

# Quantitative stability in the 2D Abelian Higgs model

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## The plan

- ▶ What is a Hermitian line bundles?
- ▶ The Abelian Higgs model in dimension 2 and the "*vortex equations*"
- ▶ Previous results.
- ▶ Motivating the question of quantitative stability
- ▶ Core ideas of proof: Weighted hodge decomposition and a selection principle

## What is a line bundle?

- ▶ Informally, a line bundle over a smooth manifold is a 'twisted' Cartesian product of the manifold with the complex numbers.
- ▶ Think about the Mobius strip. Locally it is a Cartesian product, however globally it has a "twist", which gives the Mobius bands it nontrivial topology! (thus interesting :D )

## What is a line bundle, Formally?

### Definition [7, p.23-27]

A line bundle over a smooth manifold  $M$  is a triple  $(L, \pi, M)$  where:

- 1  $L$  is a smooth manifold and  $\pi$  is a smooth map of  $L$  to  $M$ .
- 2 For any  $m \in M$  the set  $L_m = \pi^{-1}(m)$  has the structure of a one dimensional complex vector space. ( $L_m$  is called the fiber over  $m$ )
- 3 There is an open cover  $U_j$  of  $M$  and a collection of  $s_j : U_j \rightarrow L$  such that  $\forall j, \pi \circ s_j = 1_{U_j}$  and the map  $\psi_j : U_j \times \mathbb{C} \rightarrow \pi^{-1}(U_j) : (m, z) \rightarrow z \cdot s_j(m)$  is a diffeomorphism.

On line bundles, functions are replaced by the notion of "sections" and are usually denoted by the symbol  $\Gamma(L)$ .

## What is a Hermitian line bundle?

A Hermitian line bundle is a line bundle  $L \rightarrow M$  with two additional structures:

- ▶ A **Hermitian metric**: On each fiber over  $m \in M$  there is a Hilbert space metric  $\langle \cdot, \cdot \rangle_m$  which varies smoothly with  $m$ .
- ▶ A **connection**: There is a map  $\nabla$  which acts like a gradient in a twisted sense: It assigns to any vector field  $\xi$ , an endomorphism  $\nabla_\xi : \Gamma(L) \rightarrow \Gamma(L)$  and it is linear in  $\xi$  and obeys the Leibniz rule (like a gradient).
- ▶ These two structures are **compatible**:

$$\xi \langle s, t \rangle = \langle \nabla_\xi s, t \rangle + \langle s, \nabla_\xi t \rangle, \quad (1)$$

for any smooth vector field  $\xi \in \mathcal{U}(M)$  and smooth sections  $s, t \in \Gamma(L)$ .

## The connection one-form

Given any connection  $\nabla$  on a Hermitian line bundle, we can construct another one by picking a "real" one-form  $A \in \Omega^1(M)$  and defining:

$$\nabla_1 = \nabla - iA. \quad (2)$$

Intuitively, this means that we are rotating the base point of a fiber in the direction of any vector field  $\xi$  with the speed  $A(\xi)$ . This is not a coincidence, since all connections are of this form. Basically, after choosing a reference connection  $\nabla_0$  all other connection can be written as:

$$\nabla = \nabla_0 - iA. \quad (3)$$

## Curvature

In general, for two vector fields  $\xi, \eta$  with  $[\xi, \eta] = 0$  the operators  $\nabla_\xi$  and  $\nabla_\eta$  might not commute, which hints at the presence of curvature. For any connection  $\nabla$  we define the curvature to be the two form:

$$F_\nabla(\xi, \eta) = [\nabla_\xi, \nabla_\eta] - \nabla_{[\xi, \eta]}. \quad (4)$$

In the formulation of the connection one-form  $\nabla = \nabla_0 - iA$ , if  $\nabla_0$  is flat, we can see that:

$$F_\nabla := -idA. \quad (5)$$

A crucial result is that this two-form is always integral, meaning that integrating this two-form always gives us an integer.

## The Abelian Higgs model

Let  $L \rightarrow M$  be a hermitian line bundle over  $M$ . Then for any section and connection  $(u, \nabla)$  we define the Yang-Mills-Higgs energy as:

$$E(u, \nabla) = \int_M |\nabla u|^2 + |F_\nabla|^2 + \frac{(1 - |u|^2)^2}{4}. \quad (6)$$

In an equivalent formulation, for any complex valued function and a one-form  $(u, A)$  we define the energy to be:

$$E(u, A) = \int_M |du - iu \otimes A|^2 + |dA|^2 + \frac{(1 - |u|^2)^2}{4}. \quad (7)$$

Intuitively, minimizers of this energy want a section with non trivial topology close to 1 that does not vary too much w.r.t. a connection that does not curve too much.



## Gauge invariance

For any function  $\gamma : \mathbb{R}^2 \rightarrow \mathbb{R}$  the transformation:

$$(u, \nabla) \rightarrow (ue^{i\gamma}, \nabla + id\gamma).$$

leaves the energy unchanged! This is called "Gauge invariance". This means that the Abelian Higgs functional has  $U(1)$  as its group of symmetries.

We see later on that the phase completely decouples from the modulus and the energy.

- ▶ But these energies are special in two dimensions!

## Our setting in 2D

For the rest of the talk we take  $L := \mathbb{C} \times \mathbb{R}^2 \rightarrow \mathbb{R}^2$  to be the trivial line bundle over the Euclidean space and we work with the Yang Mills Higgs energy in two dimensions:

$$E(u, \nabla) = \int_{\mathbb{R}^2} |\nabla u|^2 + |F_{\nabla}|^2 + \frac{(1 - |u|^2)^2}{4}. \quad (8)$$

Equivalently, for any function  $u : \mathbb{R}^2 \rightarrow \mathbb{C}$  and real one-form (vector field)  $A : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ :

$$E(u, A) = \int_{\mathbb{R}^2} |du - iuA|^2 + |\operatorname{curl}(A)|^2 + \frac{(1 - |u|^2)^2}{4} \quad (9)$$

## Two dimensions is special!

With a slight abuse of notation assume that  $u = re^{i\theta}$  where  $r = |u|$  and  $\theta : \mathbb{R}^2 \rightarrow S^1$ . Then we can rewrite the energy as:

$$E(re^{i\theta}, A) = \int_{\mathbb{R}^2} |dr|^2 + r^2|A - d\theta|^2 + |dA|^2 + \frac{(1 - r^2)^2}{4}. \quad (10)$$

Notice that  $|dr|^2 = |\star dr|^2$  where  $\star$  is the Hodge star operator and in two dimensions  $\star$  of a vector-field is a one-forms.

Then by a completion of squares and integrating by parts:

$$E(re^{i\theta}, A) = \pm 2\pi N + \int_{\mathbb{R}^2} |\star dr \pm r(A - d\theta)|^2 + |\star dA \mp \frac{1 - r^2}{2}|^2.$$

Magic! Here  $N$  is the rotation number of  $\theta$  at infinity or equivalently:

$$\int_{\mathbb{R}^2} \star dA = 2\pi N.$$

## The vortex equations

After fixing an orientation we see that

$$E(u, \nabla) \geq 2\pi N$$

and the equality happens if and only if:

$$\star dr + r(A - d\theta) = 0 \text{ and } \star dA = \frac{1 - r^2}{2} \quad (11)$$

These are called the first order vortex equations! Dividing the first equation by  $r$  and substituting in the second equation, we see that:

$$-\Delta \ln(r) + \frac{1}{2}(r^2 - 1) = -2\pi \sum_{r(x_k)=0} \delta_{x_k},$$

where  $\delta_x$  is a point mass centered on  $x$ .

## Existence and uniqueness

In [8] C.H.Taubes proved that, after fixing the location of zeros of  $u$  the solution of these equations exists and are unique:

Theorem ([8] and [9] C.H.Taubes 1980)

*Given any set of points  $\{a_1, \dots, a_N\} \subset \mathbb{R}^2$  counted with multiplicity, there exists a unique smooth solution to the vortex equation which vanishes on  $\{a_k\}_{k=1}^n$  with degree equal to the multiplicity. Moreover all stationary points are actually minimizers.*

In other words, solutions of this model behaves like non interacting vortices in two dimensions with a fixed mass.

## Methods of Taubes

Given the vortex set (zero set)  $\{a_1, \dots, a_N\}$ , look at

$$v = \ln(r) - \frac{1}{2} \sum_{k=1}^N \ln\left(1 + \frac{\lambda}{(x - a_k)^2}\right) \quad (12)$$

↗  $-\ln(1 + \frac{\lambda}{(x - a_k)^2})$

for some positive  $\lambda > 0$ . Taubes showed that  $v$  is a stationary point for a convex functional:

$$W^{1,2}(\mathbb{R}^2) \subset BMO$$

$$\int_{\mathbb{R}^2} \frac{|dv|^2}{2} - v(1 - g_0) + e^{u_0}(e^v - 1). \quad (13)$$

where  $u_0 = \frac{1}{2} \sum_{k=1}^N \ln\left(1 + \frac{\lambda}{(x - a_k)^2}\right)$  and  $g_0 = -\Delta u_0 - 2\pi \sum_k \delta_{x_k}$ .

- Existence and uniqueness follows by standard methods.

## Minimal sub-manifolds of co-dimension two

In higher dimensions and on any closed manifold  $M$ , this model can also be used to produce stationary sub-manifolds of co-dimension two. First we define what is a stationary submanifold:

### Definition

A stationary sub-manifold  $\Sigma^k \subset M^n$  is a stationary point for the  $k$ -dimensional area functional with respect to domain variations, precisely for any family of diffeomorphisms  $\phi_t : M^n \times [0, \delta) \rightarrow M^n$  with  $\phi_0 = Id$ :

$$\frac{d}{dt} \text{vol}_k(\Sigma_t) = 0, \quad (14)$$

where  $\Sigma_t$  is the pushforward of  $\Sigma$  w.r.t.  $\phi_t$ .

## Minimal sub-manifolds of co-dimension two

For any  $\varepsilon > 0$  consider the re-scaled energy for any pair  $(u, \nabla)$ :

$$E_\varepsilon(u, \nabla) = \int_M |\nabla u|^2 + \varepsilon^2 |F_\nabla|^2 + \frac{(1 - |u|^2)^2}{4\varepsilon^2}. \quad (15)$$

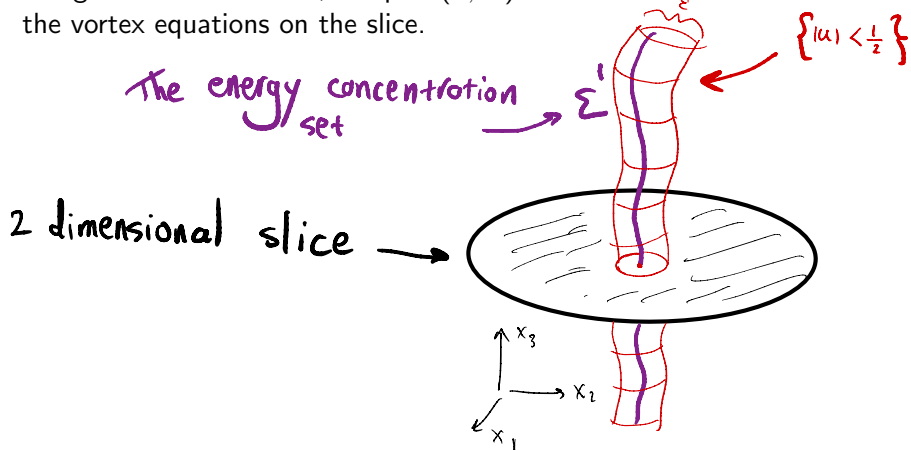
In 2019 [6] A.Pigati and D.Stern showed that given a sequence of stationary points  $(u_\varepsilon, \nabla_\varepsilon)$  with vanishing  $\varepsilon \rightarrow 0$  and bounded energy  $E_\varepsilon(u_\varepsilon, \nabla_\varepsilon) \leq \Lambda$ , the energy measures  $e_\varepsilon(u_\varepsilon, \nabla_\varepsilon) dx$  concentrate along a Integral stationary sub-manifold  $\Sigma$  of dimension  $n - 2$  (in a weak sense).

In particular the analysis of these energies closely resembles Allan-Cahn. (Modica bounds & equi-distribution of energy & Integrality)



## Slices

Vaguely, if one looks at perpendicular two dimensional slices of  $\Sigma$  along the normal bundle, the pair  $(u, \nabla)$  is close to a solution of the vortex equations on the slice.



## Motivating the study of stability

- ▶ For any uniqueness result one might expect some stability.
- ▶ Having a quantitative version of the inequality  $E(u, \nabla) \geq 2\pi N$ .
- ▶ (The original motivation) The regularity theory of stationary sub-manifolds (in particular Allard's [1]) and stability of slices combined with the work of Pigati and Stern [6] gives us a De-Giorgi type result (as in the Allan Cahn model) for global solutions (This is a paper in preperation with Guido De philippis and Alessandro Pigati)
- ▶ It is some nice mathematics by itself :D

## Quantitative stability

What I was able to prove:

### Theorem ( [5] H 2023)

For any  $N > 0$  there exists  $C_N, \eta_0 > 0$  with the following property:  
Let  $L := \mathbb{C} \times \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the trivial line bundle. Then any  $N$ -vortex section and connection  $(u, \nabla)$  with small enough discrepancy  $E(u, \nabla) - 2\pi N = \eta^2 \leq \eta_0^2$  satisfies:

$$\min_{(u_0, \nabla_0) \in \mathcal{F}} \|u - u_0\|_{L^2(\mathbb{R}^2)}^2 + \|F_\nabla - F_{\nabla_0}\|_{L^2(\mathbb{R}^2)}^2 \leq C_N \eta^2, \quad (16)$$

where  $\mathcal{F}$  is the moduli space of all solutions of the vortex equations.

## The discrepancy

First notice that by completion of squares and integrating by parts we saw (up to a change of sign):

$$E(u, \nabla) - 2\pi N = \int_{\mathbb{R}^2} r^2 |* d \ln(r) + A - d\theta|^2 + |* dA - \frac{1-r^2}{2}|^2.$$

We aim to prove that this discrepancy bounds the distance with some solution.

### Question

What happens if we linearize the discrepancy?

Answer: Good things!

## Linearizing

For the sake of simplicity assume that  $N = 1$  and  $(u, A)$  is smooth and  $|u|$  vanishes on the origin comparable to  $|x| \sim |u_0|$  and it is a compact perturbation of the one-vortex solution  $(u_0, A_0)$  of Taubes:

$$|u| = |u_0|e^h \text{ for } h \in C_c^\infty(B_1). \quad (17)$$

Then we gauge fix such that they have equal phase:

$$\frac{u}{|u|} = \frac{u_0}{|u_0|}$$

(this is possible since they are comparable) Then:

$$E(u, \nabla) - 2\pi \sim \int_{B_1(0)} |x|^2 |\star dh + B|^2 + |\star dB + |u_0|^2 h|^2. \quad (18)$$

Notice that  $(|u| - |u_0|) \sim |x|h$ . So  $L^2$  stability is a question of weighted  $L^2$  bounds on  $h$ .

## A proof without weight?

Since the problem is linear now, we can approach by a compactness argument, First we give a try without the presence of the weight  $|x|^2$ . Imagine a sequence of compactly supported functions:

$$\int_{B_1} |h_k|^2 = 1 \text{ and } \int_{B_1} |\star dh_k + B_k|^2 + |\star dB_k + r_0^2 h_k|^2 \rightarrow 0.$$

By Hodge decomposition:

$$\underbrace{B_k = \star dg_k + df_k}_{\text{inf}_y \int |B - \star dg|^2} \quad (19)$$

We see that:

$$\int_{B_1} |d(h_k + g_k)|^2 + |\Delta g_k + r_0^2 h_k|^2 \rightarrow 0. \quad (20)$$

By standard elliptic estimates we see that  $\|h_k\|_{W^{1,2}(B_1)} \leq C$ , from which compactness follows immediately.

## Weighted Hodge decomposition

We adapt this proof to the weighted case, First we define a weighted Hodge decomposition inspired by the **variational formulation of Hodge decomposition**: For any compactly supported vector field  $A : B_1 \rightarrow \mathbb{R}^2$  we consider the minimization problem:

$$\inf_{g \in C_c^\infty(B_1)} \int |x|^2 |A - \star dg|^2. \quad (21)$$

To see that:

$$|x|A = \star|x|dg + |x|^{-1}df \quad (22)$$

and the orthogonality:

$$\int_{B_1} |x|^2 |A|^2 = \int_{B_1} |x|^2 |dg|^2 + |x|^{-2} |df|^2. \quad (23)$$

This turns out to be just the right decomposition for our problem!

## Weighted Hodge decomposition

Now again by a compactness argument imagine a sequence:

$$\int_{B_1} |x|^2 |h_k|^2 = 1 \text{ and } \int_{B_1} |x|^2 |\star dh_k + B_k|^2 + |\star dB_k + r_0^2 h_k|^2 \rightarrow 0.$$

Then we perform the weighted Hodge decomposition and the standard Hodge decomposition:

$$|x|B_k = \star|x|dg_k + |x|^{-1}df_k \text{ and } B_k = \star dp_k + dq_k$$

and rewrite the discrepancy:

$$\int_{B_1} |x|^2 |d(h_k + g_k)|^2 + |x|^{-2} |df_k|^2 + |\Delta p_k + r_0^2 h_k|^2 \rightarrow 0$$



## Compactness

$$\int_{B_1} |x|^2 |d(h_k + g_k)|^2 + |x|^{-2} |df_k|^2 + |\Delta p_k + r_0^2 h_k|^2 \rightarrow 0$$

To gain compactness from the quantity above we use a result of H [4] to see that we can quantify the distance of the standard and weighted Hodge decomposition:

$$|x|B_k = \star|x|dg_k + |x|^{-1}df_k \text{ and } B_k = dp_k + dq_k$$

$$\int_{B_1} |x|^{2+2\varepsilon} |d(g_k - p_k)|^2 \leq C\varepsilon^{-2} \int_{B_1} |x|^{-2} |df_k|^2$$

This gives us the desired compactness for the discrepancy and the conclusion!

## Weighted inequalities

The tool we used is:

Theorem ([4] H. 2023)

For any function  $f \in C_c^\infty(B_1)$ :

$$\int_{B_1} |x|^{2+2\varepsilon} |df|^2 \leq C\varepsilon^{-2} \int_{B_1} |x|^4 |\Delta f|^2$$

Moreover in [4] the inequality above is generalized with **uniform constants** for all weight  $\omega$  on a 2-manifold that satisfy:

$$-\omega^2 \Delta \ln(\omega) = 0. \quad (24)$$

This covers all weights  $\prod_{k=1}^N |x - x_k|^{\alpha_k}$  for  $x_k \in \mathbb{R}^2$  and  $\alpha_k > 0$ . These inequalities can be thought of as generalizations to the interpolation results of Caffarelli-Kohn-Nirenberg [2].

## Stability of comparable pairs

Using Theorem 1.4 of [4] we can show the same stability for linearized equation in the case of many vortices:

### Theorem ([5] H 2023)

*For any  $\Lambda, N$  there exists  $C_{N,\Lambda}, \eta_0$  with the following property: Let  $(u, \nabla)$  be an  $N$ -vortex pair with small enough discrepancy  $E(u, \nabla) - 2\pi N = \eta^2 \leq \eta_0^2$  such that  $\Lambda^{-1}|u| \leq |u_0| \leq \Lambda|u|$ , where  $(u_0, \nabla_0)$  is an  $N$ -vortex solution of Taubes. Then:*

$$\|u - u_0\|_{L^2(\mathbb{R}^2)}^2 + \|F_\nabla - F_{\nabla_0}\|_{L^2(\mathbb{R}^2)}^2 \leq C_{N,\Lambda}\eta^2,$$

## Generalizing?

We showed that if  $|u|$  is comparable to a vortex solution, we can linearize the problem and deduce quantitative stability.

### Question

Given a pair  $(u, \nabla)$  with small enough discrepancy, can we find a comparable pair nearby?

The answer is Yes!

## Generalizing to non-regular pairs

To generalize to all pairs with small discrepancy:

- ▶ We first show that any  $N$  vortex pair with small enough discrepancy can be uniformly approximated by  $C^{N,\alpha}$  pairs with sharp estimates.
- ▶ Then we show that a pair with uniform  $C^N$  estimates and small enough discrepancy is comparable to some Taubes solution.
- ▶ We inspire from techniques of the proof the quantitative isoperimetric inequality in [3].

## Selection principle

The idea is that for any  $(u, A)$  we solve a new variational problem:

$$\min_{(u_1, \nabla_1)} E(u_1, A_1) + \|u_1 - u\|_{L^2(\mathbb{R}^2)}^2 + \|A_1 - A\|_{L^2(\mathbb{R}^2)}^2 \quad (25)$$

to find a new pair  $(u_1, A_1)$  with more regularity. The remarkable fact is that, since the original pair  $(u, A)$  is a competitor, then:

$$E(u_1, A_1) + \|u_1 - u\|_{L^2(\mathbb{R}^2)}^2 + \|A_1 - A\|_{L^2(\mathbb{R}^2)}^2 \leq E(u, A). \quad (26)$$

iterating this process, we get a final pair  $(u_M, A_M)$  with  $|u_M|_{C^{N, \alpha}} \leq C_N$  in some local comlumb gauge.

## Perturbation of complex polynomials

- ▶ It is not hard to show that functions  $f : B_1 \subset \mathbb{C} \rightarrow \mathbb{C}$  that are close enough to  $z$  in  $C^1$  topology are comparable to  $|z - b|$  for some  $b \in \mathbb{C}$ .
- ▶ This can be generalized: Function that are close enough to  $\prod_{k=1}^N (z - a_k)$  in  $C^N$  topology are uniformly comparable to some  $\prod_{k=1}^N (z - b_k)$ .
- ▶ Since vortex solutions are comparable to modulus complex polynomials, we can see that for any pair with small enough discrepancy, we can find a pair quantitatively close to our original pair that satisfies stability.
- ▶ We are Done!

# Thank you

Thank you for your attention!



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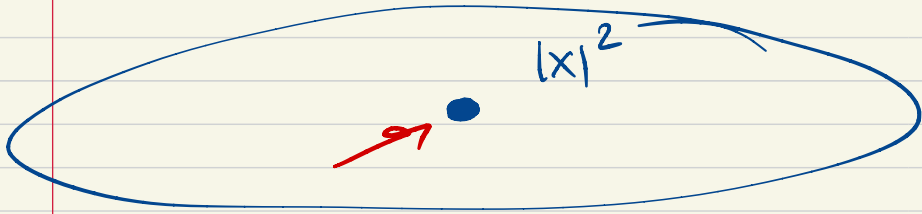
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$$x \rightarrow -\ln(|x|), \theta$$

