**Fall 2009** 

- 1. Consider the lattice  $\mathcal{L}(b_1, b_2, b_3)$  where  $b_1 = (2, 0, 0)^T$ ,  $b_2 = (0, 2, 0)^T$ , and  $b_3 = (1, 1, 1)^T$ . Find the successive minima in the  $l_1$  norm and in the  $l_{\infty}$  norm. What are the vectors that achieve these minima?
- 2. Let  $\Lambda = \mathcal{L}(b_1, \ldots, b_n)$  be some rank n lattice and let  $\tilde{b}_1, \ldots, \tilde{b}_n$  be the Gram-Schmidt orthogonalization of  $b_1, \ldots, b_n$ .
  - (a) Show that it is *not* true in general that  $\lambda_n(\Lambda) \ge \max_i \|\tilde{b}_i\|$ .
  - (b) Show that for any  $j = 1, ..., n, \lambda_i(\Lambda) \ge \min_{i=1,...,n} \|\tilde{b}_i\|$ .
- (a) Show that any unimodular matrix  $U \in \mathbb{Z}^{n \times n}$  can be transformed to the identity matrix 3. by the following three basic column operations:  $a_i \leftrightarrow a_j, a_i \leftarrow -a_i$ , and  $a_i \leftarrow a_i + ka_j$ for some integer k. Hint: Euclid's algorithm
  - (b) Show that for any unimodular matrix  $U \in \mathbb{Z}^{n \times n}$ ,  $U^{-1}$  is also a unimodular matrix in  $\mathbb{Z}^{n \times n}$ .
  - (c) Show that two lattice bases  $B_1, B_2 \in \mathbb{R}^{m \times n}$  are equivalent (i.e.,  $\mathcal{L}(B_1) = \mathcal{L}(B_2)$ ) if and only if one can be obtained from the other by a sequence of three basic column operations:  $b_i \leftrightarrow b_j$ ,  $b_i \leftarrow -b_i$ , and  $b_i \leftarrow b_i + kb_j$  for some integer k.
  - (d) Describe a procedure that given any set of vectors  $b_1, \ldots, b_n \in \mathbb{Z}^m$ , finds a basis for the lattice  $\mathcal{L}(b_1,\ldots,b_n)$  (notice that these vectors are not necessarily linearly independent and that in particular, n might be greater than m). There is no need to analyze the running time. Deduce that any set of vectors in  $\mathbb{Z}^m$  spans a lattice.
  - (e) Show that any finite set of vectors in  $\mathbb{Q}^m$  spans a lattice. Show that this is not necessarily true for vectors in  $\mathbb{R}^m$ .
- 4. Find an analogue of Minkowski's First Theorem for the  $l_1$  and  $l_{\infty}$  norms.
- 5. Give an efficient algorithm for each of the following tasks.
  - (a) Given two bases  $B_1, B_2 \in \mathbb{Z}^{m \times n}$ , check if  $\mathcal{L}(B_1) \subseteq \mathcal{L}(B_2)$ , i.e.,  $\mathcal{L}(B_1)$  is a sublattice of  $\mathcal{L}(B_2)$ .
  - (b) Given a basis B, check if  $\mathcal{L}(B)$  is a cyclic lattice, where a lattice  $\Lambda$  is called cyclic if for every lattice vector  $x \in \Lambda$ , any cyclic rotation of the coordinates of x is also in Λ. For example, the lattice  $\mathcal{L}(b_1, b_2, b_3)$  where  $b_1 = (2, 0, 0)^T$ ,  $b_2 = (0, 2, 0)^T$ , and  $b_3 = (1, 1, 1)^T$  is cyclic.