

## PARAMETRIC SURFACES

A parametric surface  $S$  is a function from  $D \subseteq \mathbb{R}^2$  to  $\mathbb{R}^3$ :

$$\vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$$

The functions  $x(u, v)$ ,  $y(u, v)$ ,  $z(u, v)$  are called parametric equations of  $S$ .

Example. If  $S$  is the graph of  $z = f(x, y)$ , we can write it as

$$\vec{r}(u, v) = \langle u, v, f(u, v) \rangle$$

Example. Find a parametric representation for the cylinder  $x^2 + y^2 = 4$ ,  $0 \leq z \leq 1$

We are looking for two parameters that can describe the locations of points on the cylinder. We realize that points on this cylinder are determined by the angle and  $z$ -coordinate.

$$\text{So } \vec{r}(u, v) = \langle 2 \cos u, 2 \sin u, v \rangle, \quad 0 \leq u < 2\pi, \quad 0 \leq v \leq 1$$

Example. Find a parametric representation of  $x^2 + y^2 + z^2 = R^2$ .

The positions of points on the sphere centered at  $O$  are determined by the two angles  $\theta$  &  $\phi$  in spherical coordinates.

$$\text{So } \vec{r}(\theta, \phi) = \langle R \sin \phi \cos \theta, R \sin \phi \sin \theta, R \cos \phi \rangle$$

$$0 \leq \phi \leq \pi, \quad 0 \leq \theta \leq 2\pi$$

Given a surface parameterized by  $\vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$ , we would like to find its tangent surface.

The strategy is to find two tangent vectors, and these two tangent vectors will span the tangent plane.

For a given  $(u_0, v_0)$ , we first fix  $v_0$  and consider the curve

$$\vec{\alpha}(u) = \vec{r}(u, v_0) = \langle x(u, v_0), y(u, v_0), z(u, v_0) \rangle$$

This curve lies on the surface  $\vec{r}(u, v)$

$\vec{\alpha}'(u_0) = \frac{\partial \vec{r}}{\partial u}(u_0, v_0) = \langle \frac{\partial x}{\partial u}(u_0, v_0), \frac{\partial y}{\partial u}(u_0, v_0), \frac{\partial z}{\partial u}(u_0, v_0) \rangle$  is the tangent vector of  $\vec{\alpha}(u)$  at  $u = u_0$ , so it's also a tangent vector for  $\vec{r}(u, v)$ .

Similarly, we can define

$$\vec{\beta}(v) = \vec{r}(u_0, v) = \langle x(u_0, v), y(u_0, v), z(u_0, v) \rangle$$

and obtain another tangent vector

$$\vec{\beta}'(v_0) = \frac{\partial \vec{r}}{\partial v}(u_0, v_0) = \langle \frac{\partial x}{\partial v}(u_0, v_0), \frac{\partial y}{\partial v}(u_0, v_0), \frac{\partial z}{\partial v}(u_0, v_0) \rangle$$

If  $\vec{\alpha}'(u_0)$  &  $\vec{\beta}'(v_0)$  are not colinear, we say the surface  $\vec{r}(u, v)$  is smooth. Then its tangent plane is the one passing through  $\vec{r}(u_0, v_0)$  and perpendicular to  $\vec{\alpha}'(u_0) \times \vec{\beta}'(v_0)$

Example. Find the tangent plane to the surface  $\vec{r}(u, v) = \langle u^2, v^2, u+2v \rangle$  at the point  $(1, 1, 3)$ .

$$\begin{cases} \frac{\partial \vec{r}}{\partial u} = \langle 2u, 0, 1 \rangle \\ \frac{\partial \vec{r}}{\partial v} = \langle 0, 2v, 2 \rangle \end{cases}$$

so a normal vector to the tangent plane is

$$\frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} = \langle -2v, -4u, 4uv \rangle$$

$\vec{r}(1,1) = \langle 1, 1, 3 \rangle$ , so the normal vector at  $\vec{r}(1,1) = \langle -2, -4, 4 \rangle$

the tangent plane at  $(1,1,3)$  is hence:

$$-2(x-1) - 4(y-1) + 4(z-3) = 0$$

We are going to study the surface area of a parametric surface.

By similar argument on Riemann Sum of the Change of Variable Section,

we obtain the following definition:

If  $S$  is parameterized by  $\vec{r}(u,v) = \langle x(u,v), y(u,v), z(u,v) \rangle$  and

$S$  is covered just once as  $(u,v)$  ranges throughout the parameter domain  $D$ , then the surface area of  $S$  is

$$A(S) = \iint_D \left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| dA$$

A special case of that is when  $S$  is the graph of  $z = f(x,y)$

$$\vec{r}(x,y) = \langle x, y, f(x,y) \rangle$$

$$\text{Then } \begin{cases} \frac{d\vec{r}}{dx} = \langle 1, 0, \frac{\partial f}{\partial x} \rangle \\ \frac{\partial \vec{r}}{\partial y} = \langle 0, 1, \frac{\partial f}{\partial y} \rangle \end{cases} \text{ so } \frac{d\vec{r}}{dx} \times \frac{d\vec{r}}{dy} = \left\langle -\frac{\partial f}{\partial x}, -\frac{\partial f}{\partial y}, 1 \right\rangle$$

we get the area of the surface is

$$A(S) = \iint_D \sqrt{1^2 + \left(\frac{\partial f}{\partial x}\right)^2 + \left(\frac{\partial f}{\partial y}\right)^2} dA$$

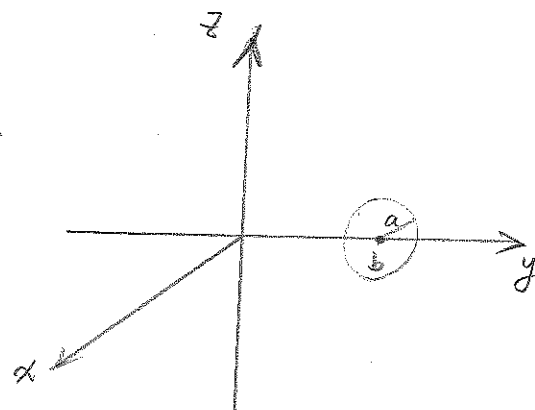
Example. Find the surface area of the torus obtained from rotating the circle in  $yz$ -plane  $(y-b)^2 + z^2 = a^2$  ( $0 < a < b$ ) around  $z$ -axis.

The equation of the circle is  $(y-b)^2 + z^2 = a^2$ .

So it can be parameterized to be

$$y = b + a \cos u, \quad z = a \sin u, \quad 0 \leq u < 2\pi$$

Then the equation for the torus is



$$\vec{r}(u, v) = \langle (b + a \cos u) \cos v, (b + a \cos u) \sin v, a \sin u \rangle, \quad 0 \leq u < 2\pi, \quad 0 \leq v < 2\pi$$

$$\frac{\partial \vec{r}}{\partial u} = \langle -a \sin u \cos v, -a \sin u \sin v, a \cos u \rangle$$

$$\frac{\partial \vec{r}}{\partial v} = \langle -(b + a \cos u) \sin v, (b + a \cos u) \cos v, 0 \rangle$$

$$\frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} = \langle -a(b + a \cos u) \cos u \cos v, -a(b + a \cos u) \cos u \sin v, -a(b + a \cos u) \sin u \rangle$$

$$\left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| = \sqrt{a^2(b + a \cos u)^2 \cos^2 u \cos^2 v + a^2(b + a \cos u)^2 \cos^2 u \sin^2 v + a^2(b + a \cos u)^2 \sin^2 u}$$

$$= a(b + a \cos u)$$

So the area is

$$\iint_D a(b + \cos u) dA = \int_0^{2\pi} \int_0^{2\pi} a(b + a \cos u) du dv$$

$$= \int_0^{2\pi} 2\pi a b dv$$

$$= 4\pi^2 ab$$

## SURFACE INTEGRALS

We are going to define the surface integral of a function  $f(x, y, z)$  on a surface  $S$  given by  $\vec{r}(u, v) = \langle x(u, v), y(u, v), z(u, v) \rangle$ .

In the previous section, we see the area of a surface is

$$A(S) = \iint_D \left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| dA$$

which follows from the observation  $dS = \left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| dA$ .

By the same principle, we can define the surface integral to be:

$$\iint_S f(x, y, z) dS = \iint_D f(\vec{r}(u, v)) \left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| dA$$

Example. A thin sphere of radius 1 made of some mixed material has density function  $\rho(x, y, z) = x^2$ . Compute the mass of the sphere.

The sphere can be parameterized by

$$\vec{r}(\theta, \phi) = \langle \sin \phi \cos \theta, \sin \phi \sin \theta, \cos \phi \rangle, \quad 0 \leq \phi \leq \pi, \quad 0 \leq \theta \leq 2\pi$$

$$\begin{aligned} \text{Mass} &= \iint_S \rho(x, y, z) dS = \int_0^\pi \int_0^{2\pi} (\sin \phi \cos \theta)^2 \left| \frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi} \right| d\theta d\phi \\ &= \int_0^\pi \int_0^{2\pi} (\sin \phi \cos \theta)^2 \cdot \sin \phi d\theta d\phi \\ &= \frac{4}{3}\pi \end{aligned}$$

A special case is when the surface is a graph of function  $z = g(x, y)$ .

In such a case, we know by previous discussion, if we parameterize  $S$

by  $\vec{r}(x, y) = \langle x, y, g(x, y) \rangle$ , then  $\left| \frac{\partial \vec{r}}{\partial x} \times \frac{\partial \vec{r}}{\partial y} \right| = \sqrt{1 + \left(\frac{\partial g}{\partial x}\right)^2 + \left(\frac{\partial g}{\partial y}\right)^2}$

So the integral is.

$$\iint_S f(x, y, z) dA = \iint_D f(\vec{r}(x, y)) \sqrt{1 + \left(\frac{\partial z}{\partial x}\right)^2 + \left(\frac{\partial z}{\partial y}\right)^2} dA.$$

Example. Evaluate the previous mass in another way:

We can decompose the sphere into two hemispheres:

$$z = \sqrt{1 - x^2 - y^2} \quad \text{and} \quad z = -\sqrt{1 - x^2 - y^2}, \quad \text{call them } S_1 \text{ and } S_2.$$

then

$$\begin{aligned} \iint_S f(x, y, z) dS &= \iint_{S_1} f(x, y, z) dS + \iint_{S_2} f(x, y, z) dS \\ &= \iint_{x^2+y^2 \leq 1} x^2 \sqrt{1 + \left(\frac{\partial}{\partial x} \sqrt{1-x^2-y^2}\right)^2 + \left(\frac{\partial}{\partial y} \sqrt{1-x^2-y^2}\right)^2} dA \\ &\quad + \iint_{x^2+y^2 \leq 1} x^2 \sqrt{1 + \left(\frac{\partial}{\partial x} (-\sqrt{1-x^2-y^2})\right)^2 + \left(\frac{\partial}{\partial y} (-\sqrt{1-x^2-y^2})\right)^2} dA \\ &= \iint_{x^2+y^2 \leq 1} x^2 \cdot \frac{1}{\sqrt{1-x^2-y^2}} dA + \iint_{x^2+y^2 \leq 1} x^2 \cdot \frac{1}{\sqrt{1-x^2-y^2}} dA \\ &= 2 \iint_{x^2+y^2 \leq 1} \frac{x^2}{\sqrt{1-x^2-y^2}} dA \\ &= 2 \int_0^{2\pi} \int_0^1 \frac{r^2 \cos^2 \theta}{\sqrt{1-r^2}} \cdot r dr d\theta \\ &= 2 \int_0^{2\pi} \cos^2 \theta d\theta \cdot \int_0^1 \frac{r^3}{\sqrt{1-r^2}} dr \\ &= 2 \cdot \pi \cdot \frac{2}{3} \\ &= \frac{4}{3} \pi \end{aligned}$$