 2 since  $1/2 \notin \mathbb{Z}$ . The only integers with multiplicative inverses are 1 and -1

Example. Under the ordinary operations of addition and multiplication all of the familiar number systems are rings:

- the rationals  $\mathbb{Q}$
- the real numbers  $\mathbb{R}$
- the complex numbers  $\mathbb{C}$

Each of these rings is a field.

Example We can define the product of two elements  $a, b \in \mathbb{Z}_n$  by  $ab \pmod{n}$

e.g. in  $\mathbb{Z}_{12}$ .  $5 \cdot 7 \equiv 11 \pmod{12}$

- This product makes the abelian group  $\mathbb{Z}_n$  into a ring. (check that it satisfies the 6 axioms of a ring).

-  $\mathbb{Z}_n$  is a commutative ring

-  $\mathbb{Z}_n$  might fail to be an integral domain

e.g. Consider  $3 \cdot 4 \equiv 0 \pmod{12}$  in  $\mathbb{Z}_{12}$ . A product of two nonzero elements in the ring can be equal to zero.

Recall for an integral domain for every  $a, b \in R$  such that  $ab = 0$  either  $a = 0$  or  $b = 0$ .

Definition. A nonzero element  $a$  in a ring  $R$  is called a **zero divisor** if there is a nonzero element  $b \in R$  s.t.  $ab = 0$ .

e.g. In  $3 \cdot 4 \equiv 0 \pmod{12}$  in  $\mathbb{Z}_{12}$ , 3 and 4 are zero divisors in  $\mathbb{Z}_{12}$ .

Example. In calculus the continuous real-valued functions on an interval  $[a, b]$  form a commutative ring.

Explanation: We add or multiply two functions by adding or multiplying the values of the functions. If  $f(x) = x^2$  and  $g(x) = \cos x$ , then

$$(f+g)(x) = f(x) + g(x) = x^2 + \cos x$$

$$(fg)(x) = f(x)g(x) = x^2 \cos x.$$

Example. The  $2 \times 2$  matrices with entries in  $\mathbb{R}$  form a ring under the usual operations of matrix addition and multiplication

However, the ring is noncommutative, since usually  $AB \neq BA$ .

Note that we can have  $AB = 0$  when neither  $A$  nor  $B$  is zero

e.g.  $A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $B = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$

(thus the  $2 \times 2$  matrices are not an integral domain)

Example Example of a noncommutative division ring

let  $\underline{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $\underline{i} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ ,  $\underline{j} = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$ ,  $\underline{k} = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$  where  $i^2 = -1$

We can check that these elements satisfy the following relations:

$$\underline{i}^2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = -\underline{1} = \underline{j}^2 = \underline{k}^2$$

$$-\underline{i}\underline{j} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} = \underline{k}$$

$$\underline{j}\underline{k} = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \underline{i}$$

$$\underline{k}\underline{i} = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} = \underline{j}$$

$$\underline{j}\underline{i} = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} -i & 0 \\ 0 & i \end{pmatrix} = -\underline{k}$$

$$-\underline{k}\underline{j} = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ i & 0 \end{pmatrix} = -\underline{i}$$

$$\underline{i}\underline{k} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} = \begin{pmatrix} 0 & -i \\ -i & 0 \end{pmatrix} = -\underline{j}$$

Let  $\mathbb{H}$  consist of elements that have the form  $\boxed{a + b\underline{i} + c\underline{j} + d\underline{k}}$

where  $a, b, c, d \in \mathbb{R}$ .

Equivalently,  $\mathbb{H}$  can be considered as the set of all  $2 \times 2$  matrices of the form

$$\begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix} \quad \text{where } \alpha = a + di \in \mathbb{C} \\ \beta = b + ci \in \mathbb{C}$$

$$= \begin{pmatrix} a + di & b + ci \\ -b + ci & a - di \end{pmatrix} = \begin{pmatrix} a + di & b + ci \\ -(b - ci) & a - di \end{pmatrix}$$

We can define addition and multiplication on  $\mathbb{H}$  either by the usual matrix operations or in terms of the generators  $1, \underline{i}, \underline{j}, \underline{k}$ .

**Addition**  $(a_1 + b_1 \underline{i} + c_1 \underline{j} + d_1 \underline{k}) + (a_2 + b_2 \underline{i} + c_2 \underline{j} + d_2 \underline{k})$   
 $= (a_1 + a_2) + (b_1 + b_2) \underline{i} + (c_1 + c_2) \underline{j} + (d_1 + d_2) \underline{k}$

**Multiplication**  $(a_1 + b_1 \underline{i} + c_1 \underline{j} + d_1 \underline{k})(a_2 + b_2 \underline{i} + c_2 \underline{j} + d_2 \underline{k})$   
 $= (a_1 a_2 - b_1 b_2 - c_1 c_2 - d_1 d_2)$   
 $+ (a_1 b_2 + a_2 b_1 + c_1 d_2 - d_1 c_2) \underline{i}$   
 $+ (a_1 c_2 - b_1 d_2 + c_1 a_2 + d_1 b_2) \underline{j}$   
 $+ (a_1 d_2 + b_1 c_2 - c_1 b_2 + d_1 a_2) \underline{k}$   
 $\therefore = \alpha + \beta \underline{i} + \gamma \underline{j} + \delta \underline{k}$

When doing this calculation recall the relations between the generators  $\underline{i}, \underline{j}$  and  $\underline{k}$

The ring  $\mathbb{H}$  is called the ring of quaternions

Q: Show that the quaternions are a division ring.

i. e. show that for each  $a \in \mathbb{R}$  with  $a \neq 0$ ,  $\exists$  a unique element  $a^{-1}$  such that

$$a^{-1}a = aa^{-1} = 1 \quad (\text{find an inverse for each nonzero element})$$

A: Notice that  $(a + bi + cj + dk)(a - bi - cj - dk)$

$$= a^2 + b^2 + c^2 + d^2$$

$$+ (a(-b) + a b + c(-d) - d(-c))i$$

$$+ (a(-c) - b(-d) + ca + d(-b))j$$

$$+ (a(-d) + b(-c) - c(-b) + da)k$$

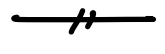
$$= a^2 + b^2 + c^2 + d^2$$

This element can be zero if and only if  $a, b, c, d$  are all zero.

So if  $a + bi + cj + dk \neq 0$

$$\underbrace{(a + bi + cj + dk)}_{\text{if this is } a \in R} \left( \underbrace{\frac{a - bi - cj - dk}{a^2 + b^2 + c^2 + d^2}}_{\text{this is } a^{-1} \in R} \right) = 1.$$

satisfying  $aa^{-1} = a^{-1}a = 1$ .



Proposition 16.8: Let  $R$  be a ring with  $a, b \in R$ . Then

- ①  $a0 = 0a = 0$
- ②  $a(-b) = (-a)b = -ab$
- ③  $(-a)(-b) = ab$

distributive property  $a(b+c) = ab+ac$

Proof ① Note that  $0 + a0 = a(0+0) = a0 + a0$

Thus  $a0 = 0$ .

(by the right cancel.)  $R$  is a group under addition with additive identity  $0$

Similarly  $0a = (0+0)a = 0a + 0a \Rightarrow 0a = 0$

distributive property  $(b+c)a = ba+ca$

② We have  $ab + a(-b) = a(b-b) = a0 = 0$  (from ①)  
 $\Rightarrow a(-b) = -ab$

Similarly  $ab + (-a)b = (a-a)b = 0b = 0$   
 $\Rightarrow (-a)b = -ab$

Thus  $a(-b) = (-a)b = -ab$

$$\begin{aligned}
 &a(-b) + ab \\
 &= a(-b+b) \\
 &= a0 \\
 &= 0
 \end{aligned}$$

Adding  $-ab$   
to both sides gives  
 $a(-b) = -ab$

③ This follows from ② since  $(-a)(-b) = -(a(-b)) = -(-ab) = ab$ .

□

Note Some have the mistaken tendency to treat a ring as if it were a group under multiplication. But it is not. The two most common errors are the assumptions that:

- ring elements have multiplicative inverses – they need not
- a ring has a multiplicative identity – it need not.

For example, if  $a, b, c \in R$ ,  $a \neq 0$  and  $ab=ac$ , we cannot conclude that  $b=c$ .  
(the right might not have a multiplicative cancellation)

Similarly, if  $a^2=a$ , we cannot conclude that  $a=0$  or  $a=1$  (as is the case w/  $\mathbb{R}$ )  
(the ring might not have a multiplicative identity)

Similar to subgroups of groups, we have subrings for rings.

Example If  $R$  is any ring, then the set  $M_n(\mathbb{R})$  of  $n \times n$  matrices with coefficients in  $\mathbb{R}$  with the usual addition and multiplication of matrices forms a ring. Here the additive identity is the zero matrix and the multiplicative identity is the identity matrix (hence the names).

$M_n(\mathbb{R})$  is a non-commutative ring.

Definition A **subring**  $S$  of a ring  $R$  is a subset  $S$  of  $R$  such that  $R$  is also a ring under the inherited operations from  $R$ .

Just as was the case for subgroups, there is a simple test for subrings

SUBRING TEST

A **nonempty subset**  $S$  of a ring  $R$  is a subring if  $S$  is closed under subtraction and multiplication; that is, **if  $a-b$  and  $ab$  are in  $S$  whenever  $a$  and  $b$  are in  $S$ .**

Proof Since addition in  $R$  is commutative and  $S$  is closed under subtraction we know by the subgroup test that  $S$  is an abelian group under addition.

┌ **Why?**

Recall that the subgroup test stated: let  $G$  be a group and  $H$  a nonempty subset of  $G$ . If  $ab^{-1} \in H$  whenever  $a, b \in H$ , then  $H$  is a subgroup of  $G$ .

In additive notation, if  $a-b \in H$  whenever  $a, b \in H$ , then  $H$  is a subgroup of  $G$ . ┘

Also, since multiplication in  $R$  is associative as well as distributive over addition the same is true for multiplication in  $S$ .

- Axioms
- 5.  $(ab)c = a(bc)$  for  $a, b, c \in R$
  - 6. For  $a, b, c \in R$ 
    - $a(b+c) = ab+ac$
    - $(a+b)c = ac+bc$

$$a(b-c) = ab - ac \in H \text{ whenever } ab, ac \in H$$

Thus, the only condition remaining to be checked is that multiplication is a binary operation on  $S$  but this is exactly what closure is.

Example The ring  $n\mathbb{Z}$  is a subring of  $\mathbb{Z}$ . Notice that even though the original ring might not have a multiplicative identity, we do not require that its subring has an identity.

Recall  $2 \in \mathbb{Z}$ , does not have a multiplicative inverse ( $\frac{1}{2} \notin \mathbb{Z}$ )

The multiplicative identity would be  $1 \in \mathbb{Z}$   $a \cdot 1 = a$

Example Let  $R = M_2(\mathbb{R})$  be the ring of  $2 \times 2$  matrices with entries in  $\mathbb{R}$ .

If  $T$  is the set of upper triangular matrices in  $R$ , i.e.

$$T = \left\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} : a, b, c \in \mathbb{R} \right\}$$

then  $T$  is a subring of  $R$ . If  $A = \begin{pmatrix} a & b \\ 0 & c \end{pmatrix}$  and  $B = \begin{pmatrix} a' & b' \\ 0 & c' \end{pmatrix}$  are in  $T$  then

$$A - B = \begin{pmatrix} a - a' & b - b' \\ 0 & c - c' \end{pmatrix} \in T \text{ also.}$$

Similarly,  $AB = \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \begin{pmatrix} a' & b' \\ 0 & c' \end{pmatrix} = \begin{pmatrix} aa' & ab' + bc' \\ 0 & cc' \end{pmatrix} \in T$  also.

Thus  $T$  is a subring of  $R$ .

Example Given two rings  $R, S$ , the **product ring**  $R \times S$  is defined as a set by  $R \times S = \{(r, s) : r \in R, s \in S\}$  with operations of addition and multiplication performed component wise.

The additive identity is given by  $(0_R, 0_S)$  and the multiplicative identity is



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given by  $(I_R, I_S)$ . If  $R$  is a ring and  $A, B \subset R$  are two subrings, then using the subring test one can check that  $A \cap B$  is another subring of  $R$ .

## Integral domains and fields

Remembering some of the definitions we have already seen...

- If  $R$  is a ring and  $r$  is a nonzero element in  $R$ , then  $r$  is said to be a zero divisor if there is some nonzero element  $s \in R$  such that  $rs = 0$ .
- A commutative ring with identity is an integral domain if it has no zero divisors. i.e. If for every  $r, s \in R$  such that  $rs = 0$ , either  $r = 0$  or  $s = 0$ .
- If an element  $a$  in a ring  $R$  with identity has a multiplicative inverse, we say  $a$  is a unit. i.e. for each  $a \in R$  with  $a \neq 0 \exists$  a unique  $a^{-1}$  s.t.  $a^{-1}a = aa^{-1} = 1$ .
- If every nonzero element in a ring  $R$  is a unit, then  $R$  is called a division ring.
- A commutative division ring is a field.

Example If  $i^2 = -1$ , then  $\mathbb{Z}[i] = \{m + ni : m, n \in \mathbb{Z}\}$  forms a ring known as the

### Gaussian integers

The Gaussian integers are a subring of the complex numbers since they are closed under addition and multiplication.

Say  $m + ni \in \mathbb{Z}[i]$  for  $m, n \in \mathbb{Z}$  and  $q + si \in \mathbb{Z}[i]$  for  $q, s \in \mathbb{Z}$ . Then

$$(m + ni) + (q + si) = (m + q) + (n + s)i \in \mathbb{Z}[i]$$

Similarly  $(m+ni)(q+si) = mq + ms_i + nq_i - ns$

$= (mq - ns) + (ms + nq)i \in \mathbb{Z}[i]$

let  $\alpha = a+bi$  be a unit in  $\mathbb{Z}[i]$ . Then  $\bar{\alpha} = a-bi$  is also a unit since

if  $\alpha\beta = 1$  then  $\bar{\alpha}\bar{\beta} = 1$ .

↑  
multiplicative identity

by def<sup>n</sup> of a unit: for each  $a \in R$  with  $a \neq 0$   
 $\exists$  a unique  $a^{-1}$  s.t.  $a^{-1}a = aa^{-1} = 1$ .

in this case for each  $\alpha \in \mathbb{Z}[i] \exists \beta$  s.t.  $\alpha\beta = 1$ .

Then  $\overline{\alpha\beta} = \bar{\alpha}\bar{\beta} = 1$

If  $\beta = c+di$  then

$1 = (\alpha\beta)(\bar{\alpha}\bar{\beta})$   
" " " "

$= (a+bi)(c+di)(a-bi)(c-di)$

$= (a^2 + b^2)(c^2 + d^2)$

since  $\mathbb{Z}[i] = \{m+ni : m, n \in \mathbb{Z}\}$

When can this happen? Say  $a+bi = 1$  and  $c+di = 1$

$\Rightarrow (a^2 + b^2)(c^2 + d^2) = 1$

If  $a+bi = -1$  and  $c+di = -1 \Rightarrow (a^2 + b^2)(c^2 + d^2) = 1$

If  $a+bi = i$  and  $c+di = i \Rightarrow (a^2 + b^2)(c^2 + d^2) = 1$

If  $a+bi = -i$  and  $c+di = -i \Rightarrow (a^2 + b^2)(c^2 + d^2) = 1$

Thus, units of this ring are  $\pm 1$  or  $\pm i$ .

Q Are the Gaussian integers a field?

A No, they are not a field.

### Proposition 16.15 Cancellation law

Let  $D$  be a commutative ring with identity. Then  $D$  is an integral domain if and only if  $\forall$  nonzero elements  $a \in D$  with  $ab = ac$  we have  $b = c$ .

Proof ( $\Rightarrow$ ) let  $D$  be an integral domain.

Then  $D$  has no zero divisors. (by definition)

let  $ab = ac$  with  $a \neq 0$ .

Then  $ab - ac = 0 \Rightarrow a(b - c) = 0$  from the distributive property.

Since  $D$  is an integral domain then for every  $r, s \in D$  s.t.  $rs = 0$ , either  $r = 0$  or  $s = 0$ .

In this case since  $a \neq 0$ ,  $b - c = 0$ .

Therefore  $b = c$ .

( $\Leftarrow$ ) Let us now suppose that cancellation is possible in  $D$ .

i.e. suppose that  $ab = ac \Rightarrow b = c$ .

(as in the assumption in the proposition)

let  $ab = 0$ . If  $a \neq 0$  then  $ab = a0$  or  $b = 0$ .

Thus  $a$  cannot be a zero divisor. (recall  $r \neq 0$ ,  $r \in R$  is said to be a zero divisor if  $\exists s \neq 0$ ,  $s \in R$  s.t.  $rs = 0$ ).

Example Field with 9 elements

$$\text{let } \mathbb{Z}_3[i] = \{m+ni : m, n \in \mathbb{Z}_3\}$$

$$= \{0, 1, 2, i, 1+i, 2+i, 2i, 1+2i, 2+2i\}, \text{ where } i^2 = -1$$

This is the ring of Gaussian integers modulo 3.

Elements are added and multiplied as in the complex numbers, except that the coefficients are reduced modulo 3.

Note that  $-1 = 2 \pmod 3$

This means that the additive inverse of 1 (i.e. -1) is 2.  $1+2=0 \pmod 3$   
↑  
additive identity

Example Let  $\mathbb{Q}[\sqrt{2}] = \{a+b\sqrt{2} : a, b \in \mathbb{Q}\}$ . Check that it's a ring!

Q: Is it a field?

A: This means that every nonzero element must be a unit ( $\exists$  a mult. inverse)

The multiplicative inverse of any nonzero element of the form  $a+b\sqrt{2}$  is

$$\frac{1}{a+b\sqrt{2}}$$

We rationalize this to get

$$\frac{1}{a+b\sqrt{2}} \cdot \frac{a-b\sqrt{2}}{a-b\sqrt{2}} = \frac{a-b\sqrt{2}}{a^2-2b^2}$$

$$= \frac{a}{a^2-2b^2} - \frac{b\sqrt{2}}{a^2-2b^2}$$

$$= \left(\frac{a}{a^2-2b^2}\right) + \left(\frac{-b}{a^2-2b^2}\right)\sqrt{2}$$

Thus the inverse of  $a+b\sqrt{2}$  is  $c+d\sqrt{2} \in \mathbb{Q}[\sqrt{2}]$ .  $= c+d\sqrt{2}$

Note that  $a+b\sqrt{2} \neq 0$  guarantees that  $a-b\sqrt{2} \neq 0$ .

### Wedderburn's theorem

Theorem 16.16 : Every finite integral domain is a field.

Proof Let  $D$  be a finite integral domain.

Let  $D^*$  be the set of nonzero elements of  $D$ .

We must show that every element in  $D^*$  has an inverse. (this is precisely a field)

For each  $a \in D^*$  we can define a map  $\lambda_a : D^* \rightarrow D^*$  by  $\lambda_a(d) = ad$ .

If  $a \neq 0$  and  $d \neq 0$  then  $ad \neq 0$  why? Because for an integral domain for every  $a, b \in R$  s.t  $ab = 0$  either  $a = 0$  or  $b = 0$ .  
If neither  $a = 0$  nor  $b = 0$  then  $ab \neq 0$ .

The map  $\lambda_a$  is one-to-one since for  $d_1, d_2 \in D^*$

$$\begin{aligned} \lambda_a(d_1) &= \lambda_a(d_2) \\ \Rightarrow ad_1 &= ad_2 \end{aligned}$$

which by left-cancellation gives  $d_1 = d_2$ .

Recall that by proposition 16.15 the multiplicative cancellation law holds when  $D$  is an integral domain.

Since  $D^*$  is a finite set (look at the statement of theorem 16.16), the map  $\lambda_a$  must also be onto. Hence for some  $d \in D^*$ ,  $\lambda_a(d) = ad = 1$ .

Thus  $a$  has a right inverse.

Since  $D$  is commutative,  $a$  also has a left inverse, which is  $d$ .

Therefore,  $D$  is a field.

□

↑ we know  $1 \in D^*$  because  $D$  is an integral domain which means it's a ring with an identity

For any nonnegative integer  $n$  and any element  $r$  in a ring  $R$  we write  $r + \dots + r$   $n$  times as  $nr$ .

Definition The **characteristic** of a ring  $R$  is the least positive integer  $n$  such that  $nr = 0 \quad \forall r \in R$  order under addition

[order of the underlying group]

If no such integer exists, then the characteristic of  $R$  is defined to be 0. We denote the characteristic of  $R$  by  $\text{char } R$ .

Example. For every prime  $p$ ,  $\mathbb{Z}_p$  is a field of characteristic  $p$ .

By proposition 3.4 every nonzero element in  $\mathbb{Z}_p$  has an inverse, hence  $\mathbb{Z}_p$  is a field.

[Remark: In property (c) of prop. 3.4 we had the following:

Let  $\mathbb{Z}_n$  be the set of integers mod  $n$ . Let  $a$  be a nonzero integer. Then  $\text{gcd}(a, n) = 1$  if and only if  $\exists$  a multiplicative inverse  $b$  for  $a \pmod{n}$ .

i. e. a nonzero integer  $b$  s.t.  $ab \equiv 1 \pmod{n}$  └

If  $a$  is any nonzero element in the field, then  $pa = 0$ , since the order of any nonzero element in the abelian group  $\mathbb{Z}_p$  is  $p$

By the definition of the characteristic of a ring  $R$ , we know that  $\mathbb{Z}_p$  is a field of characteristic  $p$ .

Lemma 16.18 Let  $R$  be a ring with identity.

If  $1$  has order  $n$ , then the characteristic of  $R$  is  $n$ .

Proof If  $1$  has order  $n$ , then  $n$  is the least positive integer such that

$n1=0$  Thus, for all  $r \in R$ ,

$$\begin{aligned} nr &= n(1r) && \text{using the definition of identity} \\ & && |r = r| = r \\ &= (n1)r && \text{by associativity (axiom 5 of rings)} \\ &= 0r && \text{since } 1 \text{ has order } n \Rightarrow n1=0 \\ &= 0 \end{aligned}$$

If no positive  $n$  exists such that  $n1=0$  then the characteristic of  $R$  is zero.

Theorem 16.19 The characteristic of an integral domain is either prime or zero

Proof Let  $D$  be an integral domain.

Suppose that the characteristic of  $D$  is  $n$  with  $n \neq 0$ .

- If  $n$  is not prime then  $n=ab$  where  $1 < a < n$  and  $1 < b < n$

By lemma 16.18, we need only consider the case  $n1=0$ .

$$\begin{aligned} \text{Since } 0 &= n1 \\ &= (ab)1 \\ &= (a1)(b1) \end{aligned}$$

↷ we can do this by def<sup>n</sup> of an identity  
 $a1 = a$  and  $b1 = b$ .

and an integral domain has no zero divisors, we have either  $a1=0$  or  $b1=0$ .

↳ these imply that the characteristic of  $D$  is either  $a$  or  $b$  and both are less than  $n$ .

Thus, the characteristic of  $\mathbb{D}$  must be less than  $n$ , which is a contradiction.

Thus,  $n$  must be prime.

□

### Section 16.3 RING HOMOMORPHISMS AND IDEALS

If you recall from back when we were doing groups, a homomorphism is a map that preserves the operation of the group.

Similarly, a homomorphism between rings preserves the operations of addition and multiplication in the ring.

Definition: If  $R$  and  $S$  are rings, then a **ring homomorphism** is a map

$\phi: R \rightarrow S$  satisfying

$$\begin{aligned}\phi(a+b) &= \phi(a) + \phi(b) \\ \phi(ab) &= \phi(a)\phi(b)\end{aligned}$$

$\forall a, b \in R$ .

Definition: If  $\phi: R \rightarrow S$  is a one-to-one and onto homomorphism, then  $\phi$  is called a **ring isomorphism**.

Definition: For any ring homomorphism  $\phi: R \rightarrow S$ , we define the **kernel** of a ring homomorphism to be the set

$$\ker \phi = \{r \in R : \phi(r) = 0\}$$



Example For any integer  $n$  we can define a ring homomorphism  $\phi: \mathbb{Z} \rightarrow \mathbb{Z}_n$  by  $\phi(a) = a \pmod{n}$ . Let's check that this is actually a ring homomorphism

$$\begin{aligned} \phi(a+b) &= a+b \pmod{n} \\ &= a \pmod{n} + b \pmod{n} \\ &= \phi(a) + \phi(b) \end{aligned}$$

and 
$$\begin{aligned} \phi(ab) &= ab \pmod{n} \\ &= a \pmod{n} \cdot b \pmod{n} \\ &= \phi(a) \phi(b) \end{aligned}$$

Q: What's the kernel of this ring homomorphism?

A:  $\ker \phi = n\mathbb{Z}$  ← integers that are multiples of  $n$ , i.e.  $n\mathbb{Z} = \{nx : x \in \mathbb{Z}\}$

Example Let  $C[a,b]$  be the ring of real-valued, continuous functions on an interval  $[a,b]$ .

[This is a (commutative ring).  $(f+g)(x) = f(x) + g(x)$   
and  $(fg)(x) = f(x)g(x)$ ]

For a fixed  $\alpha \in [a,b]$ , we can define a ring homomorphism  $\phi_\alpha: C[a,b] \rightarrow \mathbb{R}$  by  $\phi_\alpha(f) = f(\alpha)$

Let's check this is indeed a ring homomorphism:

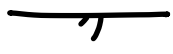
$$\begin{aligned} \phi_\alpha(f+g) &= (f+g)(\alpha) \\ &= f(\alpha) + g(\alpha) \\ &= \phi_\alpha(f) + \phi_\alpha(g) \end{aligned}$$

$$\begin{aligned} \phi_\alpha(fg) &= (fg)(\alpha) \\ &= f(\alpha)g(\alpha) \\ &= \phi_\alpha(f)\phi_\alpha(g) \end{aligned}$$

In fact, this type of ring homomorphism  $\phi_\alpha(f) = f(\alpha)$  is known as evaluation homomorphism.

Proposition 16.22 Let  $\phi: R \rightarrow S$  be a ring homomorphism

- ① If  $R$  is a commutative ring, then  $\phi(R)$  is also a commutative ring
- ②  $\phi(0) = 0$
- ③ Let  $1_R$  and  $1_S$  be the identities for  $R$  and  $S$ , respectively.  
If  $\phi$  is onto then  $\phi(1_R) = 1_S$
- ④ If  $R$  is a field and  $\phi(R) \neq \{0\}$ , then  $\phi(R)$  is a field.



Recall that several sections ago when we were learning group theory we saw that normal subgroups are interesting to study.

The corresponding objects in ring theory are special subrings known as ideals.

Definition: An ideal in a ring  $R$  is a subring  $I$  of  $R$  such that if  $a \in I$  and  $r \in R$ , then both  $ra \in I$  and  $ra \in I$

That is, a subring  $I$  of a ring  $R$  is an ideal of  $R$  if  $I$  "absorbs" elements from  $R$ . i.e. if  $rI = \{ra \mid a \in I\} \subseteq I$  and  $Ir = \{ar \mid a \in I\} \subseteq I \quad \forall r \in R$

Example Every ring  $R$  has at least two ideals:  $\{0\}$  and  $R$ .

We call these ideals the trivial ideal

Let  $R$  be a ring with identity and suppose that  $I$  is an ideal in  $R$  such that  $1 \in I$ . Since for any  $r \in R$ ,  $rl = r \in I$  by the definition of an ideal,  $I = R$ .

$rl \in I$  and  $1r \in I$   
but by def<sup>n</sup> of identity  
 $rl = 1r = r \Rightarrow r \in I$

Example If  $a$  is an element in a commutative ring  $R$  with identity, then the set  $\langle a \rangle = \{ar : r \in R\}$  is an ideal in  $R$ .

$\langle a \rangle \neq \emptyset$  since  $a = a1$  ← multiplicative identity is in  $\langle a \rangle$   
(since  $R$  is a commutative ring with identity)

The sum of two elements in  $\langle a \rangle$  is again in  $\langle a \rangle$  since

$ar + ar' = a(r+r')$   
by the distributive property

Inverse of  $ar$  is  $-ar = a(-r) \in \langle a \rangle$ .

If we multiply an element  $ar \in \langle a \rangle$  by an arbitrary element  $s \in R$

we have  $s(ar) = (sa)r$       associativity  
 $= (as)r$       commutative (since  $R$  is a comm. ring)  
 $= a(sr)$       associativity

Therefore,  $\langle a \rangle$  satisfies the definition of an ideal.

Defn If  $a \in I$  and  $r \in R$  then both  $ar \in I$  and  $ra \in I$   
→ in our case  $ar \in I$  and  $s \in R \Rightarrow (ar)s \in I$  and  $s(ar) \in I$   
would mean that  $I$  is an ideal. □

Definition: If  $R$  is a commutative ring with identity, then an ideal of the form  $\langle a \rangle = \{ar : r \in R\}$  is called a **principal ideal**.

Theorem 16.25 Every ideal in the ring of integers  $\mathbb{Z}$  is a principal ideal.

Proof The zero ideal  $\{0\}$  is a principal ideal since  $\langle 0 \rangle = \{0\}$ .

If  $I$  is any nonzero ideal in  $\mathbb{Z}$ , then  $I$  must contain some positive integer  $m$ .

By the Well-ordering principle  $\exists$  a least positive integer  $n \in I$ .

Now let  $a$  be any element in  $I$ .

Using the division algorithm, we know  $\exists q, r \in \mathbb{Z}$  s.t.

$$a = nq + r \quad \text{with } 0 \leq r < n$$

$$\Rightarrow r = a - nq \in I$$

But  $r$  must be zero since  $n$  is the least positive element in  $I$ .

$$\Rightarrow a = nq + \underset{0}{r}$$

$$\Rightarrow a = nq$$

and  $I = \langle n \rangle$ .

□

Example The set  $n\mathbb{Z}$  is ideal in the ring of integers.

Why? Because if  $na \in n\mathbb{Z}$  and  $b \in \mathbb{Z}$ , then  $nab \in n\mathbb{Z}$  as required.



By theorem 16.25 (that every ideal in the ring of integers  $\mathbb{Z}$  is a principal ideal), these are the only ideals of  $\mathbb{Z}$

[recall that a principal ideal is an ideal of the form  $\langle a \rangle = \{ar : r \in R\}$ ]

Proposition 16.27 The kernel of any ring homomorphism  $\phi: R \rightarrow S$  is an ideal in  $R$ .

Proof From group theory, we know that  $\ker \phi$  is an additive subgroup of  $R$ . (Check this for practice)

Suppose that  $a \in \ker \phi$  and  $r \in R$ .

For  $\ker \phi$  to be an ideal in  $R$  we must show that  $ar \in \ker \phi$  and  $ra \in \ker \phi$ .

We have  $\phi(ar) = \phi(a)\phi(r)$  by def<sup>n</sup> of homom.  
 $= 0\phi(r)$   $a \in \ker \phi \Rightarrow \phi(a) = 0$   
 $= 0$

and, similarly,  $\phi(ra) = \phi(r)\phi(a)$   
 $= \phi(r)0$   
 $= 0$

Thus  $\phi(ar) = 0 \Rightarrow ar \in \ker \phi$  and  $\phi(ra) = 0 \Rightarrow ra \in \ker \phi$ .

□

Remark In the definition of an ideal we have required that  $rI \subset I$  and  $Ir \subset I$  for all  $r \in R$ . Such ideals are sometimes referred to as two-sided ideals

But there are also one-sided ideals that only require that either  $rI \subset I$  or  $Ir \subset I$  for  $r \in R$  hold but not both.  
left ideals      right ideals

• In a commutative ring any ideal must be two-sided.

for the scope of this class you only need to know about two-sided ideals.

Theorem 16.29 Let  $I$  be an ideal of  $R$ . The factor group  $R/I$  is a ring with multiplication defined by

$$(r+I)(s+I) = rs+I$$

Proof We know that  $R/I$  is an abelian group under addition.

Let  $r+I \in R/I$  } We must show that  $(r+I)(s+I) = rs+I$   
&  $s+I \in R/I$  } is independent of the choice of coset

This is equivalent to showing that if  $r' \in r+I$  and  $s' \in s+I$ , then  $r's' \in rs+I$ .

Since  $r' \in r+I \exists$  an element  $a \in I$  such that  $r' = r+a$ .

Similarly, since  $s' \in s+I \exists$   $b \in I$  s.t.  $s' = s+b$ .

$$\Rightarrow r's' = (r+a)(s+b)$$

$$= rs + \underbrace{rb + as + ab}_{\text{the ideal } I \text{ "absorbs" these elements}}$$

Since  $I$  is an ideal we have that  $rb + as + ab \in I$

Since  $a \in I$  and  $b \in I$   
 for  $r \in R, rb \in I$  } defn of ideal  
 $s \in R, as \in I$  }  
 (and  $ab \in I$  by closure)

Therefore  $r's' \in rs + I$

To show that  $R/I$  is a ring with multiplication we must also prove the last two axioms of a ring. Namely that associativity and the distributive property hold. Please check this!

Definition: The ring  $R/I$  with multiplication defined as

$$(r+I)(s+I) = rs+I$$

is called the **factor** or **quotient ring**

Just as with group homomorphisms and normal subgroups, we have a relationship between ring homomorphisms and ideals.

Theorem 16.30 let  $I$  be an ideal of  $R$ . The map  $\phi: R \rightarrow R/I$  defined by  $\phi(r) = r+I$  is a ring homomorphism of  $R$  onto  $R/I$  with kernel  $I$ .

Proof.  $\phi: R \rightarrow R/I$  is a surjective abelian group homomorphism

$$\phi(r+s) = (r+s)+I = (r+I) + (s+I) = \phi(r) + \phi(s)$$

↑  
definition of addition binary operation

We must now show that  $\phi$  is a ring homomorphism, so it works correctly under ring multiplication.

Let  $r, s \in R$ , then

$$\begin{aligned}\phi(r)\phi(s) &= (r+I)(s+I) && \leftarrow \text{for the factor group } R/I \text{ the ring multipl. is } (r+I)(s+I) = rs+I. \\ &= rs+I \\ &= \phi(rs)\end{aligned}$$

□

Example  $\mathbb{Z}/4\mathbb{Z} = \{0+4\mathbb{Z}, 1+4\mathbb{Z}, 2+4\mathbb{Z}, 3+4\mathbb{Z}\}$ .

Recall from pg 83 of these notes that elements of  $\mathbb{Z}/n\mathbb{Z}$  are the cosets:  
 $n\mathbb{Z}, 1+n\mathbb{Z}, 2+n\mathbb{Z}, \dots, (n-1)+n\mathbb{Z}$

To see how to add and multiply consider the elements  $2+4\mathbb{Z}$  and  $3+4\mathbb{Z}$

$$(2+4\mathbb{Z}) + (3+4\mathbb{Z}) = 5+4\mathbb{Z} = 1+4+4\mathbb{Z} = 1+4\mathbb{Z}$$

$$(2+4\mathbb{Z})(3+4\mathbb{Z}) = 6+4\mathbb{Z} = 2+4+4\mathbb{Z} = 2+4\mathbb{Z}$$

Thus, the two operations are essentially modulo 4 arithmetic.

Example  $2\mathbb{Z}/6\mathbb{Z} = \{0+6\mathbb{Z}, 2+6\mathbb{Z}, 4+6\mathbb{Z}\}$   $2k+6\mathbb{Z} \pmod 6$

Let's look at the addition and multiplication operations again.

$$\text{e.g. } (4+6\mathbb{Z}) + (4+6\mathbb{Z}) = 8+6\mathbb{Z} = 2+6+6\mathbb{Z} = 2+6\mathbb{Z}$$

$$(4+6\mathbb{Z})(4+6\mathbb{Z}) = 16+6\mathbb{Z} = 4+12+6\mathbb{Z} = 4+6\mathbb{Z}$$

So here the operations are essentially modulo 6 arithmetic



### Example Non commutative ideal and factor ring

Let  $R = \left\{ \begin{pmatrix} a_1 & a_2 \\ a_3 & a_4 \end{pmatrix} \mid a_i \in \mathbb{Z} \right\}$  and let  $I$  be the subset of  $R$  consisting of matrices with even entries. It can be shown that  $I$  is indeed an ideal of  $R$ .

$$I = \left\{ \begin{pmatrix} 2k_1 & 2k_2 \\ 2k_3 & 2k_4 \end{pmatrix} \mid k_i \in \mathbb{Z} \right\}$$

Then for  $A \in R$  and  $B \in I$ ,

$$AB = \begin{pmatrix} a_1 & a_2 \\ a_3 & a_4 \end{pmatrix} \begin{pmatrix} 2k_1 & 2k_2 \\ 2k_3 & 2k_4 \end{pmatrix}$$

$$= \begin{pmatrix} 2a_1k_1 + 2a_2k_3 & 2a_1k_2 + 2a_2k_4 \\ 2a_3k_1 + 2a_4k_3 & 2a_3k_2 + 2a_4k_4 \end{pmatrix}$$

$$= \begin{pmatrix} 2(a_1k_1 + a_2k_3) & 2(a_1k_2 + a_2k_4) \\ 2(a_3k_1 + a_4k_3) & 2(a_3k_2 + a_4k_4) \end{pmatrix} \in I$$

Since every entry is an even one

$$BA = \begin{pmatrix} 2k_1 & 2k_2 \\ 2k_3 & 2k_4 \end{pmatrix} \begin{pmatrix} a_1 & a_2 \\ a_3 & a_4 \end{pmatrix} \in I$$

↑ can be shown in a similar manner to above

Now consider the factor ring  $R/I$ .

\* The interesting question about this ring is: **What is its size?**

We claim  $R/I$  has 16 elements.

$$\text{In fact } R/I = \left\{ \begin{pmatrix} r_1 & r_2 \\ r_3 & r_4 \end{pmatrix} + I : r_i \in \{0, 1\} \right\}$$

An example illustrates the typical situation.

Which of the 16 elements is  $\begin{pmatrix} 7 & 8 \\ 5 & -3 \end{pmatrix} + I$ ?

Observe that  $\begin{pmatrix} 7 & 8 \\ 5 & -3 \end{pmatrix} + I = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} + \underbrace{\begin{pmatrix} 6 & 8 \\ 4 & -4 \end{pmatrix}}_{\substack{\text{all even entries} \\ \text{so it can be absorbed} \\ \text{in the ideal } I}} + I = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} + I$

in general an ideal absorbs its own elements.

Examples Consider the factor ring of Gaussian integers  $R = \mathbb{Z}[i] / \langle 2-i \rangle$

What does this ring look like?

The elements of  $R$  all have the form  $a+bi + \langle 2-i \rangle$  where  $a, b \in \mathbb{Z}$

What do the distinct cosets look like?

The fact that  $2-i + \langle 2-i \rangle = 0 + \langle 2-i \rangle$  means that when dealing with coset representatives we may treat  $2-i$  as equivalent to  $0 \Rightarrow \underline{2=i}$ .

For example, the coset  $3+4i + \langle 2-i \rangle = 3+8 + \langle 2-i \rangle = 11 + \langle 2-i \rangle$   
↑  
 represed  $i$  with  $2 \Rightarrow$  so  $4i$  became  $8$

Similarly, all the elements of  $R$  can be written in the form  $a + \langle 2-i \rangle, a \in \mathbb{Z}$ .

We can further reduce the set of distinct coset representatives by observing that when dealing with coset representatives  $\underline{2=i}$  implies by squaring both sides that

or  $\begin{cases} 4 = -1 \\ 5 = 0 \end{cases}$

Therefore, the coset  $3+4i + \langle 2-i \rangle = 11 + \langle 2-i \rangle = 1+5+5 + \langle 2-i \rangle = 1 + \langle 2-i \rangle$   
↑ ↑  
0 0  
 under the coset representatives

This way we show that every element of  $R$  is equal to one of the following cosets:

- $0 + \langle 2-i \rangle$
- $1 + \langle 2-i \rangle$
- $2 + \langle 2-i \rangle$
- $3 + \langle 2-i \rangle$
- $4 + \langle 2-i \rangle$

since  $5=0$  then  $5 + \langle 2-i \rangle = 0 + \langle 2-i \rangle$

Is any further reduction possible? OK.. enough ☺

To demonstrate that there is not, we will show that  $1 + \langle 2-i \rangle$  has additive order 5

$$\text{Since } 5(1 + \langle 2-i \rangle) = 5 + \langle 2-i \rangle = 0 + \langle 2-i \rangle$$

$1 + \langle 2-i \rangle$  has order 1 or order 5.

If the order is actually 1 then  $1 + \langle 2-i \rangle = 0 + \langle 2-i \rangle$  so  $1 \in \langle 2-i \rangle$

$$\text{Thus } 1 = (2-i)(a+bi) = 2a + 2bi - ai + b = 2a + b + (-a + 2b)i \text{ for } a, b \in \mathbb{Z}$$

$$\text{But this implies that } \begin{cases} 2a + b = 1 \\ -a + 2b = 0 \end{cases} \Rightarrow a = 2b \text{ and } 2(2b) + b = 1$$

$$b = \frac{1}{5} \notin \mathbb{Z}$$

Contradiction.

So the ring  $R$  is essentially the same as the field  $\mathbb{Z}_5$ .

Example let  $\mathbb{R}[x]$  denote the ring of polynomials with real coefficients and let  $\langle x^2+1 \rangle$  denote the principal ideal generated by  $x^2+1$ .

$$\langle x^2+1 \rangle = \{ f(x)(x^2+1) : f(x) \in \mathbb{R}[x] \}$$

$$\begin{aligned} \text{Then } \mathbb{R}[x] / \langle x^2+1 \rangle &= \{ g(x) + \langle x^2+1 \rangle : g(x) \in \mathbb{R}[x] \} \\ &= \{ ax + b + \langle x^2+1 \rangle : a, b \in \mathbb{R} \} \end{aligned}$$

To see that this last equality is true note that if  $g(x)$  is any member of  $\mathbb{R}[x]$ , then we may write  $g(x)$  in the form

$$g(x) = \underbrace{q(x)}_{\text{quotient}}(x^2+1) + \underbrace{r(x)}_{\text{remainder}} \quad \text{upon dividing } g(x) \text{ by } x^2+1$$

In particular,  $r(x) = 0$  or the degree of  $r(x)$  is less than 2 so that  $r(x) = ax + b$  for some  $a, b \in \mathbb{R}$ .

$$\begin{aligned} \text{Thus } g(x) + \langle x^2+1 \rangle &= \underbrace{q(x)(x^2+1)}_{\text{gets "absorbed" by the ideal } \langle x^2+1 \rangle} + r(x) + \langle x^2+1 \rangle \\ &= r(x) + \langle x^2+1 \rangle \end{aligned}$$

## How is the multiplication done?

Since  $x^2+1 + \langle x^2+1 \rangle = 0 + \langle x^2+1 \rangle$  one should think of  $x^2+1$  as 0

$$\Rightarrow \boxed{x^2 = -1}$$

any two elements of  $\mathbb{R}[x] / \langle x^2+1 \rangle$

So for example:  $(x+3 + \langle x^2+1 \rangle) \cdot (2x+5 + \langle x^2+1 \rangle)$

$$= 2x^2 + 5x + 6x + 15 + \langle x^2+1 \rangle$$

$$= 2x^2 + 11x + 15 + \langle x^2+1 \rangle$$

$$= 2(-1) + 11x + 15 + \langle x^2+1 \rangle$$

↑

using  $x^2 = -1$

$$= 11x + 13 + \langle x^2+1 \rangle$$

## CHAPTER 17. POLYNOMIALS

I'm sure you are already familiar with polynomials. If you are given two polynomials

$$\text{e.g. } p(x) = x^3 - 3x + 2$$

$$q(x) = 3x^2 - 6x + 5$$

then it's clear what  $p(x)+q(x)$  and  $p(x)q(x)$  mean. We just add and multiply polynomials as functions:

$$\begin{aligned} (p+q)(x) &= p(x) + q(x) \\ &= x^3 + 3x^2 - 9x + 7 \end{aligned}$$

$$\text{and } (pq)(x) = p(x)q(x)$$

$$\begin{aligned} &= (x^3 - 3x + 2)(3x^2 - 6x + 5) \\ &= 3x^5 - 6x^4 - 4x^3 + 24x^2 - 27x + 10 \end{aligned}$$

It's not surprising perhaps that polynomials form a ring (especially since we've already seen that)

This brings us to the next section of the textbook.

## Section 17.1 Polynomial rings

In this section we'll assume that  $R$  is a commutative ring with identity

### Definitions:

- Any expression of the form  $f(x) = \sum_{i=0}^n a_i x^i = a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n$

where  $a_i \in R$  and  $a_n \neq 0$  is a **polynomial over  $R$**  with **indeterminate  $x$**

- The elements  $a_0, a_1, \dots, a_n$  are called the **coefficients** of  $f$ .

-  $a_n$  = leading coeff.

- A polynomial is called monic if the leading coeff. is 1

- If  $n$  is the largest nonnegative number for which  $a_n \neq 0$  we say that the degree of  $f$  is  $n$ ,  $\deg(f) = n$ .

\* The set of all polynomials with coefficients in a ring  $R$  are denoted by  $R[x]$

