# Mathematical modeling of microstructured optical fibers

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Source: Dancing Vortices, Talk by Etienne Ghys at the University of Oxford

## Traditional optical fibers

- Consist of layers of **different** materials.
- These have different refractive indices.



Protective buffer layer

Outer cladding

Inner core

Figure: Schematic diagram of optical fiber

#### Total internal reflection (TIR)



• Light travels by TIR: core and cladding have different refractive indices  $(n_1, n_2)$ 

#### Microstructured optical fibers (MOFs)

- Consist of a thread of a single material, usually glass or silica.
- Has a solid or air core surrounded by an array of air channels.



Figure: a) A MOF with a hexagonal arrangement. b) Diameters of central holes and cladding holes are 5.8 and 2.8  $\mu$ m. c) Silica layers between adjacent air holes are of order 50–100 nm.

Y. Huang and Y. Xu and A. Yariv. Fabrication of functional microstructured optical fibers through a selective filling technique. Applied Physics Letters. 2004

#### Fabrication process

- 1. Preform is fed into the furnace.
- 2. It gets heated up.
- 3. Preform is drawn into fiber.
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drawn fibre

AIM: develop mathematical models to tell experimentalists how to design the preform.

<u>The problem:</u> final fiber product differs from preform geometry

- A preform that has circular channels yields a fiber that has channels that are not circular.
- Surface tension **deforms** the geometrical cross section.
- These shape deformations are undesired.

Goal: Predict these deformations

drawn fibre

## What has been done?

- Griffiths and Howell studied the evolution of a single closed viscous loop.
- Key features:
  - Very viscous
  - Asymptotic approximation: long and thin geometry
  - Surface tension on free boundaries



2D model describing the shape evolution of the cross-section of a MOF

• Crowdy uses complex variables and numerical methods to solve free BVPs with multiple holes.



Preform drawn into fiber

D.G. Crowdy. et al. Elliptical pore regularisation of the inverse problem for microstructured optical fiber fabrication, Journal of Fluid Mechanics. 2015

## Model answers the following

#### Forward problem:

• For a given preform geometry, what will the final MOF look like?

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• For a given preform geometry, what will the final MOF look like?

#### **Inverse problem:**

• For a desired MOF geometry, what preform will produce it?

## Remainder of the talk



• Model derivation of uniformly thick viscous sheet

- Free viscous sheet
- Simply-supported viscous sheet
- Clamped viscous sheet
- Closed-loop viscous sheet



• Generalization to non-uniform thickness

#### Model derivation



- Geometrical identities:  $\frac{\partial x}{\partial s} = \cos \theta$ ,  $\frac{\partial y}{\partial s} = \sin \theta$
- Moment balance:  $\frac{\partial \mathcal{M}}{\partial s} = -\mathcal{A}\sin\theta + \mathcal{B}\cos\theta$

 $\theta(s, t)$  is the centerline A(t), B(t) are the total tensions in the x-, y-direction, respectively

- Evolution equation for thickness:  $4\mu \frac{Dh}{Dt} = 2\gamma$
- Constitutive relation for bending moment:

$$\mathcal{M} = -\frac{\mu}{3}h^3 \frac{D}{Dt} \left(\frac{\partial\theta}{\partial s}\right)$$

• Non-dimensionalization of governing equations:

$$\frac{\partial}{\partial s} \left[ \frac{1}{3} h^3 \frac{D}{Dt} \left( \frac{\partial \theta}{\partial s} \right) \right] = \mathcal{A} \sin \theta - \mathcal{B} \cos \theta$$

$$\frac{Dh}{Dt} = \frac{1}{2}$$

Evolution equation used in numerical simulations:

$$\frac{\partial}{\partial \tau} \left[ \left( 1 + \frac{\tau}{2} \right) \frac{\partial^2 \theta}{\partial \xi^2} \right] = A(\tau) \cos \theta + B(\tau) \sin \theta,$$
  
where  $\xi = (1 + \tau/2)s$  and  $\tau = t.$ 

4<sup>th</sup> order in space  $\rightarrow$  four boundary conditions (BCs) 1<sup>st</sup> order in time  $\rightarrow$  one initial condition

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Eulerian to Lagrangian coordinates:  $\xi = s/L(t)$ , with length of viscous sheet, L(t) = 1/(1+t/2), found by mass-conservation.

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#### Free viscous sheet

- Total tensions are zero:  $A(\tau) = 0 = B(\tau)$ .
- BCs: Moments should vanish at both ends

$$\frac{\partial}{\partial \tau} \left[ (1 + \tau/2) \frac{\partial \theta}{\partial \xi} \right] = 0 \quad \text{at } \xi = 0, 1.$$

• Evolution equation becomes

$$\frac{\partial}{\partial \tau} \left[ (1 + \tau/2) \, \frac{\partial^2 \theta}{\partial \xi^2} \right] = 0$$

#### Shape predictions

Choose the following initial corner condition:

$$\theta_0(\xi) = \begin{cases} \pi/4, & 0 \le \xi \le 1/2, \\ -\pi/4, & 1/2 \le \xi \le 1. \end{cases}$$

Exact analytical solution:

$$\theta(\xi,\tau) = \frac{\theta_0(\xi)}{1+\tau/2} + C(\tau)$$

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## Simply-supported viscous sheet

#### **Boundary conditions**

• Specify the position of each end

$$X(0,\tau) = 0, \quad X(1,\tau) = \int_0^1 \cos\theta \,d\xi = \Delta x (1+\tau/2),$$
$$Y(0,\tau) = 0, \quad Y(1,\tau) = \int_0^1 \cos\theta \,d\xi = 0.$$

• Zero bending moments at both ends  $\frac{\partial}{\partial \tau} \left[ (1 + \tau/2) \frac{\partial \theta}{\partial \xi} \right] = 0 \text{ at } \xi = 0, 1.$ 

#### Shape predictions

Choose the following initial corner condition:



#### Effect of corner smoothing

Focus on the following initial configurations

$$\theta_0(\xi) = \begin{cases} \pi/4, & 0 \le \xi \le 1/2 - \delta, \\ \pi(1 - 2\xi)/(8\delta), & 1/2 - \delta \le \xi \le 1/2 + \delta, \\ -\pi/4, & 1/2 + \delta \le \xi \le 1. \end{cases}$$



## Clamped viscous sheet

#### **Boundary conditions**

- Specify the position at each end  $X(0,\tau) = 0, \quad X(1,\tau) = \int_0^1 \cos\theta \,d\xi = \Delta x (1+\tau/2),$  $Y(0,\tau) = 0, \quad Y(1,\tau) = \int_0^1 \cos\theta \,d\xi = 0.$
- Fix the angle at each end  $\theta(0,\tau) = \theta(0,0) = \alpha$  and  $\theta(1,\tau) = \theta(1,0) = \beta$ .

#### Shape predictions

#### Choose as initial condition:

$$\theta_0(\xi) = \begin{cases} \pi/4, & 0 \le \xi \le 1/2, \\ -\pi/4, & 1/2 \le \xi \le 1. \end{cases}$$

Clamped conditions:  

$$\theta(0, \tau) = \alpha, \ \theta(1, \tau) = -\alpha$$
 $\alpha = \pi/4$ 



#### Closed-loop viscous sheet

#### **Boundary conditions**

• The ends of the viscous sheet meet

 $X(1,\tau) \equiv X(0,\tau), \quad Y(1,\tau) \equiv Y(0,\tau)$ 

• The angle at the ends is given by

$$\theta(1,\tau) \equiv \theta(0,\tau) + 2\pi$$

where we choose  $\theta(0, \tau) = 0$ .

#### Steady solutions

- Focus on profiles with zero total tensions in either direction.
- Profiles that possess rotational symmetry:

$$\theta\left(\frac{1}{n} + \xi\right) = \theta(\xi) + \frac{2\pi}{n}$$

• Equation solved analytically as

$$\theta(\xi,\tau) = \frac{\theta(\xi,0) - 2\pi\xi}{1 + \tau/2} + 2\pi\xi$$

As  $\tau$  increases, the centerline tends to a circle, regardless of the initial shape.



• Corners persist but are not preserved.

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#### Relation between s and $\xi$

• Net conservation relation:  $h_0(\xi)\delta\xi = h(\xi,\tau)\delta s$ 



## Plotting thickness profiles

• To plot the thickness profiles we use:

$$\begin{pmatrix} x \\ y \end{pmatrix} \pm \frac{1}{2}\epsilon h \, \boldsymbol{n} = \begin{pmatrix} x \\ y \end{pmatrix} \pm \frac{1}{2}\epsilon h \begin{pmatrix} -\sin\theta \\ \cos\theta \end{pmatrix}$$

where  $\epsilon \neq 0$  is small and  $h(\xi, \tau) = h_0(\xi) + \tau/2$ .

## Simply-supported and non-uniform



• Thin parts of the viscous sheet evolve at a faster rate than thick parts.

#### Clamped and non-uniform





#### Shape predictions

#### Choose initial conditions as:

$$h_0(\xi) = \begin{cases} \varepsilon \tanh\left[(\xi - \xi^*)/\nu\right] + h^*, & 0 \le \xi \le 1/2, \\ -\varepsilon \tanh\left[(\xi - \{1 - \xi^*\})/\nu\right] + h^*, & 1/2 \le \xi \le 1, \end{cases}$$



Steady solutions 
$$\mathcal{A} = \mathcal{B} = 0$$

If the centerline and the thickness profiles satisfy:

$$\theta_0\left(\xi+\frac{k}{n}\right) = \theta_0(\xi) + \frac{2\pi k}{n} \text{ and } h_0\left(\xi+\frac{k}{n}\right) = h_0(\xi),$$

where *n* is a common factor of the degrees of rotational symmetry.

#### Steady solutions

• Example:  $\theta_0(\xi) = 2\pi\xi$  $h_0(\xi) = \sum_{i} (-1)^j \varepsilon \tanh\left(\frac{\xi - (2j-1)\xi^*}{\nu}\right) + h^*$ with  $j \in \{1, 2, 3, \dots, 2n\}, \xi^* = 1/(4n)$  and  $n \ge 2$ . *n* = 5 *n* = 4 yyIncreasing  $\tau$ Increasing  $au_{-}$ 0.25 0.25 0.2 0.2 0.15 0.15





#### No rotational symmetry

• Ends do not join up if we assume A = B = 0for not rotationally symmetric profiles.



#### Junction of *n* viscous sheets

• Balancing moments at the n-viscous-sheets junction:

$$\frac{\partial}{\partial \tau} \left[ \left( 1 + \frac{\tau}{2} \right) \left( \varphi_i - \varphi_j \right) \right] = 0$$

• Identity:  $\sum_{i=1} \varphi_i = 2\pi_i$ 



• All interior angles tend to same value as time increases.

$$\varphi_i(\tau) = \frac{2\pi}{n} + \frac{\varphi_i(0) - 2\pi/n}{1 + \tau/2}$$

## Impact of our model

- Inverse problem is easy to solve.
- We overcome the need for expensive computational methods.
- We get useful insights not available through experiments.
- The cost of trial and error is reduced, saving lots of money!



## Applications











#### Future work

• Connect edges with non-uniform thickness.



